

# 1 Investigating the mesoscale impact of artificial reservoirs on 2 frequency of rain during growing season

3 Ahmed M. Degu<sup>1</sup> and Faisal Hossain<sup>1</sup>

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5 [1] The specific question that this study pursued is “*Have large dams modified the*  
6 *downwind frequency of rainfall in the mesoscale during growing season?*” Rigorously  
7 quality controlled precipitation data comprising 3055 stations from the Global Historical  
8 Climatology Network (GHCN) were analyzed with 92 large dams in the U.S. Using  
9 30 years of atmospheric reanalysis data, the wind rose diagram for each dam was derived  
10 from wind data at the 850 mb level. Around 96 (78) GHCN downwind (upwind)  
11 precipitation stations were identified that were within 100 km (mesoscale) of dams. The  
12 Mediterranean and humid subtropical climates were found to have experienced the highest  
13 and statistically significant change in trend in precipitation frequency downwind and within  
14 100 km of dams during the growing season. The warm summer continental climatic region  
15 was found to have exhibited the next most modification. Paired analyses were performed as  
16 a function of predam and postdam and at upwind and downwind locations. For  
17 Mediterranean climates, the stations studied were found to have experienced a generally  
18 weak trend in precipitation frequency before the construction of the selected dams and a  
19 systematically more impacted trend during the postdam period. However, using  
20 precipitation observations alone, the specific role played by irrigation dams could not be  
21 distinguished from other types of dams in this study. Analysis of humidity records,  
22 however, revealed that dams can increase the moistening of the air mass by about 5%–15%  
23 (in terms of vapor pressure) as it passes downwind, while the effect can also be marginal for  
24 other dams. In summary, our study reveals that it is easier to establish a physically intuitive  
25 connection between large dams and downwind frequency of rain, but it is much more  
26 difficult to demonstrate this connection consistently for all the downwind stations in the  
27 mesoscale without the use of additional geophysical data (e.g., topography, land use, and  
28 land cover patterns) and mesoscale atmospheric modeling.

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## 31 1. Introduction

32 [2] The terrestrial water cycle is important for any study  
33 that concerns future water availability. There is a large  
34 amount of observational and model analyses published in  
35 literature that stress the need to improve our understanding  
36 of how the extremes of climate and water availability are  
37 changing. Of the many important factors, land use and land  
38 cover (LULC) change represents a major human-induced  
39 activity critical to availability of fresh water [Chase *et al.*,  
40 2000; Vörösmarty and Sahagian, 2000; Hossain *et al.*,  
41 2011]. One example of human-induced LULC change is  
42 the construction of engineering facilities for irrigation,  
43 hydroelectric power generation, and industrial and domestic  
44 water supply. In particular, irrigation is one of the major  
45 drivers of change in the water cycle. During the last

46 century, irrigable land increased from 40 to 215 Mha  
47 [Freydank and Sieber, 2008]. About 40% of the current ir-  
48 rigable land is supplied with surface water that is  
49 impounded by large artificial reservoirs and dams built on  
50 rivers [Lempérière, 2006]. Hereafter the term “dam” will  
51 be used interchangeably with “artificial reservoir.”

52 [3] Dams can be constructed for different purposes:  
53 diversion, irrigation, flood protection, hydropower, water  
54 supply, recreation, navigation, etc. The world has approxi-  
55 mately 845,000 dams [Jacquot, 2009], although an exact  
56 number is not yet known. About 50,000 of these can be  
57 classified as “large” by the International Commission on  
58 Large Dams (ICOLD). The water impounded in these large  
59 dams amounts to about 10% of the annual river flow and  
60 covers 1/3 of the Earth’s natural lake areas [Jacquot, 2009].  
61 Though no accurate data is available on the volume of  
62 water impounded behind dams, estimates show that up to  
63 10,800 km<sup>3</sup> of water may have been impounded [Biemans  
64 *et al.*, 2011]. This volume is equal to a volume of the  
65 world’s ocean water having a depth of 30 mm [Chao *et al.*,  
66 2008]. Currently the most comprehensive compilation of  
67 large dams that describes a wide range of dam properties is

<sup>1</sup>Department of Civil and Environmental Engineering, Tennessee  
Technological University, Cookeville, Tennessee, USA.

68 archived by the Global Water Project and is known as the  
69 GRanD database [Lehner *et al.*, 2011; Lehner and Döll,  
70 2004]. Figure 1 shows the distribution of these large dams  
71 digitized in the GranD database around the world along  
72 with their main purpose. According to this GranD database,  
73 about 34% of these large dams are engaged in irrigation.

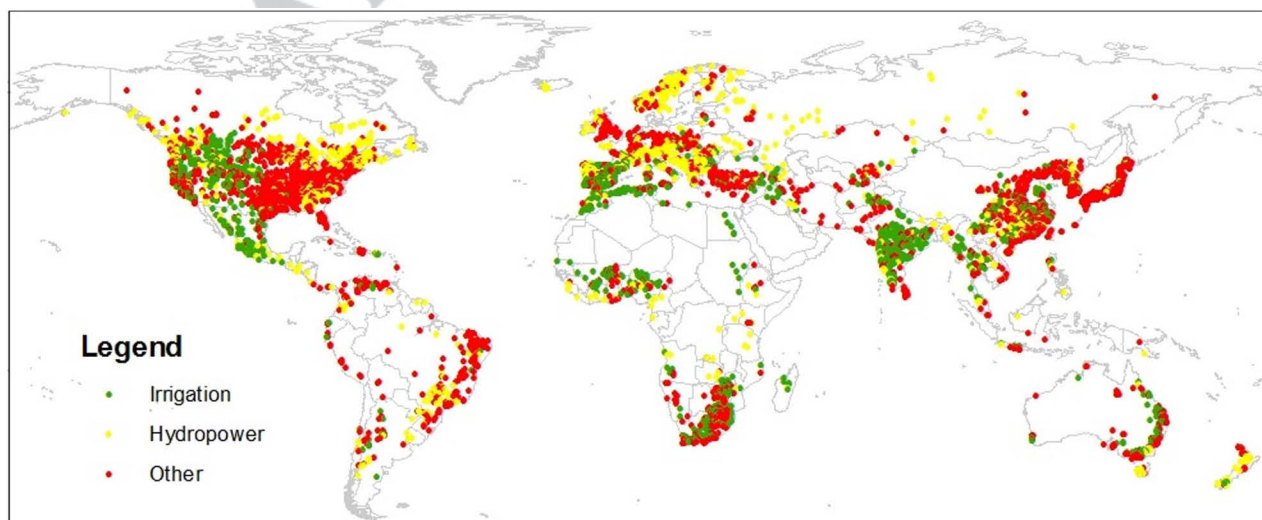
74 [4] Irrigation in the Northern America Great Plains  
75 started in the early 1940s and peaked in the 1950s and  
76 1960s mainly due to the construction of large dams during  
77 the same period [Figure 1; Biemans *et al.*, 2011]. Because  
78 irrigation can be an important driver of LULC change by  
79 dams, it is important to understand the combined role of  
80 the artificial reservoir and irrigation on the alteration of the  
81 water cycle in the mesoscale. Barnston and Schickedanz  
82 [1984] studied the effect of irrigation on the Texas Panhan-  
83 dle and found that irrigation modifies precipitation patterns  
84 when a low convergence and uplift condition exists in the  
85 vicinity for the moisture to rise to the cloud base. In their  
86 findings, an increase in precipitation was observed more  
87 during June (>20%) than July and August. It was also  
88 observed that irrigation lowered the temperature approxi-  
89 mately by 2°C during the hot and growing season and by  
90 1°C during the cold season. Barnston and Schickedanz  
91 [1984] have also showed a possibility of convection in the  
92 absence of convergence when they examined the anomalies  
93 in temperature and dew point. The changes in precipitation  
94 were observed about 65 to 90 km downwind of irrigated  
95 land during the month of June. Similar research on the  
96 Texas High Plains has shown that an increase of 6%–18%  
97 in summer precipitation can occur approximately 90 km  
98 downwind of the irrigated area [Moore and Rojstaczer,  
99 2002]. A more recent study on the Great Plains by DeAnge-  
100 lis *et al.* [2010] reported an increase in July precipitation  
101 by about 15%–30%. This increase was observed mostly  
102 downwind and over the eastern part of Ogalla aquifer  
103 [DeAngelis *et al.*, 2010]. Hereafter, rainfall is used as short-  
104 hand for precipitation.

105 [5] A statistical analysis of observed rainfall downwind  
106 of irrigated land in south Spain (upper and lower Vegas

107 and lower Guadalquivir) found a significant increase in  
108 mean rainfall, ratio of monthly precipitation to annual pre-  
109 cipitation, and number of months with minimum precipita-  
110 tion after irrigation when compared with pre-irrigation  
111 records [Jodar *et al.*, 2010]. In general, the simplest phys-  
112 ical explanation for the increase in precipitation (frequency  
113 and magnitude) due to irrigation that is afforded from liter-  
114 ature is as follows. Irrigation makes available more surface  
115 water for evaporation and transpiration, which can conse-  
116 quently trigger the formation of convective storm systems  
117 under the right set of supporting conditions.

118 [6] In the documented research on the effect of irrigation  
119 on precipitation, the specific role of dams has remained  
120 largely unexplored. Because irrigation can be one of the  
121 major applications of large dams (Figure 1), it is important  
122 to understand the role that irrigation (or nonirrigation)  
123 dams play on precipitation modification. *Do large irriga-  
124 tion dams have a significantly larger impact downwind of  
125 the dam compared to those that are nonirrigation dams?  
126 How does this effect compare to those regions that are  
127 upwind of the dam or in different climates/seasons?* These  
128 are some of the questions worth pursuing for the water  
129 management community as new dams continue to be built  
130 in the developing world and existing dams continue to age.  
131 Downwind of a dam does not necessarily imply it is down-  
132 wind of the irrigated area. However, any noticeable effect  
133 observed downwind of an irrigation dam may support the  
134 notion that the open body of water that is available for  
135 direct evaporation during the growing season may modify  
136 the pre-existing precipitation process. A large part of the  
137 observed increase in precipitation reported in published lit-  
138 erature may also be due to a modification of precipitation  
139 frequency [Groisman *et al.*, 1999; 2005]. Thus, it is impor-  
140 tant to understand how dams (irrigation or otherwise) have  
141 impacted the frequency of rainfall in the mesoscale (within  
142 100 km) along the downwind direction.

143 [7] In order to study the impact of large dams on fre-  
144 quency of rain, particularly for those that are engaged in  
145 irrigation, it makes logical sense to focus on the growing



**Figure 1.** Global distribution of GranD large dams and their main purpose [Lehner *et al.*, 2011].

146 season. The growing season in North America typically  
 147 represents the period spanning from April to September  
 148 when irrigation is in full swing, resulting in intense evapo-  
 149 transpiration and moisture availability. During the growing  
 150 season, the mesoscale impact of LULC change can be  
 151 expected to dominate, particularly for those regions with no  
 152 underlying and larger-scale meteorological process (such as  
 153 the monsoon system). We recognize that the exclusive focus  
 154 on the growing season is associated with some key assump-  
 155 tions and limitations. These are discussed later in section.2.

156 [8] A recent study by *Degu et al.* [2011] showed that  
 157 large dams significantly modify convective available poten-  
 158 tial energy (CAPE) in the local surrounding and creates  
 159 strong spatial gradients for regions in the Mediterranean  
 160 and arid climates. Because CAPE is one of main ingre-  
 161 dients of convective rainfall during the growing season, it  
 162 is plausible to expect that large dams may also intensify the  
 163 frequency of heavy rain at downwind locations under cer-  
 164 tain circumstances. The specific science question that this  
 165 study pursues is, therefore, “*Have large dams impacted the*  
 166 *downwind frequency of rainfall in the mesoscale during the*  
 167 *growing season?*” This is achieved by studying a large set  
 168 of dams located in North America juxtaposed with climato-  
 169 logic wind analysis and precipitation records spanning  
 170 several decades into predam and postdam periods.

## 171 2. Data and Methodology

172 [9] Ninety-two (92) dams classified as large, according  
 173 to the ICOLD and located in the U.S., were selected for the  
 174 study. The geospatial aspect of the data on dams was docu-  
 175 mented and made available through the Global Water Sys-  
 176 tems Project (GWSP) Digital Water Atlas [*GWSP*, 2008].  
 177 The location of these dams along with the surrounding cli-  
 178 mate is shown in Figure 2a (upper panel). The climate class  
 179 is according to the Koppen-Geiger system [*Peel et al.*,  
 180 2007]. To identify the potential effect of irrigation dams, the  
 181 main purpose of each dam was also identified as belonging  
 182 to one of three broad categories: “irrigation,” “hydro-  
 183 power,” and “other” (Figure 2a, upper panel). Here “other”  
 184 refers to applications comprising some or all of the follow-  
 185 ing: flood control, domestic water supply, and recreation.

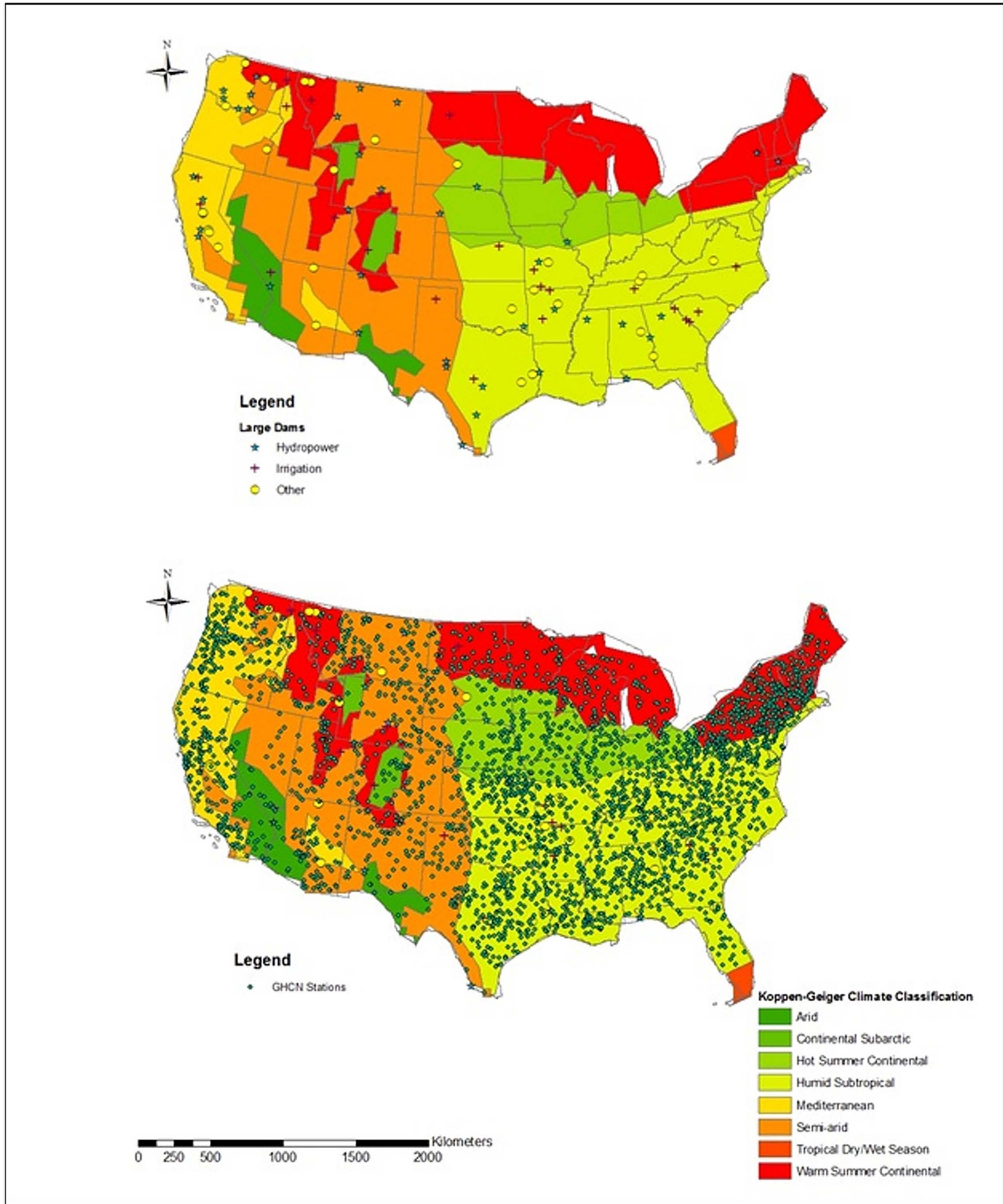
186 [10] In order to establish the prevalent wind direction at  
 187 a seasonal time scale, wind velocity data at the pressure  
 188 level of 850 mb was used. This data pertained to the  
 189 National Center for Environmental Prediction (NCEP)  
 190 North American Regional Reanalysis (NARR) data set  
 191 [*Mesinger et al.*, 2006]. The wind data was available at a  
 192 resolution of 32 km over a period spanning 1979 to 2010  
 193 (~30 years). The choice of the height (or pressure level) to  
 194 compute the precipitation-relevant wind direction may be  
 195 somewhat subjective. While the height should be inclusive  
 196 of the prevalent cloud base height, various researchers have  
 197 used different pressure levels for their downwind analysis.  
 198 For example, *DeAngelis et al.* [2010] used wind velocity at  
 199 850 mb level to investigate the effect of irrigation on pre-  
 200 cipitation in the Great Plains. *Shepherd et al.* [2002] chose  
 201 700 mb for exploring the rainfall modification by urban  
 202 areas. In this study we have chosen 850 mb because of  
 203 our focus on the growing season (April–September) to  
 204 adequately account for low-lying and tall cumulus clouds  
 205 that are quite widespread during the growing season.

[11] The prevalent wind direction was calculated in the  
 form of a standard wind rose diagram from the two hori-  
 zontal wind velocity vectors ( $u$  and  $v$ ) available at daily  
 time step. Figure 3 shows an example for four dams located  
 at different regions of the U.S. The radial direction repre-  
 sents the axis quantifying the frequency of occurrence  
 along a certain geographic direction (computed as a  
 30 year climatologic average). The color represents the in-  
 tensity of the wind speed along that direction. The daily  
 directional data were averaged depending on the season of  
 interest. In this study, wind direction during the growing  
 season (April–September) was of interest. Consistent with  
 the wind rose diagrams, precipitation observation pertain-  
 ing to the same growing season of each year was also used  
 for computing the frequency trend. Hereafter, therefore,  
 precipitation frequency for a given year refers to the num-  
 ber of days with rain (exceeding a given threshold) during  
 the six months of the growing season from April to  
 September. However, it should be noted that the exclusive  
 focus on the growing season naturally leads to some limita-  
 tions. For example, in many regions of the U.S., such as in  
 California, most of the precipitation occurs during the cool  
 (winter) season and not during the growing season. Thus,  
 such regions may not exhibit a strong impact during the  
 growing season. Our study is, nevertheless, consistent with  
 the underlying testable hypothesis that large dams impact  
 precipitation frequency downwind during the growing  
 season.

[12] Daily precipitation data were obtained from the  
 Global Historical Climate Network (GHCN), which is avail-  
 able from the National Climate Data Center (NCDC) on  
 the website <http://www.ncdc.noaa.gov/oa/climate/ghcn-daily/>.  
 The precipitation record archived by GHCN is collected  
 from different sources and merged for quality control. In  
 this study the precipitation data set was extracted for  
 numerous stations in the United States. After a compre-  
 hensive quality assessment, only those stations with more than  
 30 years of continuous and reliable measurement were  
 retained for analysis. Herein, “continuous” refers to a data  
 record having less than 10% of missing data and no more  
 than three straight years of an observation gap. Such a qual-  
 ity requirement resulted in a set of 3055 high quality pre-  
 cipitation stations for analysis (for their location, see  
 Figure 2a, bottom panel). For each station, the number of  
 days with rainfall exceeding a given threshold was com-  
 puted for each year (hereafter called annual “precipitation  
 frequency” in units of “days/year”). The thresholds con-  
 sidered were: of 1, 5, 10, and 15 mm day<sup>-1</sup>. The purpose  
 of computing the annual frequency of rain as a function of  
 an increasing threshold was to understand the effect of  
 dams on heavier rainfall that is typical during the growing  
 season due to cumulus convection.

[13] To achieve the study goals of attributing a modifica-  
 tion (increase or decrease from the long-term mean trend)  
 in rainfall frequency to the nearby dam, we adopted a step-  
 by-step approach summarized in Figure 4. This approach  
 had the following major steps to arrive at a robust set of  
 upwind and downwind “frequency impacted” and statisti-  
 cally significant stations within 100 km of a large dam:

[14] 1. The time series of rainfall frequency (during  
 growing season) for all the 3055 quality controlled GHCN  
 stations was calculated.



**Figure 2.** (a) Upper panel: Location of the 92 large dams used in the study. Each colored region represents a climate zone according to the Koppen-Geiger classification. Symbols indicate the main purpose of the dam. Lower panel: Location of 3055 GHCN stations used for precipitation frequency analysis. (b) GHCN stations with positive and negative slopes in rainfall frequency time series (using threshold of  $1 \text{ mm day}^{-1}$ ) according to the least-squares linear fit.

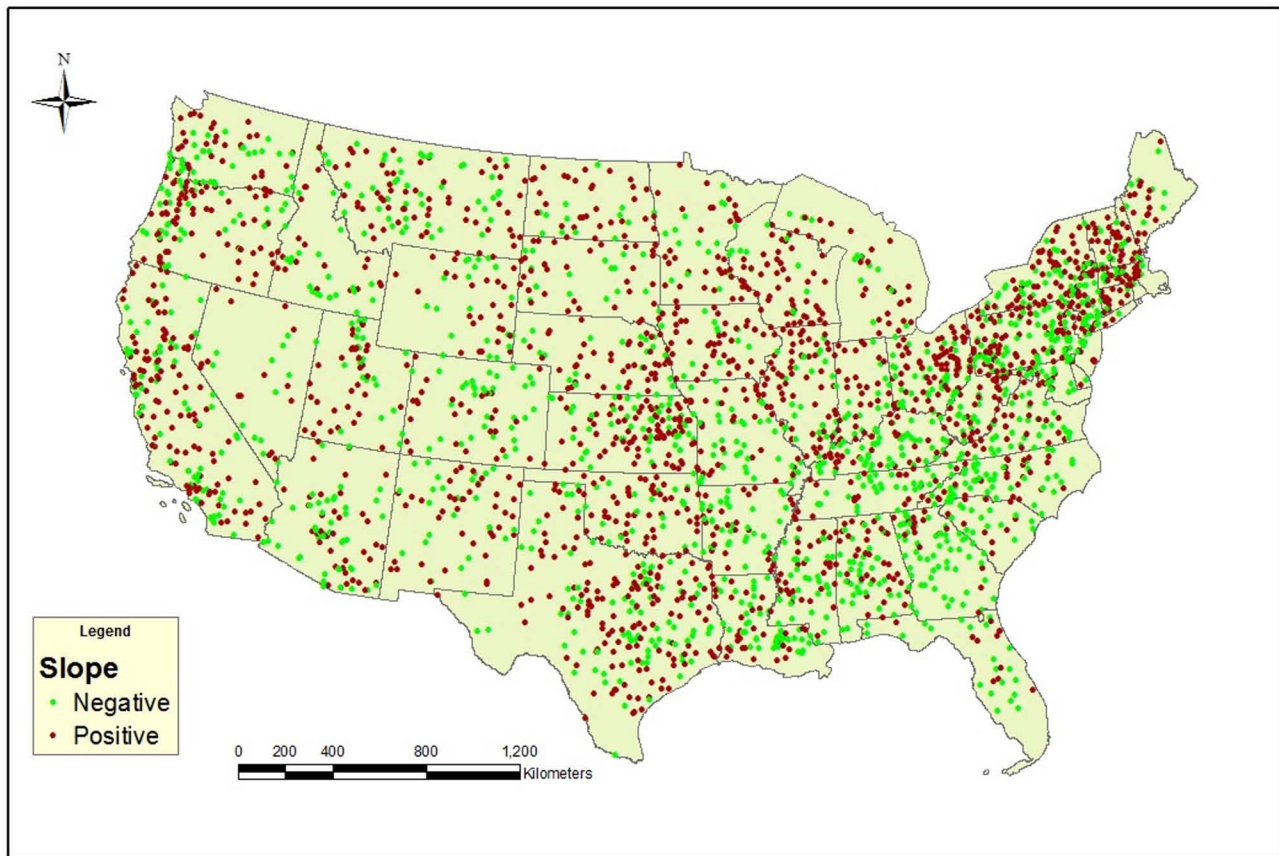


Figure 2. (continued)

268 [15] 2. Each time series was then fitted with a linear  
 269 trend line using the method of least squares (Figure 2b provides the map of stations with positive and negatives slopes  
 270 according to this method for a threshold of  $1 \text{ mm day}^{-1}$ ).  
 271

272 [16] 3. Test for normality of residuals (i.e., the difference  
 273 between predicted frequency from fitted line and observed  
 274 frequency) was performed. Sen's slope was also computed  
 275 for each trend line to filter out any station with a prevalence  
 276 of outlier data (Table 1). Stations that did not pass the test  
 277 for normality of residuals or Sen's slope were discarded.

278 [17] 4. (a) Each least-squares fitted trend line was then  
 279 tested for significance using the nonparametric methods of  
 280  $t$  test and Mann-Kendall test. This step helped identify  
 281 only those stations that reported a statistically significant  
 282 and modified trend (i.e., positive or negative slope of the  
 283 trend line) at the 95% confidence level (Table 2a).

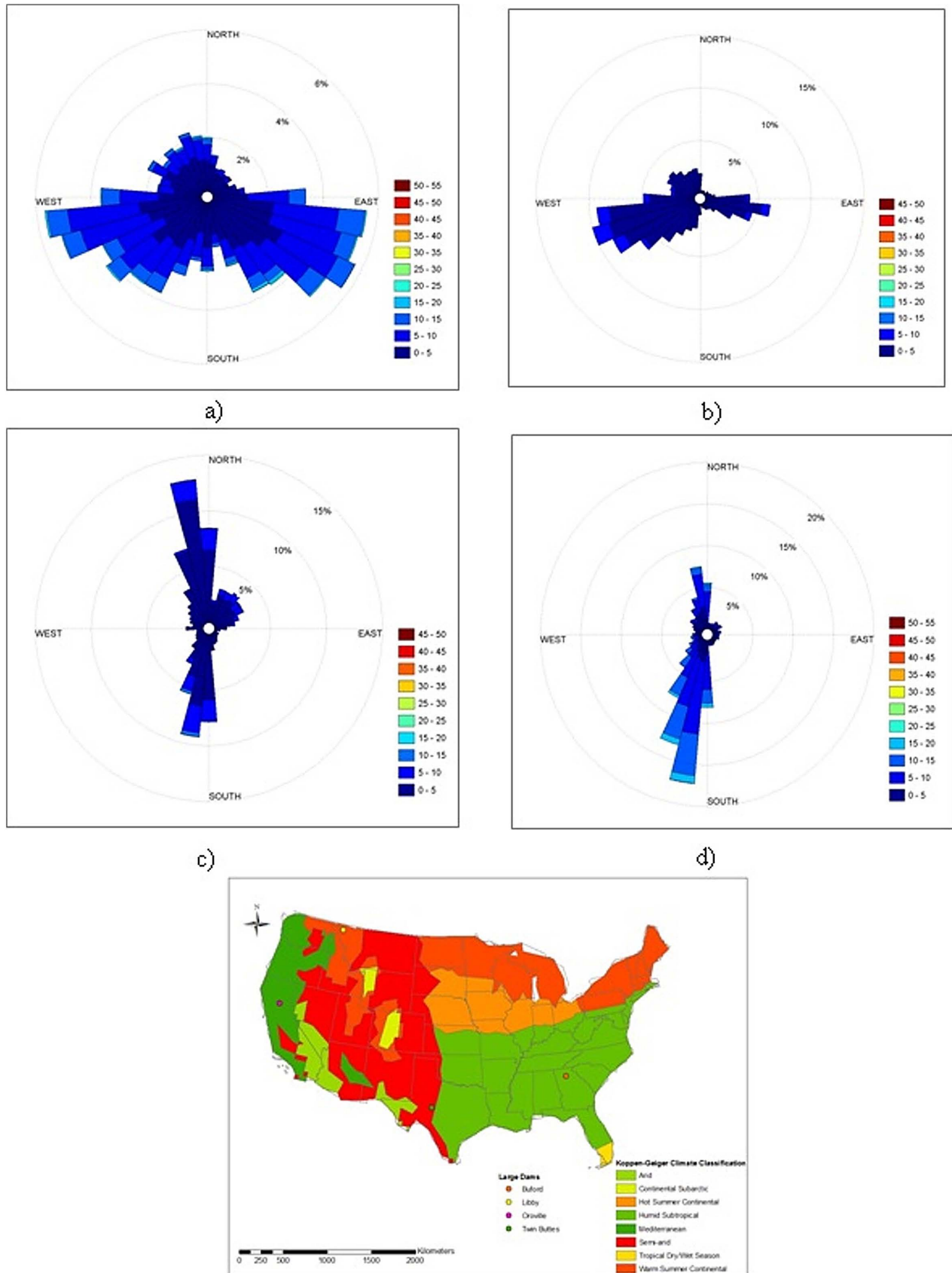
284 [18] (b) Each least-squares fitted trend line was subject  
 285 to a Monte Carlo test proposed by *Morin* [2011] for  
 286 checking for type II errors that can potentially mask an  
 287 underlying (increasing or decreasing) trend (described  
 288 later) (Table 2b).

289 [19] 5. From step 4 the set of stations with statistically  
 290 significant and modified (impacted) trend and also within  
 291 100 km upwind or downwind of a large dam, was identified  
 292 for further attribution analysis.

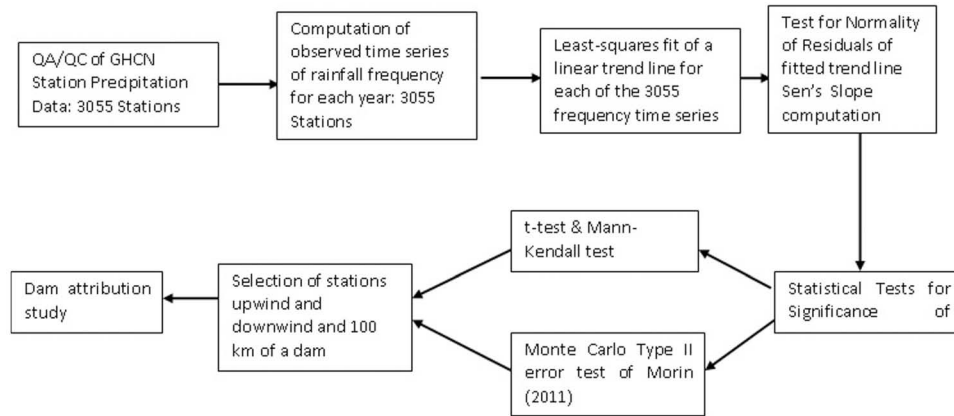
293 [20] The set of acceptable GHCN stations narrowed  
 294 down significantly after step 4 of our step-by-step approach  
 295 with systematic rejection of stations due to filtering out by  
 296 various tests. For example, more than 80% of the 3055

GHCN stations passed the normality of residuals test, and, 297  
 hence, the remaining stations (less than 20%) were rejected 298  
 from the main set during step 3 above (Figure 4). Sen's 299  
 slope was also computed in this step to reject spurious sta- 300  
 tions (among the 80% that passed the normality test) 301  
 impacted by outliers. The Sen's slope is a nonparametric 302  
 alternative for estimating a slope for a univariate time series. 303  
 In this method the slopes for all the pairs of ordinal 304  
 time points are computed and then the median of these 305  
 slopes is used as an estimate of the overall slope. Thus, the 306  
 Sen's slope is supposed to be insensitive to outliers and can 307  
 be used to detect if there is a trend in the data that a linear 308  
 regression model (and its slope) may not clearly indicate. 309  
 Although very rarely observed in our study, whenever the 310  
 Sen's slope and the best-fit line had opposing slope signs, 311  
 the GHCN station in question was rejected as one poten- 312  
 tially spurious due to outliers. Table 1 provides Sen's slope 313  
 for some select GHCN stations (note: only those stations 314  
 that passed the Sen's slope test and normality test were 315  
 then subjected to further significance tests outlined in step 316  
 4(a) and step 4(b) next). 317

[21] Table 2a shows the summary of number of stations 318  
 in each climatic region that passed the significance test at 319  
 level of 0.05 (95% confidence), 0.1 (90% confidence), 0.15 320  
 (85% confidence), and 0.2 (80% confidence). The purpose 321  
 of using various levels of significance was to demonstrate its 322  
 sensitivity to the selection of retained sets. Both tests showed 323  
 that more than 15% of stations have a significant trend with a 324  
 95% confidence level, which is what we focused on afterward. 325



**Figure 3.** Example of wind rose diagrams for dams named (a) Burford (GA), (b) Libby (MT), (c) Oroville (CA), and (d) Twin Buttes (TX) showing the prevalent wind direction at 850 mb pressure level for the growing season. The center is the dam’s spillway. The radial direction represents the axis for frequency of occurrence, while the color represents the average wind speed in  $m s^{-1}$ . The location of these dams is shown in (e).



**Figure 4.** Flowchart showing the step by step approach used to arrive yet at robust set of GHCN stations with statistically significant and modified (impacted) trend and within 100 km of a large dam.

326 A total of 506 stations (having passed *both* the Mann–Kendall  
327 test and the  $t$  test at 95% confidence level) were thus retained  
328 for further consideration. The Monte Carlo test for type II  
329 errors of *Morin* [2011] was also performed in this step 4(b).

330 [22] For the Monte Carlo (MC) test for type II errors, we  
331 followed the *Morin* [2011] test that suggests an MC  
332 approach of generating numerous but “equiprobable” real-  
333 ization of precipitation frequency trend lines. These equi-  
334 probable trend lines were generated by randomly corrupting  
335 the best-fit linear trend line by the mean and standard deviation  
336 derived from the observed time series of frequency of  
337 rainfall. *Morin* [2011] suggests that if more than 50% of  
338 these randomly generated trend lines pass a nonparametric  
339 test of significance (e.g.,  $t$  test or Mann–Kendall), then  
340 the station can be robustly labeled as having marginal type II  
341 errors that would otherwise mask a trend. However, *Morin*  
342 [2011] also suggested that the significance test be performed  
343 for randomly generated trend lines of gradually increasing  
344 linear slope to identify the minimum slope at which more  
345 than 50% of the MC samples pass the test. In our analysis,  
346 1000 MC realizations of trends were generate for each

347 GHCN station only for one slope pertaining to the actual  
348 slope of the best-fit linear line. We observed that only 5%–  
349 20% of the randomly generated trend lines per station passed  
350 the  $t$  test (Table 2b). This clearly indicated the high probabili-  
351 ty (80%) of type II (false negative) errors in the GHCN data  
352 that potentially masks an underlying trend. Finally, 96 (78)  
353 GHCN downwind (upwind) precipitation stations were identi-  
354 fied in step 5 using the step-by-step approach outlined in  
355 Figure 4 that satisfied all the four tests (normality of resid-  
356 uals, Sen’s slope, nonparametric test, and Morin’s test).  
357 These stations also satisfied the constraint of being within  
358 100 km of a large dam. These sets of stations were identified  
359 from the larger set of GHCN stations exhibiting a statisti-  
360 cally significant modification in frequency trends, and, there-  
361 fore, they include stations that reported a systematic increase  
362 or decrease in frequency of rain during the growing season.

### 3. Results and Discussion 363

364 [23] For a first-cut assessment of the general trends, an  
365 increase in frequency (i.e., the positive slope of the linear  
366 trend line) was observed for about 50% of the 3055 GHCN  
367 stations (see also Figure 2b). The average increase in slope  
368 for these stations per each climate zone exhibiting an  
369 increase is shown in Table 3. It can be inferred from this  
370 table that the warm summer continental climate has, in gen-  
371 eral, experienced the highest increase in rainfall frequency  
372 (for threshold  $>1$  mm day $^{-1}$ ). The humid subtropical and  
373 Mediterranean climatic regions were found to have exhib-  
374 ited the next greatest increase. In an earlier study by *Degu*  
375 *et al.* [2011], large dams located in Mediterranean and  
376 semiarid regions were found to exhibit the most distinct  
377 patterns of impact in CAPE from multidecadal observati-  
378 onal records. This discrepancy may be explained from the  
379 fact that most of the precipitation occurs during the winter  
380 season for Mediterranean climates, rather than during the  
381 growing season. Further analysis breaking down the period  
382 as “predam” and “postdam” epochs or as “upwind” and  
383 “downwind” of large dams can reveal a clearer picture.  
384 This is discussed next.

385 [24] Figure 5 summarizes the average change in fre-  
386 quency of rain for all the 96 stations located downwind of

**Table 1.** Comparison of Slopes Obtained From Linear Regression (Least-Squares Fit) and Sen’s Slope Method for Select Stations in Various Climate Zones<sup>a</sup>

Climate	GHCN Station	Linear Slope	Sen’s Slope
Arid	USC00046197	0.0343	0.0256
	USC00020080	−0.0579	−0.0606
Continental Subarctic	USC00052184	0.0932	0.0889
	USC00050372	−0.3776	−0.4167
Hot Summer Continental	USC00110338	0.0292	0.0337
	USC00118860	−0.1229	−0.1239
Humid Subtropical	USC00011069	0.1413	0.1282
	USC00011301	−0.0492	−0.0328
Mediterranean	USC00023258	0.1569	0.1538
	USC00028904	−0.1964	−0.1667
Semiarid	USC00021059	0.1977	0.1909
	USC00020104	−0.1313	−0.1579
Warm Summer Continental	USC00050130	0.0238	0.0202
	USC00056410	−0.2129	−0.2457

<sup>a</sup>Slope has unit of days per growing season.

**Table 2a.** Significance Test of GHCN Stations for Modification of Trend in Rainfall Frequency During Growing Season

Climatic Region	Total	Number of Stations Passing the Significance Test Modification of Trends (Negative and Positive Trends)							
		95% Confidence Level		90% Confidence Level		85% Confidence Level		80% Confidence Level	
		<i>t</i> -test	Mann–Kendall	<i>t</i> -test	Mann–Kendall	<i>t</i> -test	Mann–Kendall	<i>t</i> -test	Mann–Kendall
Arid	48	4	8	5	8	5	14	9	19
Continental Subarctic	16	3	4	4	4	5	4	7	6
Hot Summer Continental	310	47	108	67	108	83	133	92	151
Humid Subtropical	1290	230	424	323	424	400	509	479	565
Mediterranean	308	28	75	48	75	67	94	82	116
Semiarid	441	66	142	94	142	121	166	141	184
Warm Summer Continental	642	128	249	183	249	231	291	264	324
Total Sum	3055	506	1010	724	1010	912	1211	1074	1365
Percentage (%)		16.56	33.06	23.70	33.06	29.85	39.64	35.16	44.68

**Table 2b.** Percentage of Monte Carlo Simulated Frequency Time Series Passing the Significance Test at Various Levels of Confidence According to the *t*-Test

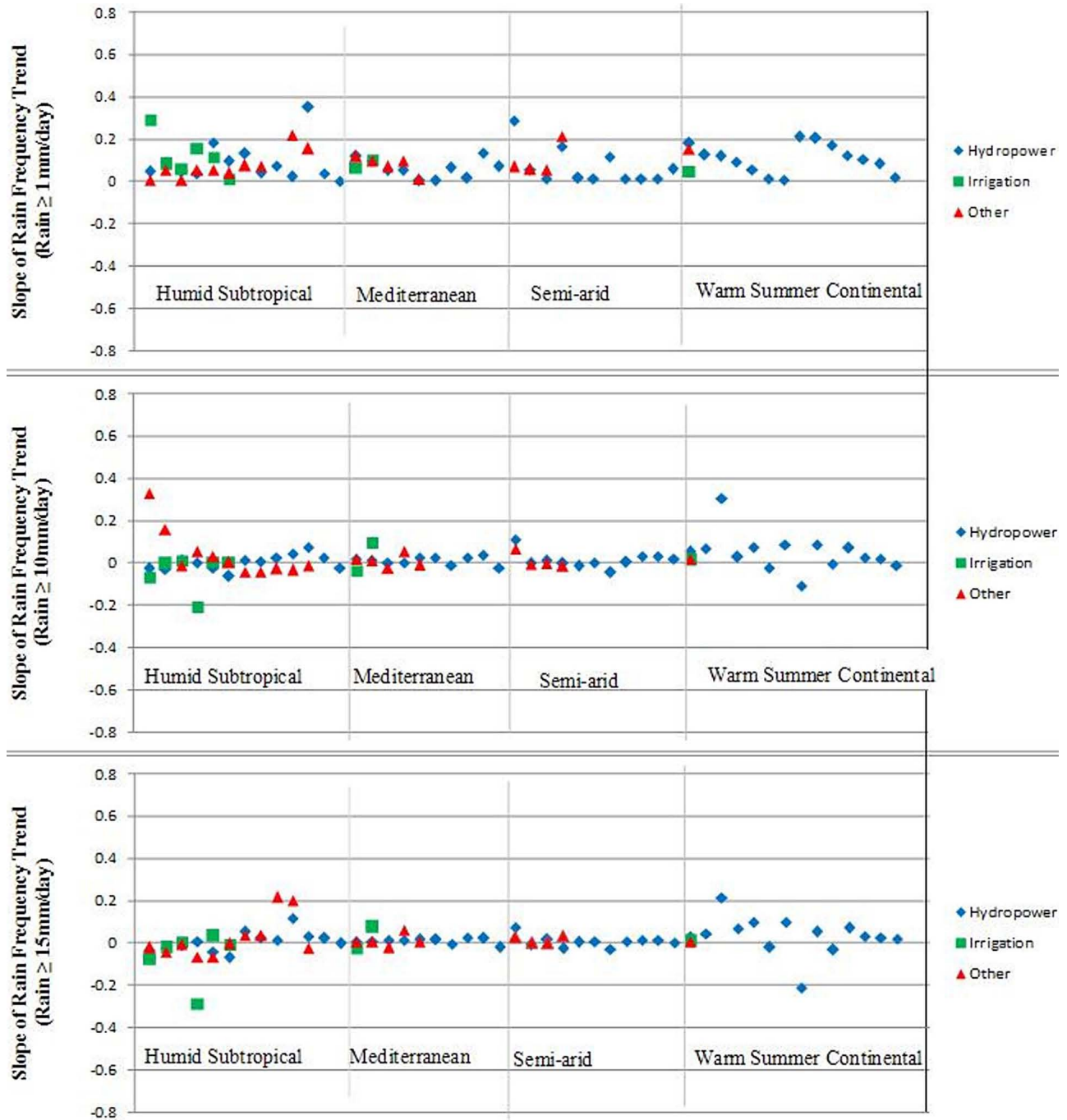
Climate	Number of Stations Analyzed	Percentage of the 1000 Monte Carlo Simulated Time Series per Station That Were Found Significant at Given Confidence Level			
		95% Confidence	90% Confidence	85% Confidence	80% Confidence
Arid	48	6.74	12.23	17.60	22.83
Continental Subarctic	16	5.49	10.75	15.73	20.99
Hot Summer Continental	310	5.44	10.64	15.70	20.72
Humid Subtropical	1290	5.52	10.80	15.94	21.00
Mediterranean	308	5.45	10.73	15.89	20.96
Semiarid	441	5.64	10.92	16.08	21.18
Warm Summer Continental	642	5.58	10.83	16.05	21.12

**Table 3.** Overall Trends in Precipitation frequency Averaged Over Climatic Regions During the Growing Season<sup>a</sup>

Climate	Average Increase (Slope of Linear Trend Line) in Frequency of Rain (Days/Growing Season)			
	Rainfall $\geq 1$ mm day <sup>-1</sup>	Rainfall $\geq 5$ mm day <sup>-1</sup>	Rainfall $\geq 10$ mm day <sup>-1</sup>	Rainfall $\geq 15$ mm day <sup>-1</sup>
Arid	0.141	0.074	0.070	0.059
Continental Subarctic	0.084	0.111	0.052	0.045
Hot Summer Continental	0.211	0.157	0.131	0.112
Humid Subtropical	0.215	0.174	0.139	0.117
Mediterranean	0.207	0.141	0.096	0.070
Semiarid	0.183	0.115	0.086	0.066
Warm Summer Continental	0.221	0.146	0.116	0.099

<sup>a</sup>Precipitation frequency is defined in units of “days per growing season” (i.e., six months spanning Apr to Sept). Analysis is presented for those stations, among the 3055 GHCN stations, that experienced an overall increase in precipitation frequency (positive slope in linear trend line according to 1 mm day<sup>-1</sup> threshold and shown in Figure 2b).





**Figure 5.** Average change in precipitation frequency during growing season (i.e., slope of the linear trend of the precipitation frequency time series) for the set of GHCN stations that are downwind and 100 km of a dam for three different rainfall thresholds (1 mm day<sup>-1</sup>—upper panel; 10 mm day<sup>-1</sup>—middle panel; and 15 mm day<sup>-1</sup>—lower panel). The color and symbol scheme represents the purpose of the closest dam that a station is downwind to. Only stations pertaining to four major climate zones of the US (humid subtropical, Mediterranean, semiarid and warm summer continental) are shown in the figure.

387 dams and as a function of climate and rainfall threshold.  
 388 Here the slope of the best-fit linear trend line fitted to the  
 389 rainfall frequency time series represents the average  
 390 increase in frequency in units of days/year. Barring a few  
 391 exceptions, the impact of most irrigation dams on down-  
 392 wind precipitation frequency is not as clear cut and does

not stand out from other types of dams. This is probably 393  
 due to the fact that downwind of an irrigation dam is not 394  
 necessarily downwind of the irrigated landscape. The 395  
 negligible amount of scatter observed in the lower two 396  
 panels in each climate category shows that the impact of 397  
 dams on heavier (>10 mm day<sup>-1</sup>) precipitation frequency 398

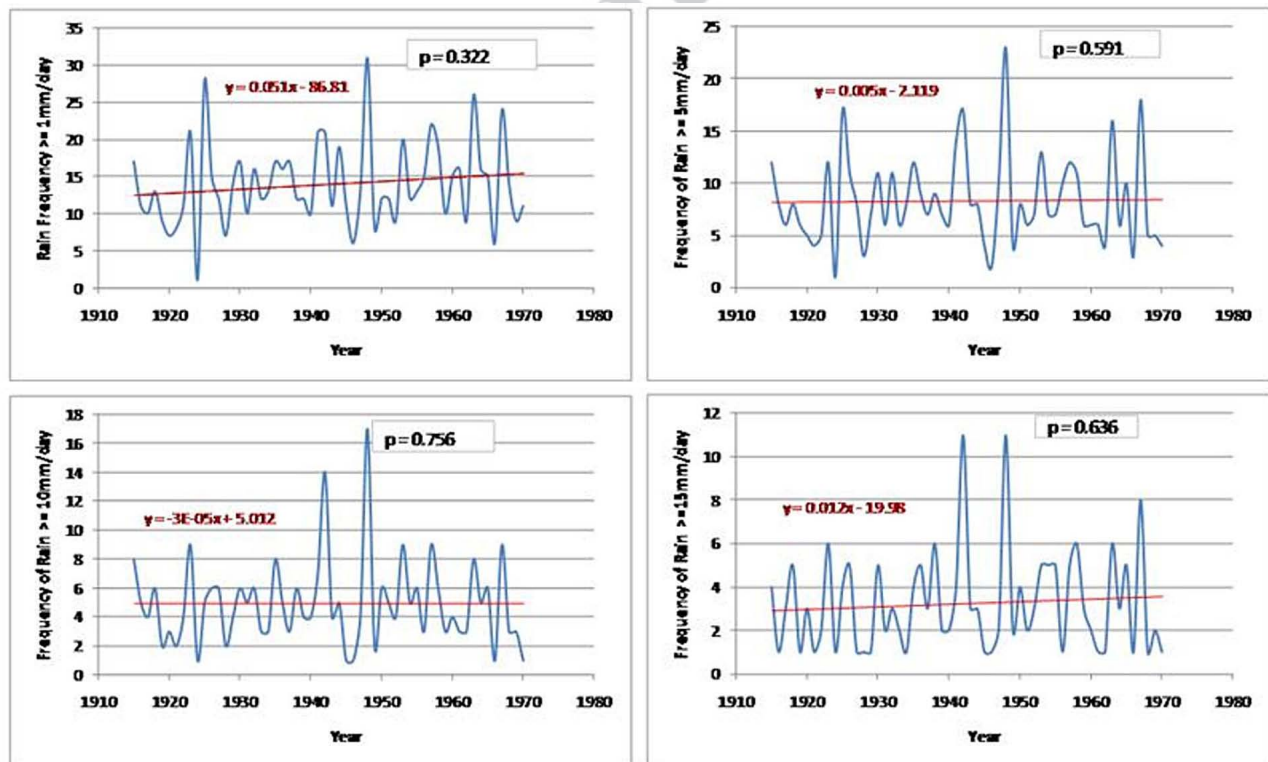
399 probably has not been impacted for heavier rain as much  
400 as light rain ( $<10 \text{ mm day}^{-1}$ ). Figure 6 shows an example  
401 plot of rain frequency trends for a station downwind of the  
402 Oroville Dam in California, which is mainly a hydro-  
403 power dam.

404 [25] To elucidate a clearer picture on the impact of dams  
405 on precipitation frequency, paired analyses were performed  
406 as a function of (A) predam and postdam; and (B) at upwind  
407 and downwind locations. Figure 7 compares the average  
408 change in frequency (during growing season) in terms of  
409 pre- and postdam periods for GHCN stations located down-  
410 wind and within 100 km of a dam. A much more definitive  
411 assessment can be made from this figure. For Mediterranean  
412 climates, the selected stations experienced a relatively weak  
413 trend in precipitation frequency (because of the negative or  
414 near-zero slopes) before the construction of a dam within  
415 100 km. The same set of stations experienced a marked  
416 change in precipitation frequency as shown by the scatter  
417 after the construction of the dam (see lower panel of Figure 7).  
418 The same can be concluded for stations near dams located  
419 in humid subtropical climates. As an example, Figure 8  
420 shows such a postdam increase from predam in frequency  
421 of rainfall for stations near dams located in a Mediterranean  
422 climate.

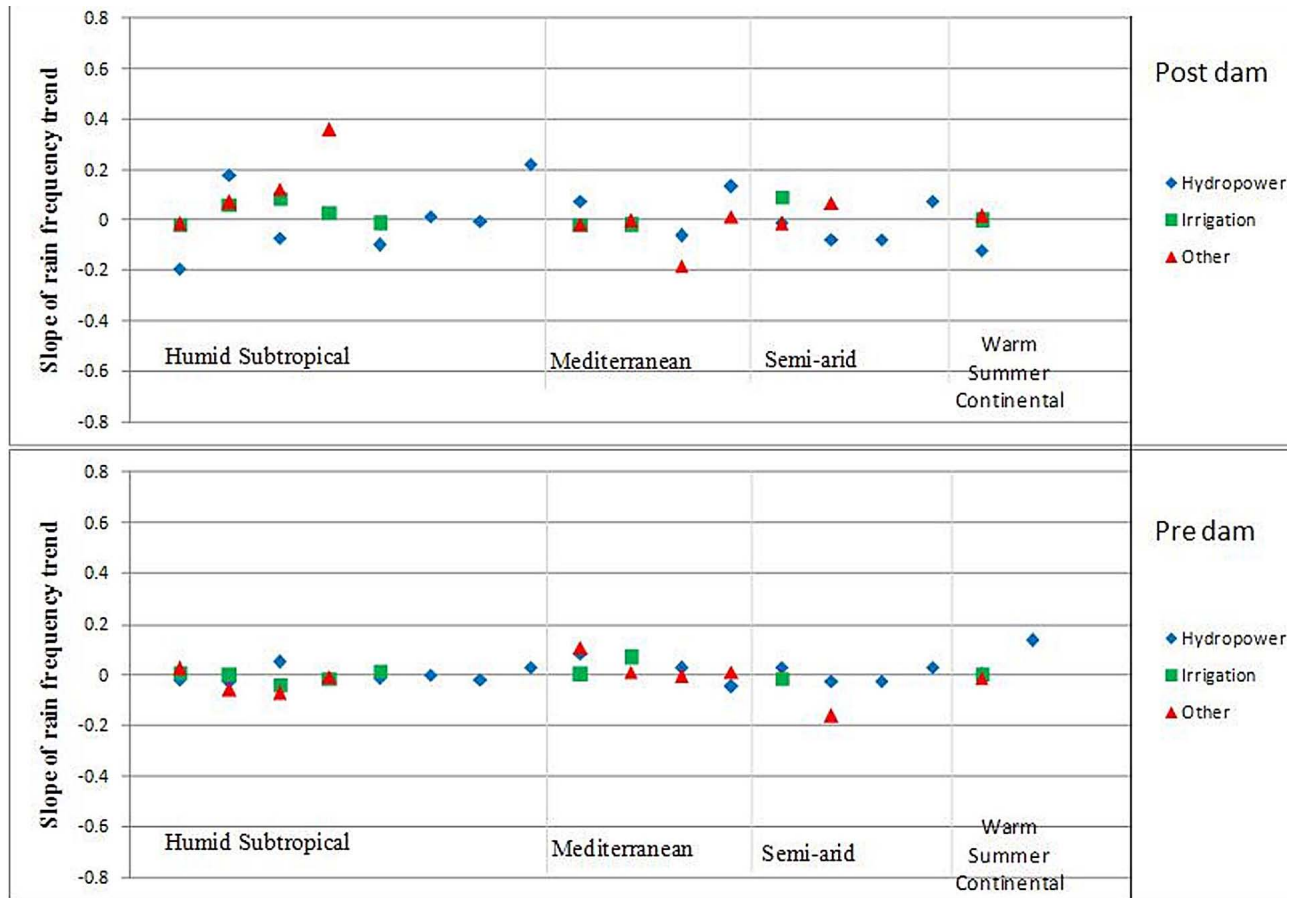
423 [26] A comparison between the average change in rainfall  
424 frequency at downwind and upwind stations for each dam  
425 shown in Figure 9 provides additional observational evi-  
426 dence on the mesoscale impact of dams. This comparison is

shown for the postdam period using a consistent period of 427  
data for both downwind and upwind stations to allow deriva- 428  
tion of unbiased estimates. For Mediterranean and semiarid 429  
dams, the modification in precipitation frequency downwind 430  
of dams appears greater than that at upwind of dams. 431  
However, any specific role played by irrigation dams is not 432  
distinguishable when wind direction is considered. No clear 433  
and distinct differences emerge for other climate regions to 434  
arrive at a conclusion that the downwind stations are influ- 435  
enced by dams more than upwind stations in those climates. 436

[27] To explore if the atmospheric boundary layer can 437  
experience moistening as an air mass passes over a reser- 438  
voir, we also analyzed humidity records at seven weather 439  
station locations of NOAA-National Weather Service 440  
(NWS) immediately upwind and downwind of three dams 441  
in California: (1) Don Pedro Dam; (2) Oroville Dam, and 442  
(3) San Luis Dam (Figure 10). The humidity measurements 443  
were extracted from a NOAA National Weather Service 444  
portal available at <http://www.weather.gov/om/osd/portal.shtml>. 445  
The NWS stations, known more commonly as 446  
WBAN (Weather Bureau, Army, Navy) stations, report 447  
daily minimum and maximum relative humidity and temper- 448  
ature. We converted the relative humidity observation to 449  
vapor pressure units (kPa) in two ways: (1) using daily 450  
maximum of relative humidity with minimum daily temper- 451  
ature and (2) using daily minimum of relative humidity 452  
with maximum daily temperature. In this way, the mini- 453  
mum and maximum vapor pressure was computed. The 454



**Figure 6.** Time series of annual precipitation frequency (days/growing season) for GHCN station USC00041624 located 32.5 km downwind of the Oroville dam in California. Each panel represents a given threshold;  $1 \text{ mm day}^{-1}$  (upper left);  $5 \text{ mm day}^{-1}$  (upper right);  $10 \text{ mm day}^{-1}$  (lower left), and  $15 \text{ mm day}^{-1}$  (lower right). The  $p$  value is provided for each panel.



**Figure 7.** Average change in precipitation frequency (threshold  $1 \text{ mm day}^{-1}$ ) during growing season (i.e., slope of the linear trend line for the precipitation frequency time series) for stations downwind of a dam as a function of predam and postdam periods. The color and symbol scheme represents the main purpose of the closest dam that the station is downwind to. Note: each dam has a specific commission year which divides the period into predam and postdam epochs. Only stations pertaining to four major climate zones of the US (humid subtropical, Mediterranean, semiarid and warm summer continental) are shown in the figure.

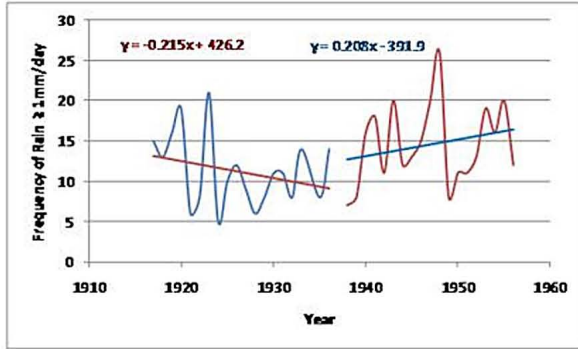
455 daily average for each Julian day was then computed over a  
 456 period of five recent years. The time series of average minimum  
 457 and maximum vapor pressure was then compared  
 458 between stations upwind and downwind to a dam.

459 [28] An interesting pattern is revealed. Downwind vapor  
 460 pressure is seen to be consistently and detectably larger than  
 461 upwind vapor pressure by about 10%–15% for Oroville Dam  
 462 (Figure 10b), while the difference is marginal for Don Pedro  
 463 Dam (Figure 10a). For San Luis Dam, the downwind vapor  
 464 pressure is found to be detectably lower than upwind vapor  
 465 pressure. It is possible that the growing season wind rose  
 466 diagram for San Luis Dam is not inclusive of the predom-  
 467 inantly southwesterly wind direction during the winter season  
 468 when most of the vapor transport takes place. The limitations  
 469 of using the growing season wind rose diagram have been  
 470 explicitly overviewed earlier in section 2. Overall, the three  
 471 types of findings point to the need for an atmospheric model-  
 472 ing study involving land use/land cover change dynamics to  
 473 understand how terrain-induced storms are modified in fre-  
 474 quency and magnitude the presence of a large artificial  
 475 reservoir.

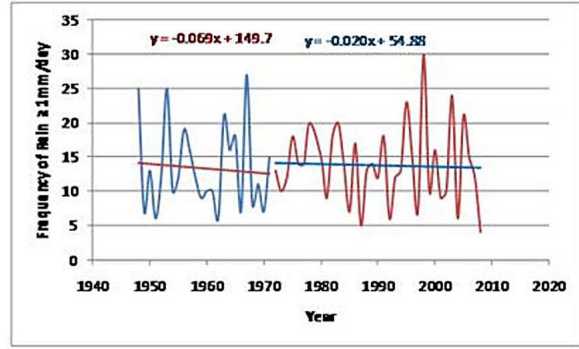
**4. Conclusion**

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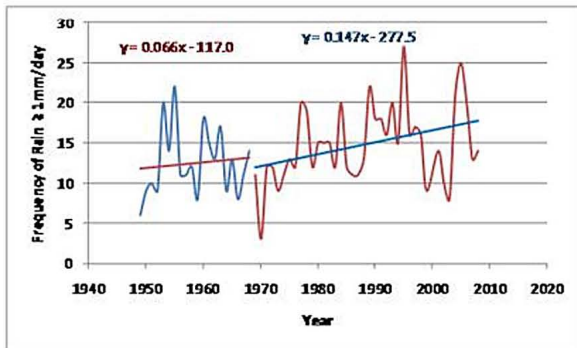
[29] The specific question that is pursued is: “Have  
 477 large dams impacted the downwind frequency of rainfall in  
 478 the mesoscale during the growing season?” Physically it is  
 479 intuitive to expect an impact on frequency or magnitude in  
 480 the mesoscale influence zone of a dam if the region is  
 481 already conducive to convection. With this intuition in  
 482 mind, we analyzed precipitation stations from the Global  
 483 Historical Climatology Network (GHCN) with around 92  
 484 large dams in the U.S. Using 30 years of atmospheric rean-  
 485 alysis data, the wind rose diagrams for each dam was  
 486 derived. Around 96 (78) GHCN downwind (upwind) pre-  
 487 cipitation stations were identified within 100 km of dams.  
 488 From a large set of 3055 stations, we narrowed down,  
 489 through a comprehensive step-by-step approach, to a con-  
 490 siderably smaller set that exhibited a statistically significant  
 491 trend in frequency where stations were also located very  
 492 close to dams. In addition, the fitted time series of fre-  
 493 quency were tested for normality of residuals and checked  
 494 for outliers through the Sen’s slope to allow us to make  
 495



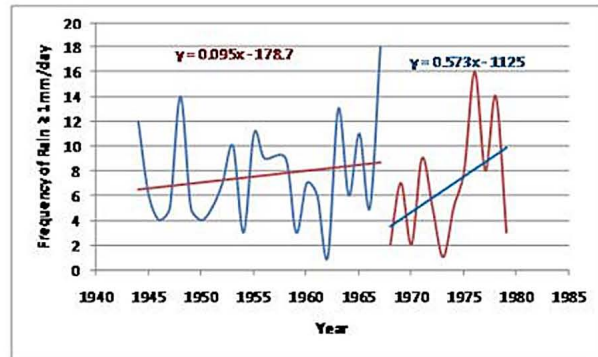
a) Bonneville Dam (purpose: other), Station USC00350753



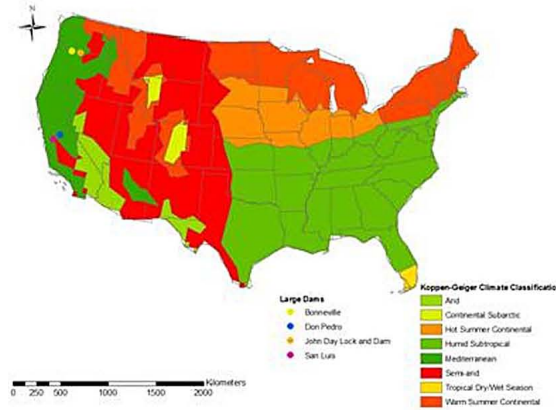
b) Don Pedro dam (purpose: other), station USC00043038



c) John Day dam (purpose: hydropower), station USC00451968



d) San Luis dam (purpose: hydropower), station USC00043925



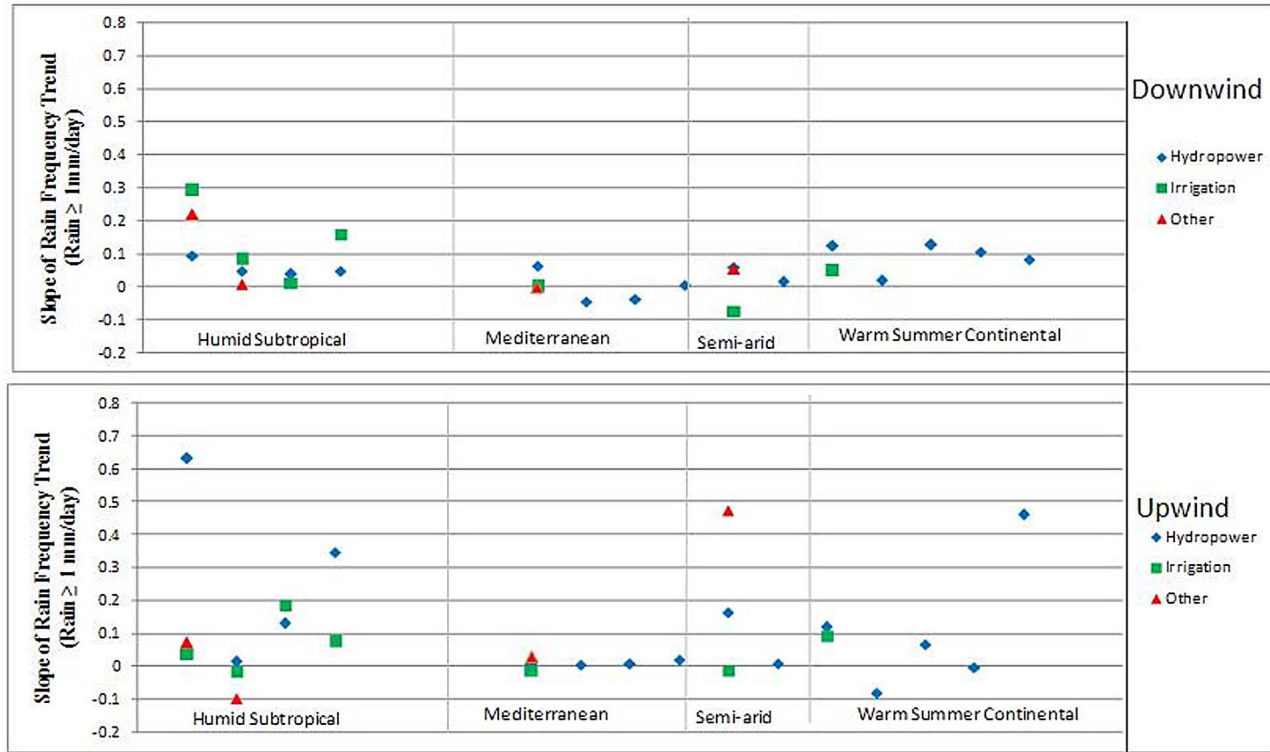
e) The location of dams considered in the above plots.

**Figure 8.** Precipitation frequency time series for predam (“blue” series) and postdam (“red” series) for some stations downwind of dams in Mediterranean regions. Rainfall threshold is 1 mm day<sup>-1</sup>.

496 robust attributions. The attribution to dams for neighboring  
 497 stations experiencing a systematic alteration in frequency  
 498 was found to follow a nuanced dependence on the specific  
 499 method of testing for statistical significance. Conventional  
 500 nonparametric methods (such as *t* and Mann–Kendall tests)  
 501 revealed statistical significance in the gradually increasing  
 502 trends in frequency for about 15% of the 3055 stations at  
 503 the 95% confidence level. On the other hand, a Monte  
 504 Carlo technique revealed a large percentage (90%) of type

II errors in GHCN data that could potentially be masking  
 an underlying trend.

[30] In general, given that about half of the 3055 stations  
 exhibited an increasing trend (Figure 2b), of which only  
 25% were found to be statistically significant, it appears to  
 us that it is far easier to claim that dams *can* impact fre-  
 quency of rain than to prove that the dam *has* actually  
 impacted frequency of rain consistently for all nearby  
 downwind stations solely on the basis of precipitation



**Figure 9.** Comparison of the average change in precipitation frequency between downwind and upwind stations. The color and symbol scheme represents the main purpose of the closest dam that the station is downwind and upwind to. Only stations pertaining to four major climate zones of the US (humid subtropical, Mediterranean, semiarid and warm summer continental) are shown in the figure.

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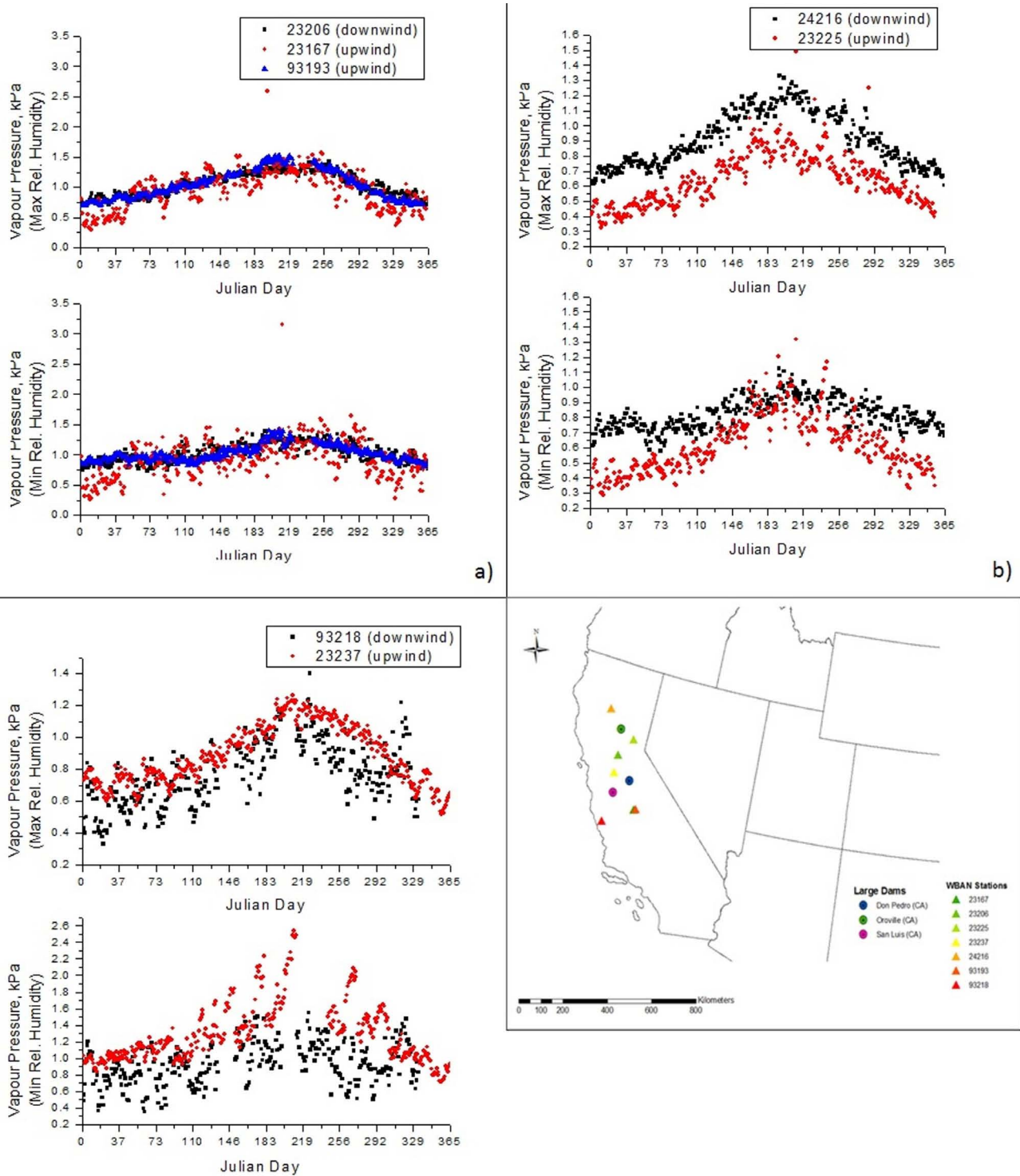
514 observations. This assessment is very similar to the study  
 515 of peak flows and stationarity by *Villarini et al.* [2009],  
 516 where the authors state, “Despite the profound changes  
 517 that have occurred to drainage basins throughout the conti-  
 518 nental United States and the recognition that elements of  
 519 the hydrologic cycle are being altered by human-induced  
 520 climate change, it is easier to proclaim the demise of station-  
 521 arity of flood peaks than to prove it through analyses of  
 522 annual flood peak data.”

523 [31] Barring the statistical nuances, our analysis indi-  
 524 cated that the Mediterranean and humid subtropical cli-  
 525 mates have generally experienced the highest modification  
 526 in precipitation frequency. The warm summer continental  
 527 climatic region was found to have exhibited the next high-  
 528 est change. The same two regions have experienced a com-  
 529 paratively higher increase in higher magnitude events ( $>15$   
 530  $\text{mm day}^{-1}$ ) compared to other climates. For Mediterranean  
 531 climates, a significantly larger number of stations close to  
 532 the dams were found to have experienced a relatively weak  
 533 trend in precipitation frequency before the construction of  
 534 the studied dam and a consistently more modified trend  
 535 during the postdam period. Our analyses also revealed that  
 536 the modification in precipitation frequency downwind of  
 537 selected dams has been greater than that at upwind loca-  
 538 tions of dams studied for those stations located in humid  
 539 subtropical and Mediterranean climates. Even though the  
 540 analysis according to wind direction helped to improve  
 541 our understanding, the specific role played by irrigation

dams could not be distinguished from other dams in this 542  
 study. 543

544 [32] A major motivation of this investigation was prem- 544  
 545 ised on the impact of irrigation on precipitation in the 545  
 546 downwind regions. Because a significant amount of today’s 546  
 547 irrigation water is supplied from large dams, an analysis 547  
 548 with respect to the wind conditions relative to a dam is felt 548  
 549 worthwhile. However, as mentioned earlier, downwind of 549  
 550 an irrigation dam is not necessarily downwind of the irri- 550  
 551 gated landscape. Our findings have indicated that this issue 551  
 552 of impact of irrigation dams requires further investigation 552  
 553 by taking into account the spatial orientation of irrigated 553  
 554 landscapes [DeAngelis et al., 2010] and chronology of agri- 554  
 555 cultural intensification relative to the location of dams. 555

556 [33] Another limitation of our study pertains to our focus 556  
 557 on the growing season. A more inclusive study should con- 557  
 558 sider analysis of precipitation frequency trends for the entire 558  
 559 year as many climates do not experience significant precipi- 559  
 560 tation during growing season. High resolution satellite rain- 560  
 561 fall data sets provide an accurate spatiotemporal distribution 561  
 562 of rainfall around dams at scales of 25 km and 3 h for 562  
 563 specific seasons. Currently, the Tropical Rainfall Meas- 563  
 564 uring Mission (TRMM) multisatellite precipitation analysis 564  
 565 [TMPA, Huffman et al., 2010] provides a multiyear global 565  
 566 archive of distributed rainfall data (spanning more than 10 566  
 567 years) to perform climatologic analysis. Such data can 567  
 568 allow assessment as a function of predam, postdam, and 568  
 569 upwind and downwind of a dam and yet have a statistically 569



**Figure 10.** Vapor pressure plot for NWS (WBAN) stations near dams: (a) Stations 02,206, 23,167, and 93,193 located downwind, upwind, and upwind, respectively, of Don Pedro Dam, CA; (b) stations 24,216 and 23,225 located downwind and upwind, respectively, of Oroville Dam, CA; and (c) stations 93,218 and 23,237 downwind and upwind of San Luis dam, CA. Location of stations shown in panel above.

570 significant sample size to draw inferences on the climato-  
 571 logic frequency of rain around the globe. As a future extension  
 572 of this study, we plan to include LULC change,  
 573 particularly irrigation pattern trends, and incorporate the use

of satellite precipitation data and other rainfall data sources 574  
 (such as NOAA’s Daily Unified Precipitation) in combina- 575  
 tion with atmospheric modeling to improve our understand- 576  
 ing of the impact of large dams on frequency of rain. 577

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- A. M. Degu and F. Hossain, Department of Civil and Environmental  
Engineering, 1020 Stadium Dr., Box 5015, Cookeville, TN 38505-0001,  
USA. (fhossain@tntech.edu)

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