

2 2 1 Land Use and Land Cover Impact on Probable Maximum 3 3 Flood and Sedimentation for Artificial Reservoirs: 4 4 Case Study in the Western United States

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6 **Abstract:** Unanticipated peak inflows that can exceed the inflow design flood (IDF) for spillways and result in possible storage loss in
7 reservoirs from increased sedimentation rates lead to a greater risk for downstream floods. Probable maximum precipitation (PMP) and
8 probable maximum flood (PMF) are mostly used to determine IDF. Any possible change of PMP and PMF resulting from future land
9 use and land cover (LULC) change therefore requires a methodical investigation. However, the consequential sediment yield resulting from
10 altered precipitation and flow patterns into the reservoir has not been addressed in literature. Thus, this study aims to determine the combined
11 5 impact of a modified PMP on PMF and sediment yield for an artificial reservoir. The Owyhee Dam of the Owyhee River watershed (ORW) in
12 Oregon is selected as a case study area for understanding the impact of LULC change on PMF and sedimentation rates. Variable infiltration
13 capacity (VIC) is used for simulating streamflow (PMF) and the revised universal soil loss equation (RUSLE) to estimate sediment yield over
14 ORW as a result of change in precipitation intensity and LULC. Scenarios that represent pre-Owyhee Dam (pre-dam) and post-Owyhee Dam
15 6 (post-dam; nonirrigation, control) are used to simulate PMF's and consequential sediment yield. Peak PMF result for pre-dam scenarios
16 increased by 26 (1%) and 81 m³ s⁻¹ (3%) from the nonirrigation and control scenario, respectively. Considering only LULC change, sedi-
17 ment yield decreased over ORW owing to the transformation of LULC from grassland to shrubland (from the pre-dam period to the post-dam
18 years). However, increase in precipitation intensity caused a significant (0.1% storage loss over a 21-day storm period) increase in sediment
19 yield primarily resulting from reservoir sedimentation. This study underscores the need to consider the future impact of LULC change on IDF
20 calculation and sedimentation rates for more robust reservoir operations and planning. DOI: [10.1061/\(ASCE\)HE.1943-5584.0001287](https://doi.org/10.1061/(ASCE)HE.1943-5584.0001287).
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22 **Author keywords:** Artificial reservoirs; Dams; Probable maximum precipitation; Probable maximum flood; Land use and land cover;
23 Revised universal soil loss equation (RUSLE); Soil loss; Sediment.

24 7 Introduction

25 Changes in land use and land cover (LULC) around the globe are
26 primarily associated with artificial activities such as urbanization,
27 deforestation, irrigation, and construction of dams. Constructions
28 of dams (artificial reservoirs) have contributed and continue to
29 do so in development of a region (e.g., Biswas 2004; Graf 2003;
30 Petersson and Manfred 2003; Altinbilek 2002; Schultz 2002).
31 Because construction of new dams extends from few to none in
32 developed countries, developing countries are planning and con-
33 structing megadams for their emerging economies (Biswas and
34 Tortajada 2001). The majority of dams today were constructed
35 since 1950, with large dams accounting for more than 50% of
36 the global surface water storage (Lemperiere 2006). A staggering
37 statistic shows close to a million dams in the world (Lehner and
38 Döll 2004; ICOLD 1998).

39 There is a continuing effort to study modification of extreme
40 precipitation and flood behavior as a result of a LULC change.

The apparent change in extreme precipitation is further associated
with change in streamflow (extreme flood) and soil loss/sediment
yield over a given watershed. Previous studies have shown the
change in extreme precipitation patterns using land-atmosphere
models. Different studies (e.g., Woldemichael et al. 2012, 2013;
8 Nie et al. 2011; Schilling et al. 2010; Cotton and Pielke 2007; Barn-
9 ston and Schickedanz 1984) have demonstrated the impact artificial
reservoirs and/or the surrounding LULC change have on local and
regional precipitation and flood pattern. Studies by Moore and
Rojstaczer (2001) and DeAngelis et al. (2010) have also shown that
there is an increase in precipitation over the Great Plains of the
United States as a result of increase in irrigation practice. This
change in precipitation is attributed to the extra moisture and in-
crease in evapotranspiration as a result of irrigation water. The
linked changes between LULC and precipitation (flood) have sig-
nificant impact on the operation and future design of artificial
reservoirs (Yigzaw et al. 2013a, b). Ultimately, this change is trans-
lated to safety and sustainability for future reservoir operation and
design in a dynamic world, where spatial and temporal climate
variations have become frequent phenomena.

In today's dam design practice, inflow design flood (IDF) for
storage and spillway capacity are determined based on historical
data analysis that assumes stationarity of the statistical properties
of hydrometeorological events. However, change in sedimentation
and inflow as a result of change in precipitation (affected by LULC
change) also affects the quantity and quality of inflow into a res-
ervoir. Most inflow design floods range from flood with a return
period of 100 years to probable maximum flood (PMF), depending

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69 on the risk and hazard on downstream area should the dam fail
70 (FEMA 2004). This PMF is a result of probable maximum precipi-
71 tation (PMP), which is defined by the World Meteorological
72 **11** Organization (WMO) as the largest precipitation (of a given dura-
73 tion) expected over a specific area. Attributed to nonstationarity,
74 IDF values are exceeded with higher probability than primarily ex-
75 pected (e.g., Rogers 2010; NRC 1999). According to recent studies,
76 stationarity can no longer be the assumption in frequency analysis
77 for future designs (Salas and Obeysekera 2014; Douglas and
78 Fairbank 2011; Milly et al. 2008; Stedinger and Griffis 2008;
79 Khaliq et al. 2006). Sustainability of a reservoir depends on its life
80 expectancy up to the stage when its storage cannot serve the design
81 purpose. The study of Graf et al. (2010) used the Reservoir Sed-
82 imentation Survey Information System (RESIS II) from USGS
83 (Ackerman et al. 2009) to quantify the life expectancy of western
84 American reservoirs. The study argued that most large dams in the
85 interior western United States have a life expectancy ranging be-
86 tween 200 and 1,000 years. This means the issue of sustainability
87 from the perspective of reservoir sedimentation is not a significant
88 problem. The same study stated that small reservoirs are more
89 prone to storage loss attributable to sedimentation. However, there
90 are additional dimensions that need to be looked into by building
91 on the Graf et al. (2010) study of the RESIS II data. These dimen-
92 sions are river flow and sediment yield variation as a result of
93 today's LULC and climate factors. At the same time, because most
94 of the RESIS II data precedes 1980, there is a high uncertainty in
95 translating the trends into current reservoir sedimentation pattern.

96 LULC contributes to change in precipitation directly through
97 change in the land-atmosphere interaction consisting of water and
98 energy balance (Seneviratne and Stöckli 2008; Seneviratne et al.
99 **12** 2006; Entekhabi et al. 1992). The indirect impact can be related
100 through change in soil moisture (Delworth and Manabe 1989) and
101 aerosol concentration or size (Junkermann et al. 2009; Charlson
102 et al. 1992). Aerosols from urban areas have been found to suppress
103 or increase rainfall depending on topography and type of cloud
104 (Shepherd 2005). Hydrologically, surface and subsurface flows
105 vary owing to the nonlinear relationship of rainfall-runoff transfor-
106 mation. A study by Yigzaw et al. (2013a, b) on the American River
107 showed significant impact of LULC change and artificial reservoir
108 on extreme flood events with insignificant change for different sizes
109 of artificial reservoirs. The conventional reservoir sedimentation es-
110 timation methods that consider historical precipitation pattern and
111 LULC will also change, leading to loss of reservoir storage and
112 consequently, less reservoir life expectancy, because of the change
113 in sediment yield. The impact of LULC change on sediment yield is
114 a phenomenon that in the past has not received as much attention as
115 modified precipitation patterns for artificial reservoirs' design and
116 operation. Sediment yield is highly affected by two factors: the
117 ability of rainfall to erode soil and the potential of the soil to be
118 eroded (Wischmeier and Smith 1958). As precipitation intensity
119 and LULC change, there is a direct change in reservoir sediment-
120 ation. Reservoir sedimentation is a problem from the perspective
121 of economics and safety. Storage loss in downstream reservoirs is
122 also a significant problem for flooding and operation (e.g., Verbist
123 et al. 2010; Nelson and Booth 2002). The reserved storage for an
124 assumed sediment deposit, dead storage, may not always serve its
125 purpose because in some reservoirs, this storage is filled before
126 the functional life of the reservoir is over (Palmieri et al. 2001).
127 Because sedimentation poses a significant problem for reservoirs,
128 ICOLD encourages appropriate estimation of reservoir sediment
129 inflow (USBR 2006).

130 The process of reservoir sedimentation starts from erosion (soil
131 loss). A given percentage of this soil loss becomes a sediment yield,
132 which is dependent on characteristics of the area (topography,

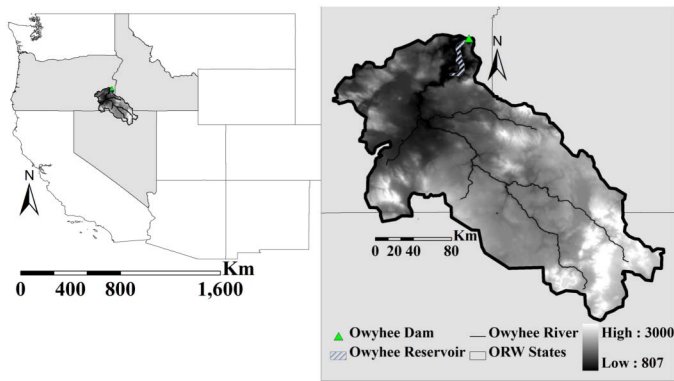
LULC, and land management) and the sediment. In most cases,
the sediment delivery ratio (SDR) is used to estimate the sediment
yield over a given area (Ouyang and Bartholic 1997). Different em-
pirical and direct approaches used in determining the SDR are
compiled by Ouyang and Bartholic (1997), which include the ratio
between gross soil loss and actual sediment yield, empirical formu-
la as a function of drainage area (Dendy and Bolton 1976;
Renfro 1975; Vanoni 1975), topography (Williams 1977; Williams
and Berndt 1976), and sediment property (Walling 1983). The soil
and water assessment tool (SWAT) factors rainfall and runoff to
estimate sediment yield (Neitsch et al. 2011). The first method
was implemented in this study. However, the difference was that
this study considered only sediment yield as a result of sheet and
rill soil erosion. Sediment concentration and the settling pattern,
which depends on the reservoir's trap efficiency, determine the final
sediment volume stored in a reservoir (Julien 2010; Brune 1953).
Every artificial reservoir is designed to lose its storage to sedimen-
tation over a given time, signifying its life of expectancy. The idea
of reservoir sedimentation from the outlook of future precipitation
intensity and LULC change has not been studied in the past. A
connection between reservoir storage and LULC change-driven
sedimentation will have an important contribution to understand
a subsequent sediment yield and hence change in reservoir storage
loss.

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By considering the Owyhee Dam on Owyhee River Watershed
(ORW), Oregon, this study investigated the impact of LULC
change and its nonlinear relationship with change in PMF, total
soil loss, and reservoir sedimentation. This study first examined
how LULC change and artificial reservoirs modify extreme flood
(PMF) inflow into Owyhee Reservoir. The objective of this was to
consider the pre-dam and post-dam variables (reservoir and irriga-
tion practice), which affect the hydrometeorological processes,
and to find out how probable maximum flood was modified over
ORW as a result of PMP change. The second objective was discover-
ing how LULC change and PMP change affect soil loss and
sedimentation pattern. Pertaining to changes in precipitation inten-
sity and LULC, this addressed the sensitivity of sediment yield
change over ORW. A systematic approach was used implementing
the revised universal soil loss equation (RUSLE) and event-based
precipitation intensity to quantify change in inflow sediment load to
Owyhee Reservoir. The result of this study will be vital in future
dam design and current dam operations with safety and sustainabil-
ity in mind. This paper introduces the study area, data, and meth-
odology; and finally, it presents results, discussions, and conclusion.

177 Study Area

178 The selected area for this case study was Owyhee Dam, which
179 forms the Owyhee reservoir located in eastern Oregon near its bor-
180 der with Idaho (Fig. 1). The main inflow into the reservoir comes
181 from upstream Owyhee River Watershed (ORW), which has an
182 area of approximately 28,900 km². The elevation of the watershed
183 ranges from 800 m at the dam to 3,000 m above sea level (ASL) at
184 the upstream point. According to the USBR (2009), Owyhee Dam
185 was constructed in the years 1928–1932 as a concrete arch dam
186 with a storage capacity of 1.4 km³ (out of which 0.82 km³ is active
187 storage), making it the largest reservoir in Oregon. The dam has a
188 height of 127 m above the riverbed and a crest length of 254 m at an
189 elevation of 815 m ASL. A morning glory type of spillway was
190 provided that can discharge 850 m³/s at normal water surface
191 elevation (814 m ASL).

192 The primary purpose of the dam is to provide water for irrigation
193 of more than 425 km² in eastern Oregon (72%) and southeastern



F1:1 **Fig. 1.** Study area: Owyhee River watershed (data from USGS 2014;
 F1:2 Gesch et al. 2009)

Idaho (28%). Approximately 20% of the storage is used for flood control in downstream areas of the Owyhee and Snake Rivers. The annual economic value that is obtained from irrigated crops, livestock industry, recreation, and flood prevention reaches up to US \$221 million (USBR 2009). Water is delivered to irrigation lands and canals from the reservoir using tunnels. The city of Nyssa, Oregon, with an approximate population of 3,200, is approximately 25 km downstream of Owyhee Dam.

The climate of ORW is highly influenced by moisture from the Pacific Northwest. According to Koeppen-Geiger climate classification (Kottek et al. 2006), ORW falls in the arid (B) category. Heavy precipitation occurs in the winter period, usually between the months of December and March. During this period, the inflow into Owyhee Reservoir reaches its peak. Flood events of February 1986, March 1993, and January 1997 are some examples of large inflows. The argument that this study raises lies on the change in the magnitude and frequency of extreme floods associated with the presence of an artificial reservoir and change in LULC. The flood event of December 1996/January 1997 is considered for this case study. The selected flood event is the third largest flood event that occurred in Owyhee River, which has caused total property damage close to US\$90 million in Malheur County only and close to US \$1 billion in western Nevada. The same storm event over the western United States triggered the U.S. Army Corps of Engineers (USACE) to reconsider design flood values of the Folsom Dam, which is found southwest of Owyhee. The magnitude of the flood event and the year it occurred makes it an appropriate representative for the study of LULC change and extreme flood modification.

222 Methodology

223 Hydrological Modeling

224 **15** The first approach used in this study was to set up a distributed hydrological model to simulate the daily flow over ORW. A calibrated model was used to simulate different precipitation scenarios 225 226 227 **16** that were simulated by Woldemichael et al. (2013) based on various LULC settings. The specific period of flow simulation was 228 229 230 December 1996 to January 1997, which corresponds to a flood event of the same period over ORW. Woldemichael et al. (2013) 231 **17** simulated two sets of precipitation values—normal precipitation, representing actual events; and maximized precipitation, representing 232 233 234 235 probable maximum precipitation. The PMP results were achieved by keeping the relative humidity at 100% in the land-atmospheric interaction model, Regional Atmospheric Modeling System (RAMS)

(Pielke et al. 1992). That is, the flow simulation also has a normal (i.e., actual) flood event and a PMF event. Although the PMP results were available at ~3 km grid resolution, a spatial aggregation based on mean was applied to get a 0.125-degree (~13 km) grid resolution, which was used in the hydrological model. A detailed setup of RAMS can be found in Woldemichael et al. (2012, 2013).

Variable infiltration capacity (VIC) (Liang et al. 1994, 1996) and a coupled routing model (Lohman et al. 1996) were used to simulate runoff fluxes and streamflow. The advantage of VIC was its assumption of a variable soil infiltration from layer to layer over a spatially distributed (grid-based) area. The study of Yigzaw et al. (2013a, b) effectively used VIC to understand the impact of LULC change and artificial reservoirs over the American River. Four important inputs (meteorological forcing) for VIC were precipitation, minimum temperature, maximum temperature, and wind speed. The selection of grid resolution depends on the availability of data and the objective of the study. There was a readily available daily gridded meteorological forcing data for a large part of the United States at a 0.125-degree spatial resolution, which was appropriate for the objective of this study. Moreover, the routing model runs only on a daily time step. The routing model used watershed information like unit hydrograph, flow direction, flow fraction, flow velocity, and diffusion. Because fluxes were available grid by grid, a specific station should be selected that represents an outflow point. The calculation of flow direction (which depends on the quality of elevation data) was very important in representing the actual river network. The flowchart of streamflow simulation is shown in Fig. 2. For ORW, two stations were selected—one representing the USGS station (USGS 13181000) near Rome; and the other representing the inflow into Owyhee Reservoir (USGS 13182000). The station near Rome was used for calibration, whereas the station representing reservoir inflow was used for the analysis of LULC change on PMP. Owyhee River network and selected stations are shown in Fig. 3.

Soil Loss Calculation

The objective of the soil loss model was only to understand the scale (and quantity) at which LULC and precipitation intensity change affects a possible sediment yield from an area upstream of an artificial reservoir. This part of the study was the implementation of a one-dimensional soil loss/sediment yield over the area that is upstream of Owyhee Dam. The revised universal soil loss (RUSLE) model (Renard et al. 1997) was used for this objective. An argument may be made that instead of using two separate models (hydrological and soil loss), a single model with water quality simulation capacity (for example SWAT) could be used. However, such models were not quite efficient in representing the LULC and precipitation change on a spatially distributed manner (instead, sub-basins and subwatersheds are used), which is one of the primary objectives of this study (Neitsch et al. 2011). The RUSLE model is an empirical model that uses LULC, soil, and precipitation characteristics to calculate the soil loss from a given area. The modified formula is given in Eq. (1) (Renard et al. 1997)

$$a = r \times k \times ls \times c \times p \quad (1)$$

where a = soil loss from sheet and rill erosion ($t(\text{ha} \cdot \text{year})^{-1}$); r = rainfall erosivity factor ($\text{MJ} \cdot \text{mm} \cdot (\text{ha} \cdot \text{year})^{-1}$); k = soil erodibility factor ($t(\text{MJ} \cdot \text{mm})^{-1}$); ls = slope length and steepness factor (-); c = cover and management factor (-); and p = support practice factor (-). The erosivity factor (r) is calculated using the 30-min maximum rainfall intensity and the intensity of the selected duration (usually 30 min) with the expression of Eq. (2) (Wischmeier and Smith 1978)

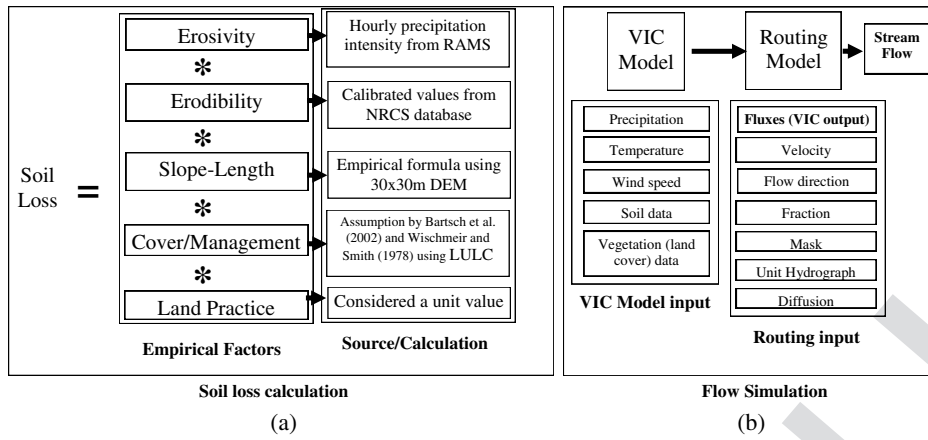


Fig. 2. (a) Flowchart for soil loss calculation; (b) steam flow simulation

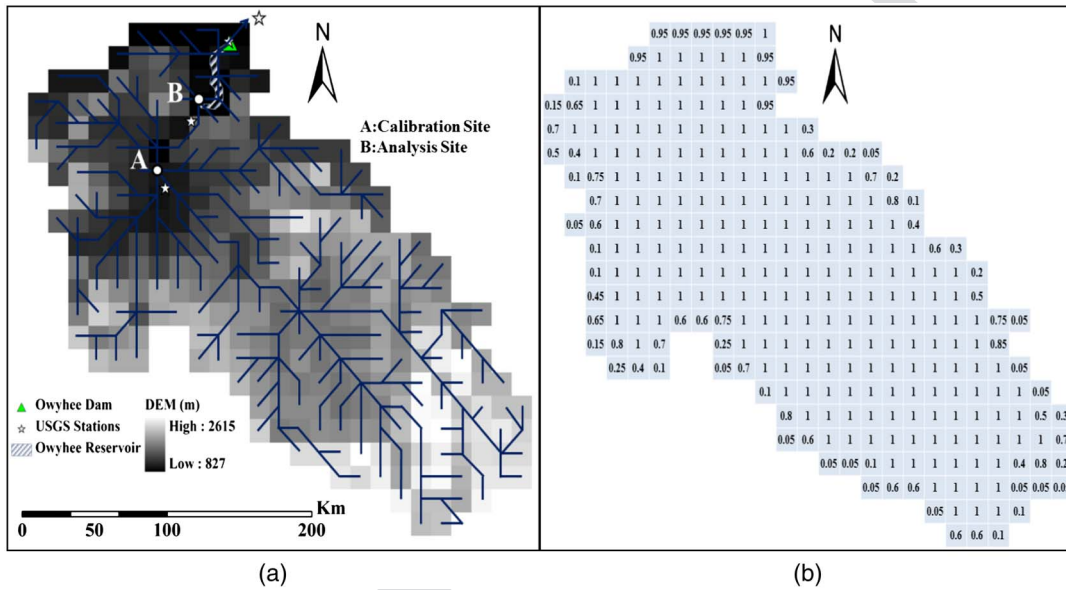


Fig. 3. (a) River network used in VIC with selected stations; (b) flow fraction used to adjust for grid representation

$$r_s = EI_{30} \quad (2)$$

where r_s = storm erosivity; E = storm energy; and I_{30} = maximum 30-min intensity. Eq. (3) provides the calculation of E (Wischmeier and Smith 1978)

$$E = \sum_{k=1}^m e_k \Delta V_k \quad (3)$$

where e = unit energy (energy content per unit area per unit rainfall depth) in the k th period, and ΔV = amount (depth) of rainfall in the k th period; k = index for periods during the rainstorm, where rainfall intensity is considered uniform; and m = number of periods in the rainstorm. Unit energy is computed using the following formula (Renard et al., 1997):

$$e_k = 0.29[1 - 0.72e^{(-0.082i_k)}] \quad (4)$$

where e_k = unit energy ($\text{MJ}(\text{mm} \cdot \text{ha})^{-1}$) for the k th period; and i_k = rainfall intensity (mm/h) for the k th period. For the case of ORW, the finest temporal resolution for rainfall is 1 h as shown in Eq. (5)

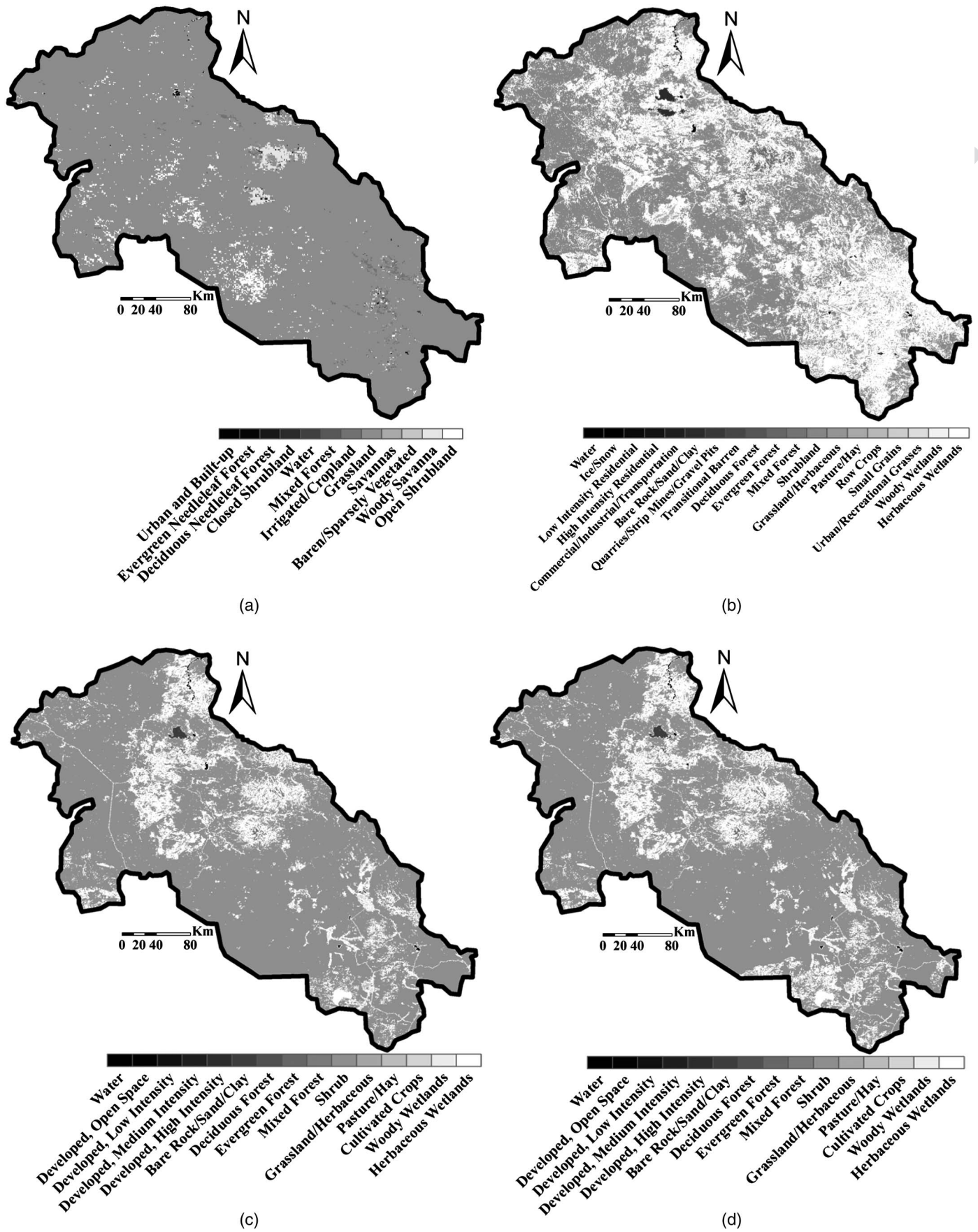
$$\Delta V_k = i \times \Delta t = I_{1h} = \text{hourly rainfall depth} \quad (5)$$

Therefore for this study

$$r = \left[\sum_{k=1}^{24 \times 21} e_k I_{1h} \right] \times I_{1h \max} \quad (6)$$

where $I_{1h \max}$ = maximum hourly rainfall intensity. The maximum rainfall intensity is observed on January 2, 1997, at 00:00 hrs; therefore, this value was considered. Calculation of the ls factor is based on the formula given by Goldman et al. (1986).

The LULC scenario in RAMS simulations were represented in the RUSLE model in the form of precipitation. This was because the LULC changes considered in RAMS were outside (downstream) of ORW, and they did not have direct physical impact on the soil loss calculation. However, the c factors in the RUSLE model were calculated for four LULC scenarios (pre-dam 1992, 2001, and 2006) (Fig. 4). Clearly, soil loss calculation for these scenarios was using the storm event of the December 1996 to January 1997 as simulated in RAMS. Such consideration gave a good result in terms of the soil loss sensitivity to LULC change and different storm intensity (normal and maximized precipitation).



F4:1 **20** Fig. 4. (a) Land use land cover for the pre-dam (prior to 1932) period classified according to HYDE; (b) according to USGS's NLCD for the year F4:2 1992; (c) 2001; (d) 2006

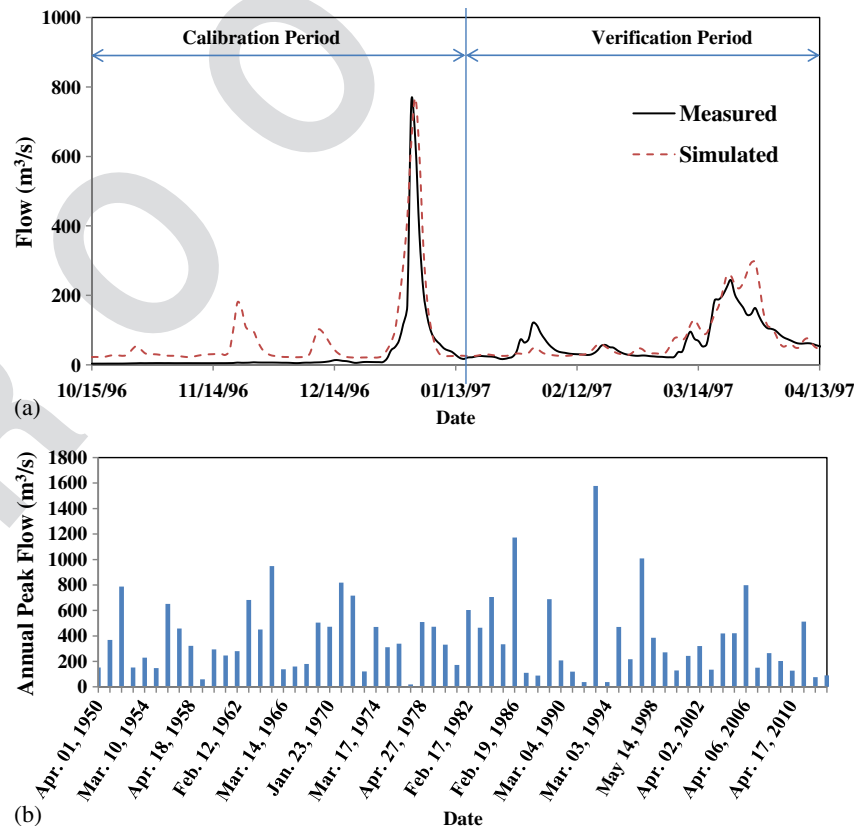
326 Hamlet and Lettenmaier (2005) developed a daily gridded meteorological data at 0.125-degree resolution for parts of the United States
 327 (University of Washington). Elevation data at 30-m resolution,
 328 21 (USGS station ID USGS 13181000) for calibration and
 329 verification, and suspended sediment data for eastern Oregon were
 330 obtained from the USGS. Unfortunately, there is no sedimentation
 331 data for Owyhee Reservoir from the Reservoir Sedimentation
 332 Database (RESSED or RESIS-II) and USGS. Two sets of LULC
 333 were used for soil loss calculation using RUSLE: three (for the
 334 years 1992, 2001, and 2006) from the USGS's National Land
 335 Cover Database (NLCD), and three (for periods representing pre-
 336 dam, control, and nonirrigation) from the History Database of the
 337 Global Environment (HYDE) (Klein et al. 2011) available at [http://](http://themasites.pbl.nl/en/themasites/hyde/index.html)
 338 themasites.pbl.nl/en/themasites/hyde/index.html. Soil erodibility
 339 22 (K factor) for ORW was extracted from the soil database of the
 340 Natural Resources Conservation Service (NRCS). Cover and man-
 341 agement factor for corresponding LULC were assigned using the
 342 assumptions of Bartsch et al. (2002) and Wischmeier and Smith
 343 (1978). Other inputs into the RUSLE model were calculated using
 344 empirical expressions shown in "Soil Loss Calculation."
 345

346 Model Calibration and PMF Simulation

347 Hydrological models are used to simulate the rainfall-runoff pro-
 348 cess from a given watershed/basin with the main objective repre-
 349 senting observed flows. This objective further extends to the idea of
 350 flood forecasting, real-time operation, and historical data analysis
 351 23 (Plate 2009; Maneta et al. 2007). Calibration and the verification
 352 step are generic to all models. That is, the performance of a specific
 353 model is determined by its ability to represent the observed data

using different performance metrics. This calibration involves both
 temporal and spatial data. Based on the objective of the model
 setup, the temporal calibration data can be selected. For a model
 that is used to simulate a specific flood event, the use of a long
 period for calibration/verification may lead to underestimation or
 overestimation of the specific flood event values that are intended
 to be simulated. The fact that most hydrological models do not
 simulate extreme events (peak floods and low flows) with exact
 representation, a calibration and verification procedure considering
 a short period, when the flood event of interest are inclusive, can be
 used in such instances.

With the preceding premise, VIC was set up over ORW, and the
 December 1996 to January 1997 flood event was simulated. The
 location of ORW, which is on the leeward side of the Cascade
 Range in the western United States, experiences most of the ex-
 treme floods in the months from January through April (Fig. 5).
 As the objective of this study was to simulate the 1996/1997 flood
 event, the calibration could be done for this period only. The model
 was calibrated and verified using 6 months of flow data. The model
 was calibrated and validated for the periods of October 15, 1996 to
 January 15, 1997 and January 16, 1997 to April 15, 1997, respec-
 tively. The rainfall data used for calibration is from RAMS. The
 reason for this was to avoid any uncertainty incurred by the RAMS
 model while comparing scenario-simulated flow results. That is,
 because the hydrological model is calibrated using RAMS, all flow
 comparisons will be relative, and the difference between actual
 rainfall and simulated rainfall will not be carried. Woldemichael
 et al. (2014) gives a detailed result and discussion of the RAMS
 simulated rainfalls that are used in this study. A Nash-Sutcliffe
 efficiency of 0.71 and 0.55, correlation coefficient of 0.92 and
 0.87, and volume ration of 0.5 and 0.9 were obtained for calibration
 and verification, respectively. The model performance was evaluated



F5:1 **Fig. 5.** (a) Calibration and verification using the USGS station near Rome (USGS #13181000); (b) annual peak flow at the same USGS station

Table 1. Model Performance Metrics Values for Calibration and Verification

Metric	Calibration	Verification
T1:1		
T1:2	0.92	0.87
T1:3	0.71	0.55
T1:4	63	36
T1:5	0.56	0.67
T1:6	-37	-8
T1:7	0.85	0.76
T1:8	0.50	0.90

using the metrics Nash-Sutcliffe efficiency, coefficient of determination (R^2), correlation coefficient and root-mean squared error (E_{RMS})-observation standard deviation ratio (RSR) (Moriassi et al. 2007; Krause et al. 2005; Benaman et al. 2005). Table 1 summarizes results of other metrics used, and Fig. 5 shows the plot between simulated and measured flows.

Based on the calibrated setup, six flow scenarios were simulated using three normal and three extreme precipitation events (considered probable maximum precipitation) that correspond to different LULC-atmosphere interactions used in Woldemichael et al. (2013). PMP results from Woldemichael et al. (2013) were for the period from December 21, 1996, to January 10, 1997. The LULC scenarios were divided into three: pre-dam (LULC corresponding to the period before Owyhee Dam was built); control (post-dam LULC, which also represents the current condition); and nonirrigation (a control LULC scenario in which no irrigation is practiced). The comparison of results was done at a location upstream of Owyhee Reservoir, which represents the reservoir inflow.

Because there is no measured sediment data, which was a challenge in calibrating soil loss and hence sediment loss, an attempt was made to transfer the sediment-discharge relationship of neighboring and downstream USGS stations to Owyhee River Watershed (ORW) that are found in Oregon. The basis for the transfer was discharge correlation between stations. Data from ten USGS suspended sediment stations (<http://co.water.usgs.gov/sediment/bias.frame.html>) were used to formulate a power sediment-discharge relationship. Parameters (coefficient and power) were estimated for the selected stations together with a discharge correlation against the calibrating station in ORW (Rome, Oregon). The problem with this process was that the sediment data are for a short period and are very old. The record year extends from 1958 to 1980 with the longest data available being for 9 years (1962–1970), and the shortest available data was for 2 years. With the assumption of a similar land practice in these stations, sediment volume at the inflow location to Owyhee Reservoir were calculated for the specific study period. The estimation from this power relationship showed highly overestimated values. Arguably, this overestimation is a result of complex process (e.g., topography, hydrology, and LULC) that varies from watershed to watershed and an unrepresentative data set (short and old). Hence, this paper bases its sediment loss result accuracy on that the soil erodibility and erosivity factors of the RUSLE model are calibrated values supported by a distributed crop management factor.

Result Discussion

LULC Change and Reservoir Inflow

Using the calibrated model, streamflow was simulated at a station (Fig. 3) that represented inflow into Owyhee Reservoir. There were

Table 2. Maximum Daily Precipitation (mm) over Owyhee River Watershed between December 21, 1996, and January 10, 1997

LULC scenario	Normal case	Moisture maximized/PMP case
Pre-dam	23.86	38.38
Nonirrigation	24.76	39.97
Control	28.18	41.10

a total of six flow simulation scenarios using six precipitation values (three using normal precipitation and three more using PMP) from Woldemichael et al. (2013). The 24-h maximum of PMP values used in the simulation are shown in Table 2. Fig. 6 shows the hydrograph of all six scenarios. The immediate observation for both normal and PMF was that there was an increase in flow from the pre-dam period. The peak flow increases for January 3, 1997, are shown in Table 3. For normal flood, the increase in peak flow from the pre-dam scenario to nonirrigation and control were $8 \text{ m}^3 \text{ s}^{-1}$ and $17 \text{ m}^3 \text{ s}^{-1}$, respectively. These increases in terms of flow rate look insignificant compared with the absolute peak discharge of approximately $800 \text{ m}^3 \text{ s}^{-1}$. However, from the perspective of Owyhee Reservoir, it is not only the peak inflow but also inflow volume over a specific flood event that affects its operation. Table 4 shows the average inflow volume for the different scenarios. For the 21-day flood event, there was an additional $3 \times 10^6 \text{ m}^3$ of water that flows to Owyhee Reservoir between the pre-dam and nonirrigation scenarios. Between the pre-dam and control scenarios, there was an increase of $7 \times 10^6 \text{ m}^3$ inflow volume. The volume increase for the two cases represent 0.4 and 0.9% of the reservoir's active storage, respectively.

When PMP was used, the increase in peak PMF values from pre-dam to nonirrigation and control scenarios were $26 \text{ m}^3 \text{ s}^{-1}$ (1%) and $81 \text{ m}^3 \text{ s}^{-1}$ (3%), respectively. The corresponding increase in the reservoir inflow volume was $12 \times 10^6 \text{ m}^3$ (1%) and $34 \times 10^6 \text{ m}^3$ (3%), respectively. This accounts to 1.46 and 4.15% of the reservoir's active storage, respectively. Comparing the post Owyhee Dam scenarios shows that irrigation practice has increased the normal flood by $9 \text{ m}^3 \text{ s}^{-1}$ and the PMF by $55 \text{ m}^3 \text{ s}^{-1}$. In terms of inflow volume, the increase translates to $4 \times 10^6 \text{ m}^3$ (0.49% of active reservoir volume) and $22 \times 10^6 \text{ m}^3$ (2.68% of active reservoir volume), respectively.

Two physical reasons were attributed to the flow changes between the scenarios considered. The first reason was the presence of an artificial reservoir after the year 1932 (control scenario). During the pre-dam scenario, there was no large open water surface that could be a source of extra moisture and evaporation. As the artificial reservoir becomes part of the land-atmosphere interaction, the local precipitation pattern definitely changes. The change brings an increase in precipitation amount and its spatial distribution as demonstrated in Woldemichael et al. (2012, 2013). The second reason was the impact LULC change (e.g., irrigation practice, urbanization) has on streamflow. This impact can be direct or indirect. Directly, LULC change affects infiltration and evaporation pertaining to water balance of the watershed (Schilling et al. 2008). When the LULC change occurs outside of a watershed, similar to the case of a downstream irrigation practice that has no direct physical impact on upstream areas, the impact on streamflow will be indirect. Meteorological variables affected by the irrigation practice extend spatially beyond its boundary (Yigzaw et al. 2013a). That means change to precipitation pattern due to evaporation and energy balance alteration as a result of crop lands will affect the flow pattern in adjacent areas (upstream watersheds for impounded areas).

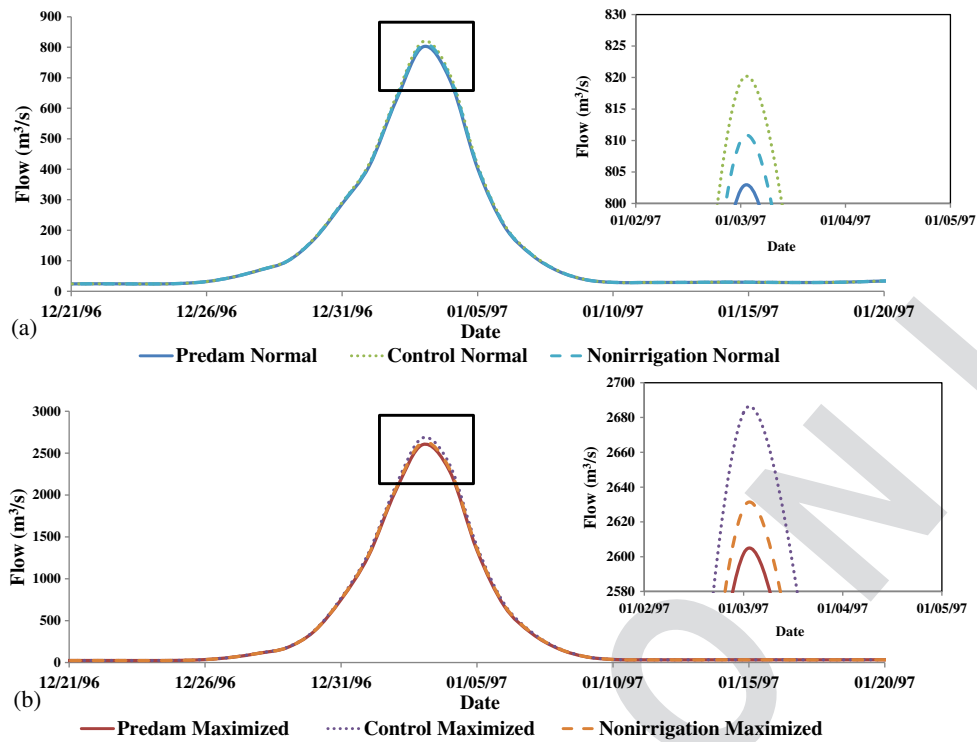


Fig. 6. Simulated inflow into the Owyhee Reservoir for the period from December 21, 1996 to January 10, 1997: (a) normal; (b) PMP

29 Table 3. Simulated Peak Flood Using Normal Precipitation and PMP over Owyhee River Watershed during the Flood Event between December 21, 1996, and January 10, 1997

Flood type	Pre-dam	Nonirrigation	Control
T3:1 Normal flood ($\text{m}^3 \text{s}^{-1}$)	802	810	819
T3:2 PMF ($\text{m}^3 \text{s}^{-1}$)	2,602	2,628	2,683

Table 4. Simulated Volume Inflow into Owyhee Reservoir for the Period between December 21, 1996, and January 10, 1997

Flood type	Pre-dam	Nonirrigation	Control
T4:1 Normal volume (mm^3)	365	368	372
T4:2 PMF volume (mm^3)	1,076	1,088	1,110

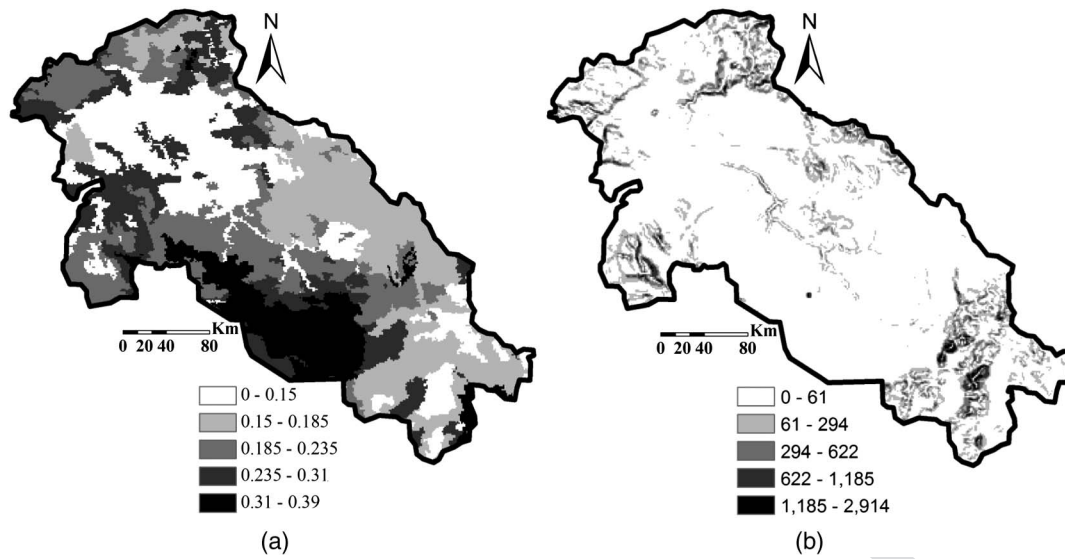
487 LULC Change and Sediment Yield

488 The soil erodibility (k) extracted from the NRCS database and the
 489 calculated slope-length (l_s) factors for ORW are shown in Fig. 7.
 490 Constant values of k and l_s factor were used for the selected LULC
 491 scenarios. However, the cover management factor (c), which rep-
 492 resented the LULC change, was assigned to four of the scenarios
 493 **31** selected (pre-dam, USGS's NLCD-1992, 2001, and 2006). The
 494 pre-dam scenario c factor (Fig. 8) was dominated by the grassland
 495 coverage, which accounted for 96% of the watershed. As the LULC
 496 evolved to the year 1992 and beyond, the dominant LULC became
 497 shrub land. Table 5 shows the compiled LULC area percentage for
 498 the four scenarios. Because grassland has a higher c value than
 499 shrub land, the dominant value over ORW decreases from pre-
 500 **32** dam to NLCD 2006 as shown in Fig. 8. Results of precipitation
 501 erosivity calculated using hourly precipitation are shown in Fig. 9.
 502 Eq. (6) shows higher precipitation intensity will give higher erosiv-
 503 ity. PMP-based precipitation intensity gives a high erosivity factor

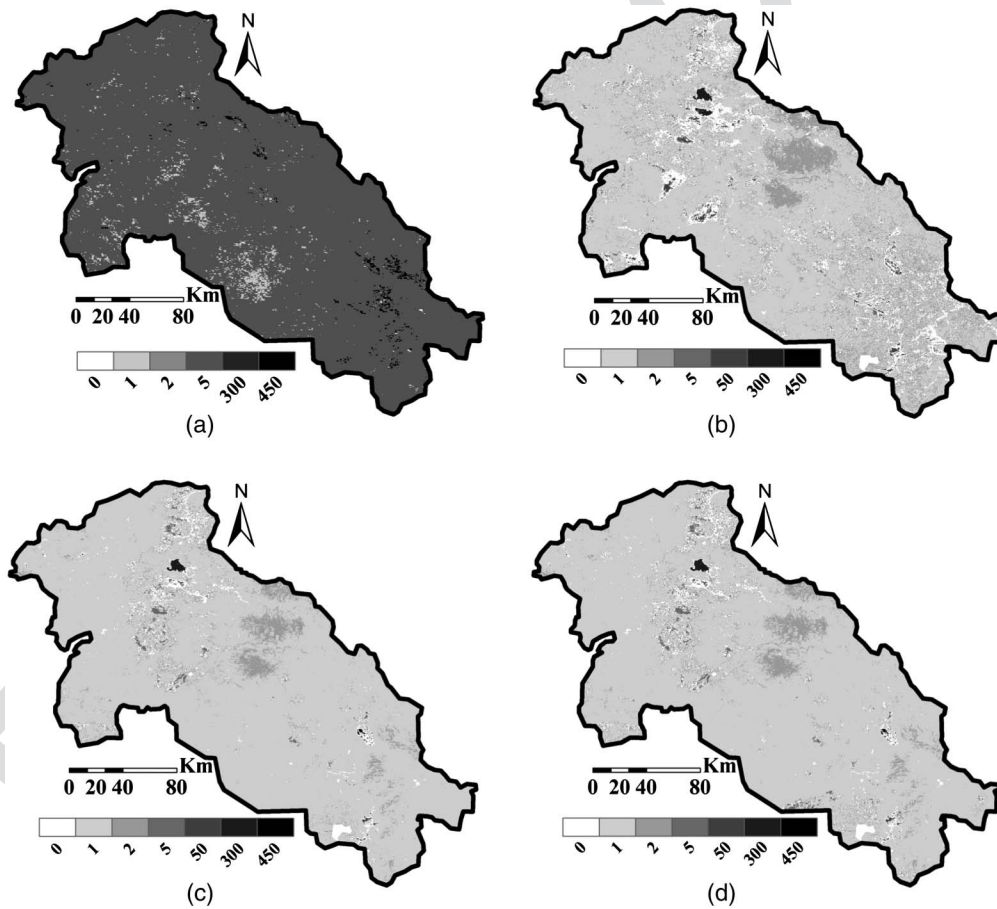
compared with normal precipitation intensity. Erosivity also
 has a dependency on seasonality (wet or dry) (Millward and
 Mersey 1999).

After individual factors have been obtained, soil loss was calcu-
 lated over ORW grid by grid with a spatial resolution of approx-
 imately 3×3 km. The total duration of the storm was 21 days,
 meaning that the soil loss shown in the table is only for 21 days.
 The spatial mean soil loss is shown in Table 6. Mean soil loss de-
 creased from pre-dam to control scenarios for both normal precipi-
 tation and PMP. The total soil loss over ORW is more informative
 than the mean values. Qualitative results from Figs. 10 and 11 show
 soil loss results for actual precipitation and PMP, respectively. The
 soil loss results from PMP were intended to represent a possible
 increase in sediment yield from extreme storm events. For actual
 precipitation, soil loss in the pre-dam scenario mostly ranged be-
 tween 0 and $34 (\text{t} \cdot \text{ha}^{-1})$. Most soil loss after the construction
 period of Owyhee Dam (specifically 1992, 2001, and 2006) dra-
 matically decreased to a value of $0-3 (\text{t} \cdot \text{ha}^{-1})$. As illustrated in
 Table 5, the reason for such temporal discrepancy in soil loss
 was due to LULC changing greatly from grassland to shrub land.
 Although the decreasing trend remains the same, soil loss as a result
 of PMP is much higher. The majority of the area had a soil loss of
 $0-145 (\text{t} \cdot \text{ha}^{-1})$ for the pre-dam scenario, whereas for the post-dam
 period scenarios, the range remained the same at $0-3 (\text{t} \cdot \text{ha}^{-1})$.

To understand the significance of LULC change on sediment
 yield, this study used precipitation simulated from different LULC
 scenario and calculated the corresponding soil loss. This gave a soil
 loss result to pre-dam precipitation-LULC (pre-pre-normal and
 pre-pre-maximized), nonirrigation precipitation-LULC and control
 precipitation-LULC. Results for nonirrigation and control
 each had three sets: one for the year 1992 (control-92-normal/
 maximized, nonirrigation-92-normal/maximized), one for 2001
 (control-01-normal/maximized, nonirrigation-01-normal/maximized),
 and the other for 2006 (control-06-normal/maximized, nonirrigation-
 06-normal/maximized). This gave the opportunity to see sediment



F7:1 **Fig. 7.** (a) Erodibility (k) factor and (b) calculated I_s values using the expression in Goldman et al. (1986) for the Owyhee River watershed



F8:1 **Fig. 8.** (a) Cover and management c factor assigned to LULC of the pre-dam period; (b) 1992; (c) 2001; (d) 2006

539 yield from the aspect of precipitation intensity and LULC change
 540 independently. Table 7 shows the total soil loss, which is a result of
 541 a 21-day storm using such combination. Again, the same storm was
 542 used for the different LULC scenarios considered.

543 Soil loss using pre-dam normal precipitation and pre-dam
 544 LULC (pre-pre-normal) had a total of 34.69×10^6 tons. For PMP

(pre-pre-maximized), this value increased to 42.79×10^6 tons. The
 545 increase, which was approximately 25%, was merely a result of an
 546 increase in precipitation intensity. In the post-dam period, the non-
 547 irrigation precipitation had higher soil loss than that of the control
 548 precipitation. Soil loss (in 10^6 t) from nonirrigation was higher by
 549 1.1 (for the 1992 LULC), 0.76 (for the 2001 LULC), and 0.81 (for
 550

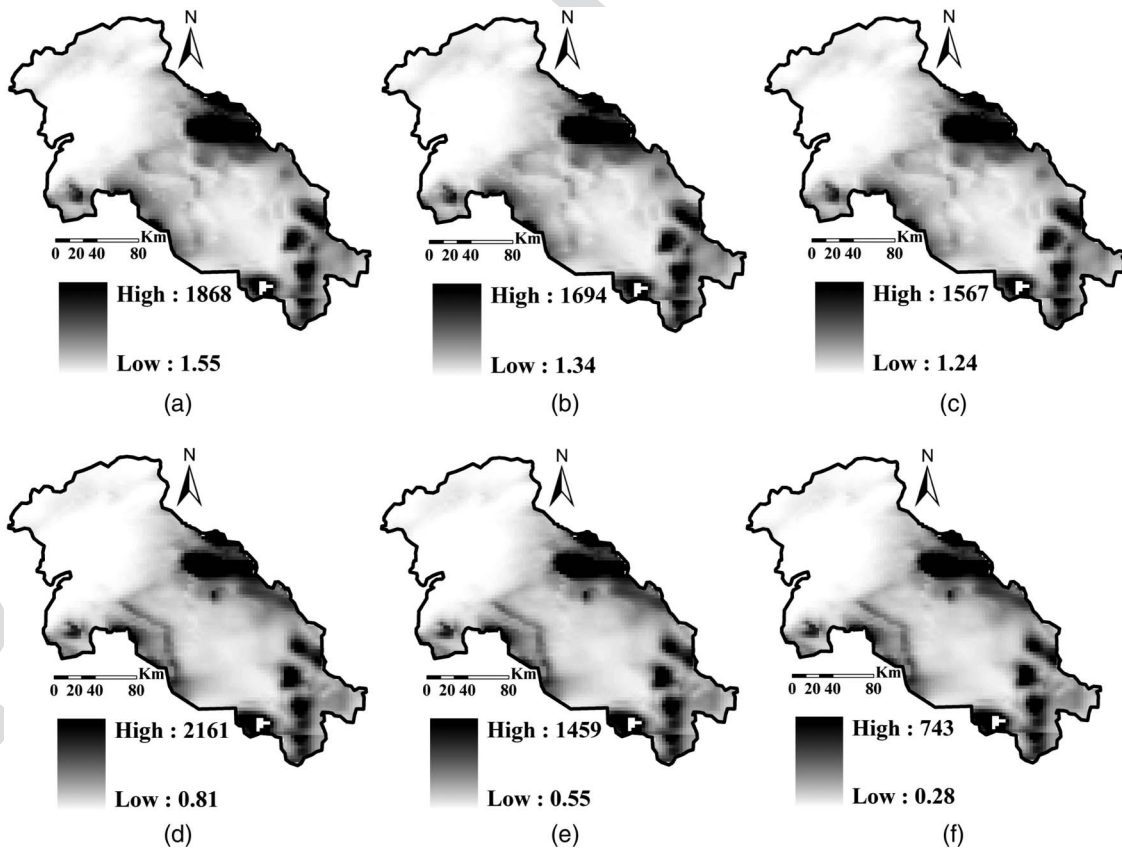
33 **Table 5.** Land Cover Class Percentage for Different Scenarios

T5:2	Description	HYDE (%)		USGS NLCD (%)	
		Pre-Dam	NLCD 1992	NLCD 2001	NLCD 2006
T5:3	Open water	0.02	0.32	0.27	0.26
T5:4	Developed, open space	—	—	0.20	0.20
T5:5	Developed, low intensity	—	0.00	0.05	0.05
T5:6	Developed, high intensity	—	0.07	0.00	0.00
T5:7	Urban and built-up	0.01	—	—	—
T5:8	Barren land (rock/sand/clay)	0.06	0.62	0.39	0.40
T5:9	Deciduous forest	—	0.27	0.30	0.31
T5:10	Deciduous needleleaf forest	0.04	—	—	—
T5:11	Evergreen needleleaf forest	0.05	—	—	—
T5:12	Evergreen forest	—	2.96	1.90	1.90
T5:13	Mixed forest	0.01	0.00	0.00	0.00
T5:14	Shrub/scrub	0.07	83.33	92.24	91.48
T5:15	Open shrub land	2.73	—	—	—
T5:16	Grassland/herbaceous	94.60	9.46	3.47	4.16
T5:17	Pasture/hay	—	2.43	0.40	0.41
T5:18	Savannas	0.16	—	—	—
T5:19	Woody savannas	1.33	—	—	—
T5:20	Cultivated crops	0.91	0.01	0.16	0.16
T5:21	Small grains	—	0.05	—	—
T5:22	Woody wetlands	—	0.11	0.43	0.43
T5:23	Emergent herbaceous wetlands	—	0.34	0.20	0.25
T5:24	Total	100	100	100	100

551 the 2003 LULC) for normal precipitation. The higher values were
 552 possibly a result of the difference in spatial distribution of nonirri-
 553 gation and control precipitation. Although control precipitation was
 554 higher as shown in Table 2, its spatial distribution did not guarantee

a higher erosivity and soil loss because a combination with other
 spatial factors like LULC can give a different result. When soil loss
 was calculated using nonirrigation PMP values, there was an in-
 crease of approximately 25 (1992), 16 (2001), and 15% (2006)

555
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 557
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F9:1 **Fig. 9.** Erosivity (r) factor calculated over Owyhee River watershed using hourly precipitation intensity from December 21, 1996, to January 10,
 F9:2 1997; normal precipitation: (a) pre-dam period; (b) nonirrigation; (c) control; PMP: (d) pre-dam period; (e) nonirrigation; (f) control

Table 6. Average Soil Loss (t/ha) Summary Calculated for Combination of Different LULC and Precipitation Scenarios Using the Storm for the Period of December 21, 1996, to January 10, 1997

LULC scenario	Precipitation scenario					
	Normal			PMP		
	Pre-dam	Nonirrigation	Control	Pre-dam	Nonirrigation	Control
Pre-Dam	11.99	—	—	14.78	—	—
NLCD_1992	—	0.78	0.40	—	—	—
NLCD_2001	—	0.53	0.27	—	0.62	0.56
NLCD_2006	—	0.57	0.29	—	0.66	0.60

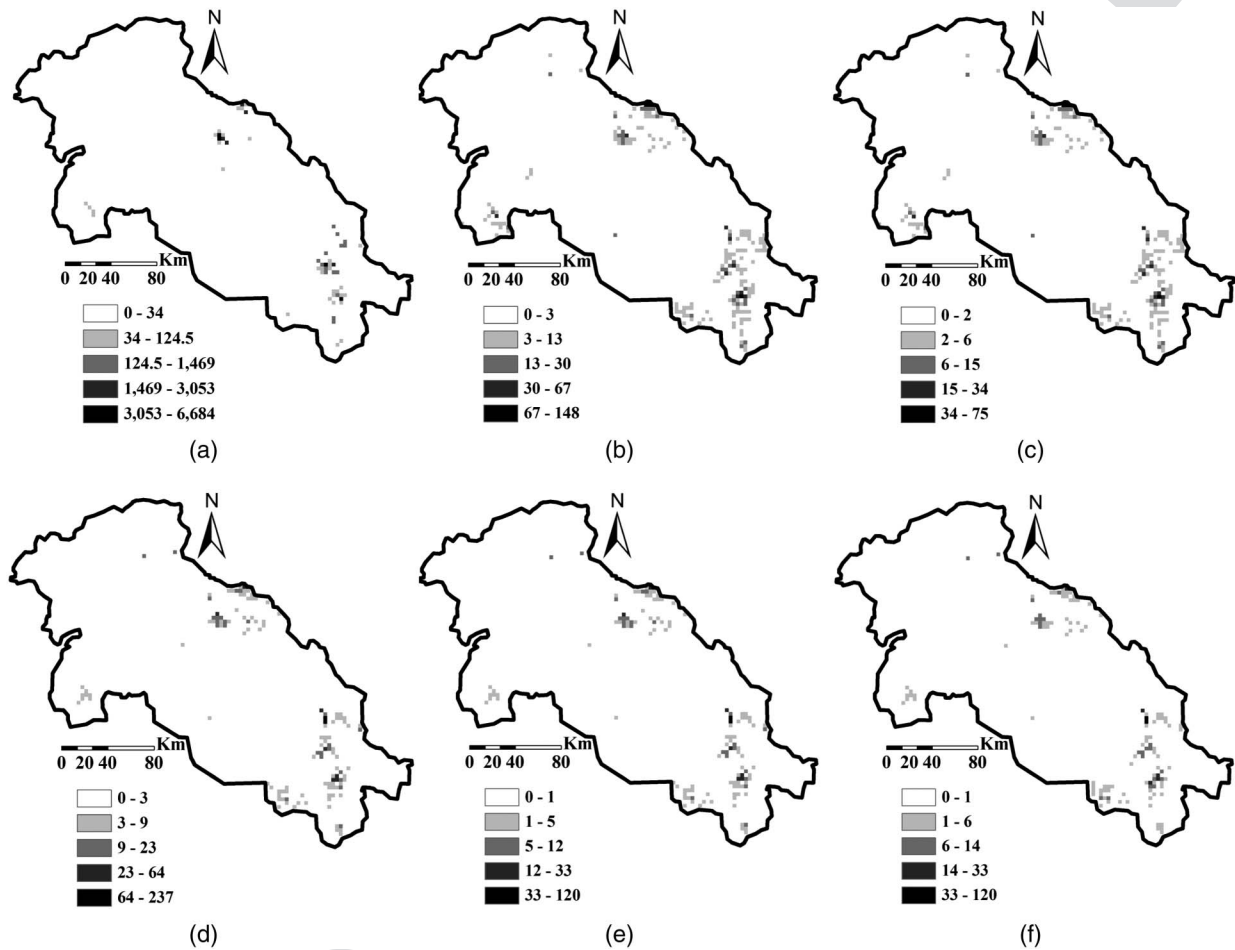


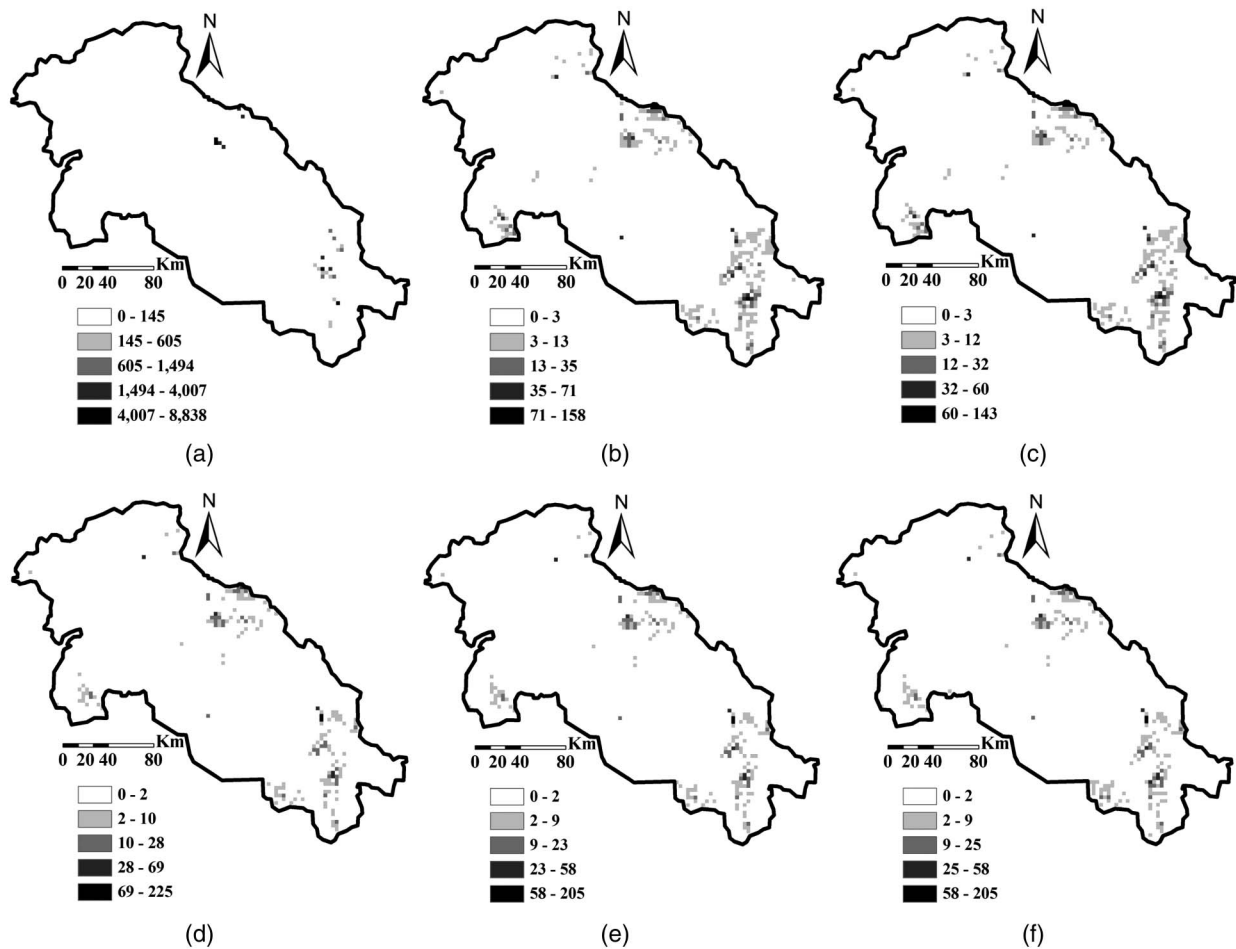
Fig. 10. Soil loss ($t \cdot ha^{-1}$) over Owyhee River watershed for the period from December 21, 1996, to January 10, 1997, using normal precipitation: (a) pre-pre-normal; (b) nonirrigation-92-normal; (c) control-92-normal; (d) nonirrigation-01-normal; (e) control-01-normal; (f) control-06-normal

from normal precipitation condition. However, for control PMP, the increase was significantly higher with values of 120 (1992), 109 (2001), and 107% (2006). The same argument of change in precipitation intensity and LULC from grassland to shrub land, forest, and few urbanized area apply in this case for a decrease in soil loss from year 1992 to 2006.

Because the control scenario represented the LULC between 2001 and 2006, the significant increase in soil loss could represent a potential problem for Owyhee Reservoir. A study by Owyhee Watershed Council (2001) on the upper Owyhee Watershed found that sediment yield from some areas accounts for 25% of the soil loss. A similar trend can be applied, and the total sediment yield calculated for ORW. That is, from Table 7, the control precipitation

and 2006 LULC scenario could cause a sediment yield of 0.21×10^6 and 0.43×10^6 t for normal precipitation and PMP, respectively.

The final result of the sediment yield needed to be transported through the channel system (Owyhee River) to the Owyhee Reservoir and then converted into volume to understand the storage significance. Sediment transport was beyond the methodology of this study. No sediment-load measuring station was available inside ORW to establish a discharge-sediment load relationship. The attempts made to quantify the sediment yield from RUSLE were based on basic assumptions using previous studies on ORW. Soil density over ORW ranges from 1,200 to 1,400 kg/m^3 (USBR 1994). It was assumed that the entire sediment yield was transported to Owyhee Reservoir, with a sediment bulk density of 1,600 kg/m^3 ,



F11:1 **Fig. 11.** Soil loss ($t \cdot ha^{-1}$) over Owyhee River watershed for the period from December 21, 1996, to January 10, 1997, using PMP: (a) pre-
 F11:2 pre-maximized; (b) nonirrigation-92-maximized; (c) control-92-maximized; (d) nonirrigation-01-maximized; (e) control-01-maximized;
 F11:3 (f) control-06-maximized

Table 7. Total Soil Loss (10^6 t) Summary Calculated for Combination of Different LULC and Precipitation Scenarios Using the Storm for the Period of December 21, 1996, to January 10, 1997

		Precipitation scenario					
		Normal			PMP		
T7:2	LULC scenario	Pre-dam	Nonirrigation	Control	Pre-dam	Nonirrigation	Control
T7:3	Pre-dam	34.69	—	—	42.79	—	—
T7:4	NLCD_1992	—	2.24	1.14	—	2.76	2.51
T7:5	NLCD_2001	—	1.54	0.78	—	1.79	1.63
T7:6	NLCD_2006	—	1.64	0.83	—	1.89	1.72

Table 8. Total Sediment Volume (10^6 m³) Calculated for Combination of Different LULC and Precipitation Scenarios Using the Storm for the Period of December 21, 1996, to January 10, 1997

		Precipitation scenario					
		Normal			PMP		
T8:2	LULC scenario	Pre-dam	Nonirrigation	Control	Pre-dam	Nonirrigation	Control
T8:3	Pre-dam	21.68	—	—	26.75	—	—
T8:4	NLCD_1992	—	1.40	0.71	—	1.73	1.57
T8:5	NLCD_2001	—	0.96	0.49	—	1.12	1.02
T8:6	NLCD_2006	—	1.03	0.52	—	1.18	1.07

585 the total sediment volume becomes as shown in Table 8. For
586 example, for the control precipitation and 2006 LULC, the sediment
587 volume increased from 0.52 to 1.07×10^6 m³ when PMP was con-
588 sidered. This increase accounted for 0.1% of Owyhee Reservoir's
589 dead storage for 100% trap efficiency. The decrease in sediment
590 yield from pre-dam to control scenario was 3.62 and 4.34% of
591 Owyhee Reservoir for normal and maximized precipitation, respec-
592 tively. If only a 21-day heavy storm event caused such an increase,
593 then over multiple years, a higher storage loss as a result of sedimen-
594 tation can be expected. The majority of the storms that caused high
595 flood in Owyhee River occurred after the construction of Owyhee
596 Dam. As shown in Fig. 5, there are recurring flood events that
597 are close to the magnitude of the 1996/1997 event. For example,
598 54 events have occurred since 1950 that have registered the historic
599 river level rise as registered by National Oceanic and Atmospheric
600 Administration (NOAA; <http://www.water.weather.gov>). This means
601 the sediment yield estimated in this paper can be anticipated to
602 occur with a frequency that can lead to a storage loss faster than
603 previously expected. The life expectancy of Owyhee Reservoir can
604 definitely be affected from such storage loss, which is in the range of
605 0.1% for only a 21-day storm event.

606 Conclusion

607 The objective of this study was to understand the impact of artificial
608 reservoir and LULC change on extreme floods and watershed sedi-
609 ment yield from the aspect of reservoir storage. Construction of a
610 dam leading to creation of an artificial reservoir increases normal
611 and extreme flood events. In addition to peak flow increase, the
612 volume of water flowing into Owyhee Reservoir is also a consid-
613 erable amount, especially for PMF case. LULC change in the form
614 of irrigation practice has a significant impact on flood and precipi-
615 tation over ORW. The LULC change impact observed over ORW
616 for the nonirrigation scenario is interesting in that the irrigation
617 practice considered is downstream of Owyhee Reservoir. This as-
618 serts the idea that artificial reservoirs and LULC change impact
619 local climate.

620 Sediment yield change over ORW is also significant as a result
621 of precipitation and LULC change. Because it is already shown
622 that LULC change affects precipitation pattern, ultimately it can
623 be stated that LULC is the governing factor in increasing reservoir
624 inflow, and hence sedimentation (for both upstream and down-
625 stream LULC change). However, sedimentation is more affected
626 by the increase in precipitation intensity (owing to the power rela-
627 tionship between sediment yield and discharge) than LULC change
628 because the later evolves steadily in upstream areas. There are some
629 limitations to the results shown in this study. Soil loss calculation,
630 PMP, and PMF will be greatly affected by the grid resolution. As
631 the grid resolution increases, the intensity of the rainfall will be
632 more distributed increasing the soil loss from a given area. The fact
633 that there is a power relationship between intensity and soil loss
634 makes the impact of grid resolution high in terms of the final result.

635 However, the impact of grid resolution on is less as compared to
636 soil loss. One storm event is used in this paper for three different
637 LULC scenarios. However, a specific storm simulated using the
638 corresponding LULC can give a better understanding into the case
639 study considered. The assumptions used in terms of sediment den-
640 sity can be strengthened if there were any sediment analyses and
641 measurements over ORW. The availability of sediment measurement
642 can also help in establishing a sediment-discharge relationship.

643 Given the constant changes in LULC and precipitation pattern,
644 it is necessary to question and perhaps revise the paradigm used in
645 current dams design and operation. A 3% increase in peak flow and

a loss of 0.1% reservoir's dead storage in just 21 days is significant
enough to prompt a revision of design and operation procedures.
For existing dams, a new inflow and sediment load estimation
should be carried out. There is encouraging progress from the en-
gineering community that stresses the need to study future climate
changes for infrastructure design (NRC 1999). Artificial reservoirs
take a major share in energy and food production, water supply in
general, and flood protection. With a large number of dams pro-
jected to be constructed in developing and economically emerging
countries, revisiting design procedure is of great importance for
sustainability. Recent focuses are on change in precipitation and
streamflows. However, future study should look beyond the change
in extreme flow and incorporate sediment yield change as a result
of LULC change.

Dams that are already operational can benefit from apparent
flow and sedimentation changes by modifying their spillway capac-
ity and operation procedure. This is especially true for aging dams
that account for a large number of the total. The two important
parameters, inflow design flood and sediment inflow, that are cru-
cial for dam design are well discussed by considering artificial res-
ervoir and LULC change. The results presented in this study are a
very good indication of the significant impact change in precipita-
tion intensity has on sediment yield from the perspective of an im-
pounded watershed. The results also emphasize the need for change
in the conventional dam design giving possible layout procedures
that can be used in the process.

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