

# 1 Tracing hydrologic model simulation error as a function of 2 satellite rainfall estimation bias components and land use 3 and land cover conditions

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6 [1] The key question that is asked in this study is “*how are the three independent bias*  
7 *components of satellite rainfall estimation, comprising hit bias, missed, and false*  
8 *precipitation, physically related to the estimation uncertainty of soil moisture and runoff*  
9 *for a physically based hydrologic model?*” The study also investigated the performance  
10 of different satellite rainfall products as a function of land use and land cover (LULC)  
11 type. Using the entire Mississippi river basin as the study region and the variable  
12 infiltration capacity (VIC)-3L as the distributed hydrologic model, the study of the  
13 satellite products (CMORPH, 3B42RT, and PERSIANN-CCS) yielded two key findings.  
14 First, during the winter season, more than 40% of the rainfall total bias is dominated by  
15 missed precipitation in forest and woodland regions (southeast of Mississippi). During the  
16 summer season, 51% of the total bias is governed by the hit bias, and about 42% by the  
17 false precipitation in grassland-savanna region (western part of Mississippi basin).  
18 Second, a strong dependence is observed between hit bias and runoff error, and missed  
19 precipitation and soil moisture error. High correlation with runoff error is observed with  
20 hit bias ( $\sim 0.85$ ), indicating the need for improving the satellite rainfall product’s ability  
21 to detect rainfall more consistently for flood prediction. For soil moisture error, it is the  
22 total bias that correlated significantly ( $\sim 0.78$ ), indicating that a satellite product needed to  
23 be minimized of total bias for long-term monitoring of watershed conditions for drought  
24 through continuous simulation.

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## 28 1. Introduction

29 [2] Precipitation (hereafter used synonymously with  
30 “rainfall”) is one of the most important atmospheric inputs  
31 for hydrologic model simulation. Precipitation dominates  
32 the spatial and temporal variability of other hydrological  
33 variables (such as soil moisture, runoff, and evapotranspira-  
34 tion) [Syed *et al.*, 2004; Famiglietti *et al.*, 1995]. About  
35 70%–80% of space-time variability in the hydrologic cycle  
36 is reportedly dictated by precipitation variability. Because  
37 precipitation is the key element of the hydrologic cycle, its  
38 quantitative estimation is essential for hydrologic modeling  
39 in both scientific and applied research. The accuracy of

hydrologic prediction depends, among many factors, on the  
accuracy of the model input, the primary one being rainfall. 40

[3] Rainfall measurement from the ground using conven- 41  
tional methods is more direct and reliable than satellite- 42  
based rainfall [Villarini *et al.*, 2008], but it lacks the desired 43  
spatial and temporal sampling needed to achieve a high- 44  
resolution rendition of the terrestrial hydrologic fluxes in 45  
the continuum of space and time. The major concern for the 46  
hydrologist is the representativeness of point measurements 47  
for areally averaged rainfall which is the usual input to dis- 48  
tributed and physically based hydrologic models [Habib 49  
*et al.*, 2004]. This issue becomes more important when we 50  
consider that ground observation networks are either 51  
sparse, nonexistent, or declining for most parts of the world 52  
[Stokstad, 1999; Shiklomanov *et al.*, 2002]. More impor- 53  
tantly, precipitation’s spatial variability and intermittent na- 54  
ture makes it difficult to observe using the conventional 55  
ground-based rain gauge method. These practical limita- 56  
tions of ground rain gauge networks have prompted increas- 57  
ingly wider use of spaceborne observation of rainfall as an 58  
indispensable bridge to quantifying precipitation fluxes over 59  
large and inaccessible areas [Anagnostou *et al.*, 2010; Tian 60  
*et al.*, 2009; Hong *et al.*, 2007; Gottschalck *et al.*, 2005]. 61

[4] With a capability to provide rainfall estimates for 62  
data sparse regions not well covered by gauges or ground 63  
64

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radars (e.g., water bodies, mountainous and remote desert areas), satellite rainfall estimates are a promising additional source of forcing data for large scale hydrologic modeling [Nijssen and Lettenmaier, 2004; Tian and Peters-Lidard, 2010]. Many efforts have been undertaken to fulfill the demand of the scientific community in providing accurate satellite rainfall estimates at hydrologically relevant spatio-temporal scales [Hsu et al., 2010; Huffman et al., 2007; Joyce et al., 2004; Sorooshian et al., 2000]. The studies have collectively contributed to the progress made from 1 deg spatial and monthly time scales [Huffman et al., 1997; Huffman et al., 2001; Adler et al., 2003] to 0.25 deg spatial and hourly temporal scale [Huffman et al., 2007; Joyce et al., 2004; Sorooshian et al., 2000; Joyce and Xie, 2011, Ushio et al., 2009, Behrangi et al., 2010; Hong et al., 2004] to make satellite rainfall data potentially more useful as a forcing for macroscale hydrologic modeling.

[5] In the evolution of space technology, the next promising and future global rainfall data source that is founded on the heritage of Tropical Rainfall Measuring Mission (TRMM) and preceding satellite missions, is the Global Precipitation Measurement (GPM) Mission. The planned GPM mission will provide rainfall estimates at spatial resolutions of 25–100 km<sup>2</sup> and temporal scales of 3 to 6 h for about 90% of global coverage [Hou et al., 2008]. Rainfall estimates from GPM hold great promise for river flow modeling, water resource management, flood and drought disaster management, and environmental protection. In particular, GPM and its associated rain products will be the only available rainfall data source for many parts of the world.

[6] Although the overall progress and improvements in satellite rainfall measurement from space has been notable for hydrologic modeling and other applications, the level of uncertainty associated with rainfall estimation and sampling frequency is still significant [Hossain and Huffman, 2008; Nijssen and Lettenmaier, 2004; Chang and Chiu, 1999]. Nijssen and Lettenmaier [2004] evaluated the effect of precipitation sampling errors on simulated moisture fluxes and states by forcing a macroscale hydrologic model with error-corrupted precipitation fields for different temporal sampling and spatial scales. They found that simulated satellite precipitation (with sampling errors similar to that expected from the constellation of passive microwave sensors) exhibited significant errors in moisture fluxes and states. They also showed that the propagated error in simulated fluxes and states significantly reduced for larger areas and longer sampling intervals. For instance, for 2500 km<sup>2</sup> and a 3 h sampling interval, the areally averaged root mean square error (RMSE) was greater than 50%, which reduced to 10% for 500,000 km<sup>2</sup>. Tian and Peters-Lidard [2010] produced such a satellite rainfall uncertainty map at global scale by computing the standard deviation from the ensemble mean of different satellite rainfall products at every grid box and time step without ground validation data. Their study reported the occurrence of less uncertainty over oceans and large uncertainty over the surfaces at high elevations where the orographic rainfall processes present significant challenges for satellite-based remote sensing of precipitation.

[7] Several other studies have recently emerged on the application of TRMM-based multisatellite rainfall products for hydrologic modeling [Nijssen and Lettenmaier, 2004;

Su et al., 2008; Gebregiorgis and Hossain, 2011, among many others]. It is crucial for hydrologists now to understand how rainfall uncertainties affect hydrologic predictability. Many of the available satellite rainfall products are developed directly or indirectly from merging of infrared [inferior rectus (IR)] and passive microwave (PMW) sensors estimates based on different algorithmic approaches. For instance, the 3B42RT algorithm [Huffman et al., 2010] uses MW data to calibrate IR estimates to obtain a merged product from MW and calibrated IR when and where PMW estimates are unavailable. The CMORPH algorithm [Joyce et al., 2004] utilizes the IR estimates only to derive the cloud motion field that helps to propagate the rainfall estimates of PMW data. The PERSIANN (precipitation estimation from remotely sensed information using artificial neural networks) algorithm utilizes the relationship between IR and MW estimates as derived from artificial neural network techniques and the rainfall estimates are then obtained from the MW data downscaled to the IR footprint. There are different versions of PERSIANN products. The first algorithm [PERSIANN, Sorooshian et al., 2000] uses gridded IR brightness temperature obtained from geostationary satellites to compute the corresponding gridded rainfall rate by adjusting the model parameters routinely to PMW rainfall estimates. This product is available at spatial resolution of 0.25 deg × 0.25 deg and temporal scale of 30 min which is later converted to a 6 h rainfall accumulation. The second PERSIANN version is developed based on patch cloud classification system [PERSIANN-CCS, Hong et al., 2004; Hong et al., 2005; Hsu et al., 2010]. The cloud images are classified into cloud patch regions based on cloud height, areal extent, and texture features extracted from satellite imagery. Finally, a relationship between rain rate and brightness temperature is established for pixels within each cloud patch region. GSMaP [Ushio et al., 2009] is also another satellite rainfall product which uses a similar technique as CMORPH in propagating the PMW derived precipitation field using the IR-derived motion vectors, but unlike the CMORPH algorithm, it also uses cloud top brightness temperature to propagate precipitation estimates. Among the discussed rainfall algorithms, CMORPH, GSMaP, and PERSIANN-CCS offer resolutions higher than 3 h and 0.25 deg.

[8] Recognizing the vast complexity and interdependencies of the multiple sensors used in quasi-statistical rainfall algorithms of today, Gebregiorgis and Hossain [2011] demonstrated a multiproduct merging method that leverages the a priori uncertainty of individual products. Therein, they reported that it is indeed feasible to create a more superior merged product by making skillful and complementary use of the uncertainty of each individual product in hydrologic model simulation of the fluxes (such as soil moisture and runoff). Runoff and soil moisture based merged products improved the runoff and soil moisture simulation. On average the RMSE of streamflow with runoff based merged product decreased by 41%, 82%, and 60% and soil moisture based merged product by 50%, 79%, and 53% for 3B42RT, CMORPH, and PERSIANN-CCS products, respectively.

[9] The natural follow-up question now is, *how can we implement such a multiproduct merging approach in regions where there is no ground truth data to derive a priori estimates of uncertainty?* A recent study by Tang and Hossain [2011] on the similarity of satellite rainfall error as a function of Koppen climate class reported that certain measures of

189 rainfall uncertainty can be clustered according to climate and  
 190 terrain type. Their study showed promise in “transferring”  
 191 error information from a gauged region to an ungauged  
 192 region with similar climate characteristics. Similarly, there  
 193 are also other studies that report the performance of rainfall  
 194 products as a strong function of the region and topography.  
 195 For example, most TRMM-based products that do not utilize  
 196 comprehensively the precipitation radar (PR) data are known  
 197 to be generally weak in detecting orographic precipitation  
 198 [Dinku *et al.*, 2010]. In particular, the poor performance of  
 199 some of the commonly used multisensor products over the  
 200 Himalayas, Andes, or the Ethiopian highlands, is now well  
 201 known [Dinku *et al.*, 2007; Hirpa *et al.*, 2010]. Thus, it  
 202 appears that multiproduct merging can potentially improve  
 203 further from an investigation of climate, land use and land  
 204 cover (LULC), and terrain features in dictating the rainfall  
 205 estimation uncertainty.

206 [10] The present study is driven by the need to raise  
 207 more awareness and understanding about the complex  
 208 interrelationship between uncertainty of rainfall and hydro-  
 209 logic simulation (of key fluxes such as soil moisture and  
 210 runoff errors) as a function of LULC and terrain features.  
 211 To make the study directly relevant to data product devel-  
 212 opers engaged in improving their algorithms for GPM, this  
 213 study traces the source of error observed in hydrologic pre-  
 214 dictability to the input (rainfall) error predecomposed into  
 215 easy to understand independent components. Such compo-  
 216 nents, by virtue of the power of their simplicity and phys-  
 217 ical significance, stand to provide tangible feedback to  
 218 developers on how exactly algorithms may need to be re-  
 219 vised to advance their application for hydrology. The study  
 220 is conducted on a continental scale (the Mississippi River  
 221 basin) using multiyear data sets to arrive at statistically ro-  
 222 bust and comprehensive findings at regions with similar  
 223 LULC.

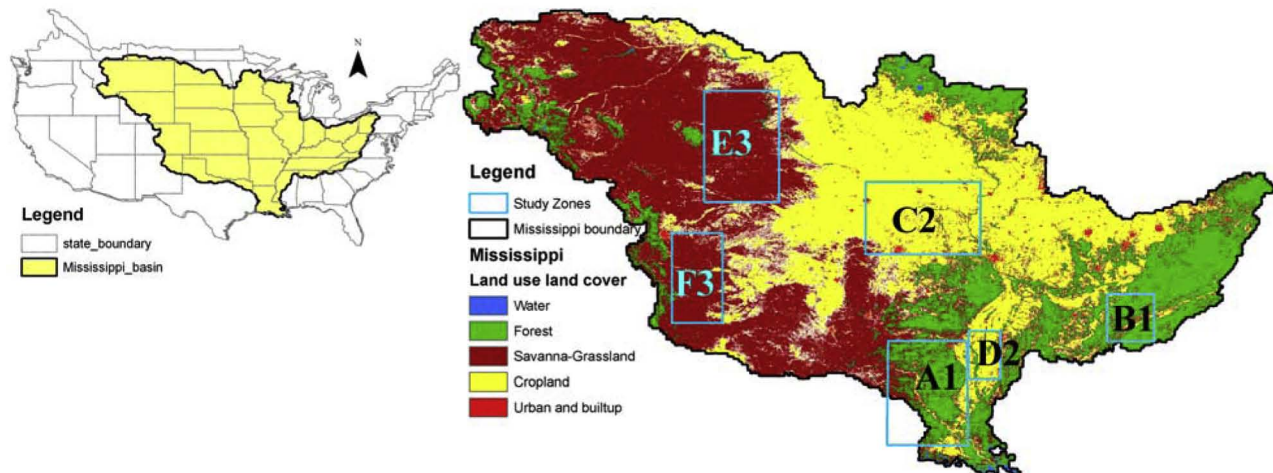
224 [11] The paper is organized as follows. Description of the  
 225 study area, hydrologic model, and data used are introduced

226 in section 2. The methodology of satellite rainfall error  
 227 decomposition and the linkage to hydrologic simulation error  
 228 are elaborated in section 3. Section 4 presents the results of  
 229 the study, focusing particularly on spatial and temporal char-  
 230 acteristics of satellite rainfall uncertainty and the interrela-  
 231 tionship with soil moisture, runoff errors, and LULC. Finally,  
 232 conclusions and recommendations of the study are presented  
 233 in section 5.

## 2. Study Area, Model and Data 234

### 2.1. Study Area 235

[12] The Mississippi River Basin (MRB), which is the  
 236 largest basin in North America (Figure 1), was chosen as the  
 237 study region. Because of diverse topography, climate, and  
 238 LULC types over an area of about 3 million km<sup>2</sup>, that are  
 239 also witnessed in other parts of the world, the MRB was ideal  
 240 for the study objectives. The topography of the basin varies  
 241 from low-lying areas of 1 m to high elevation areas 4500 m  
 242 above sea level (a.s.l). For this particular study, three LULC  
 243 types were considered at six different geographical locations.  
 244 These LULC data was derived from United States Geological  
 245 Survey, National Land Cover Database [NLCD2001] at spa-  
 246 tial resolution of 0.004 deg, source: [http://www.mrlc.gov/nlcd01\\_data.php](http://www.mrlc.gov/nlcd01_data.php). The left panel of Figure 1 shows the location of the study zones with LULC type in MRB, which are  
 249 (1) forest and woodland (zones A1 and B1); (2) cropland  
 250 system (agriculture and irrigation practice) (zones C2 and  
 251 D2); and (3) grassland and savanna systems (zones E3  
 252 and F3). The size selection of each LULC zone was deter-  
 253 mined based on the areal extent of LULC type that was  
 254 dominant in the region. Each zone needed to enclose large  
 255 number of pixels of the same LULC type to yield statisti-  
 256 cally significant results. The percentage coverage of the  
 257 designated LULC type within a given zone varied from  
 258 82% for zone A1 to 98% for zone F3. Detailed description  
 259 of location, percentage coverage by the dominant LULC  
 260



**Figure 1.** Location of Mississippi basin in United States of America (left) and land use/land cover (LULC) map with the selected study zones (right). Zone nomenclature: Zone  $xy$  where  $x$  indicates the location of specific region and  $y$  shows the LULC type defined by 1 forest and woodland systems; 2 human land use (cropland) system; and 3 savanna and grassland systems.



**Table 1.** Detail Description of Study Zones<sup>a</sup>

Region/Zone	Location	LULC Type	Coverage, %	Detail Description
A1	S Arkansas N Louisiana SE Oklahoma	Woodland and forest systems	82	Mainly dominated by mixed and deciduous broadleaf forest. Small and scattered savanna woody also exists in central part of the region. Elevation ranges from 60 to 400 m.
B1	E Central Tennessee S Kentucky	Woodland and forest systems	94	Characterized by mixed and deciduous broadleaf forest and dispersed cropland. Elevation varies from 250 to 1000 m.
C2	S Iowa N Missouri NE Kansas E Nebraska	Cropland system	97	Cropland is the dominant land use system of this region. Few deciduous broadleaf forests also exist. Elevation is between 200 and 300 m.
D2	W Mississippi E Arkansas	Cropland system	96	This region extends along either side of main lower Mississippi river which is dominated by irrigation cropland system. Elevation ranges between 30 and 100 m.
E3	C South Dakota S North Dakota NC Nebraska	Grassland and savanna systems	97	Dominated by grassland and savanna systems. Its elevation extends from 700 to 1300 m
F3	E Colorado NE New Mexico	Grassland and savanna systems	98	Grassland, open shrubland, and savanna are the dominate land use system. Elevation ranges from 1300 to 2000 m.

<sup>a</sup>N is north, S is south, E is east, W is west, SE is southeast, NE is northeast, and NC is north central.

261 type, elevation, and LULC features of each zone are sum-  
262 marized in Table 1.

## 263 2.2. Model and Data

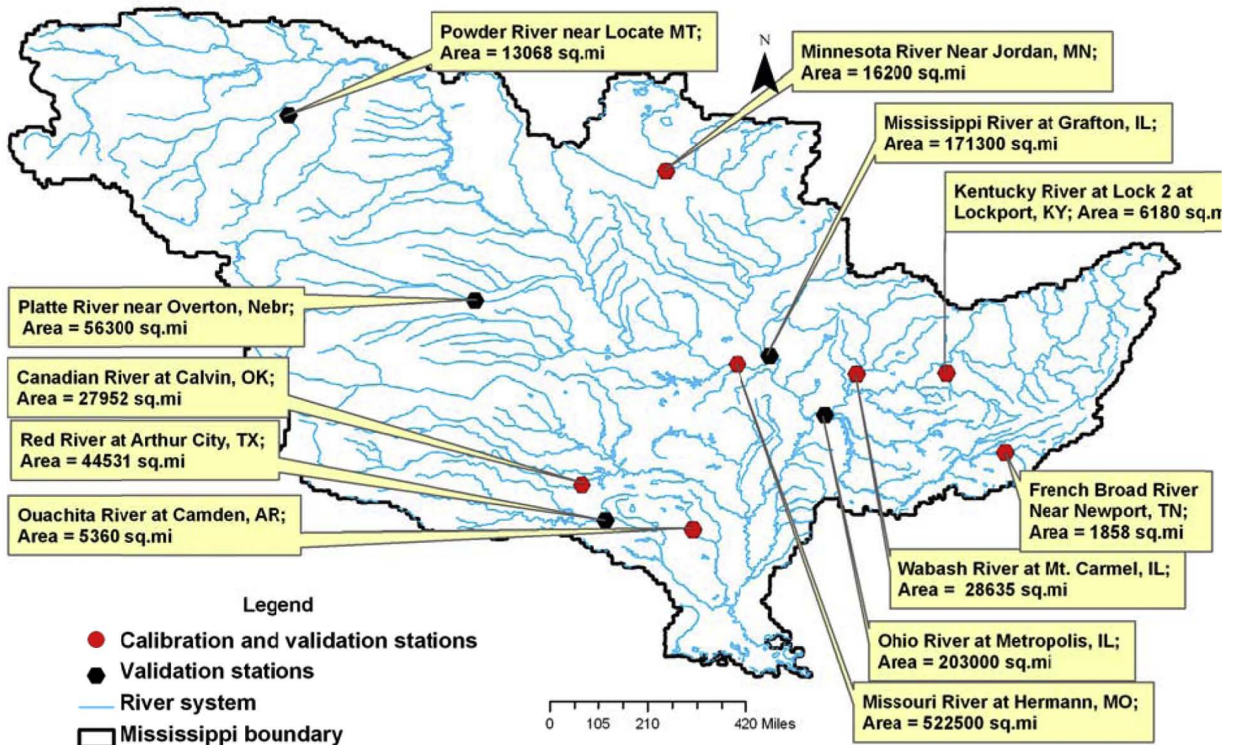
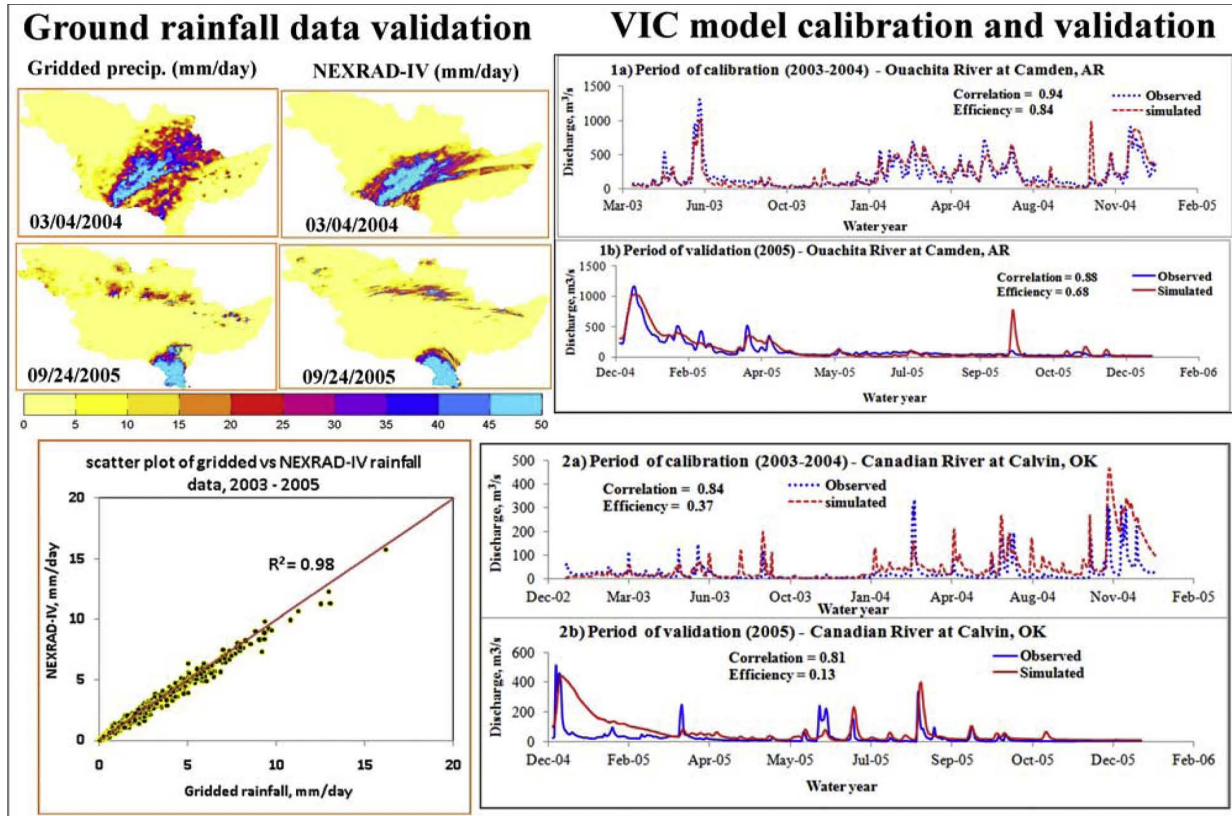
264 [13] A variable infiltration capacity (VIC) macroscale  
265 hydrologic model [Liang *et al.*, 1994] was implemented to  
266 simulate land surface states and fluxes for MRB at the daily  
267 time step and a spatial resolution of 0.125 deg. The model  
268 setup and calibration were performed based on gridded  
269 ground observation data sets obtained from the University  
270 of Washington [Maurer *et al.*, 2002]. Using the calibrated  
271 model and forcing data sets, land surface fluxes (soil mois-  
272 ture and runoff) were generated. These model-derived sur-  
273 face fluxes, derived from gridded ground observations,  
274 were used as “synthetic” truth data to evaluate the per-  
275 formance of satellite rainfall products in simulating soil  
276 moisture and runoff as a function of LULC and error type.  
277 The study period considered was 8 years (2003–2010).  
278 Analysis was broken down seasonally to winter (December,  
279 January, and February [DJF]) and the summer (June, July,  
280 and August [JJA]) and for some of the cases, the result was  
281 presented only for 2006 and 2010 to allow sufficient model  
282 spin up and focus on a period with the highest number of  
283 microwave sensors for the satellite algorithms.

284 [14] Generally, the realism of the synthetic data depends  
285 highly on the choice and quality of the ground truth data  
286 sets injected into the model, which likely affects the finding  
287 of this study. Therefore, to minimize such impact and  
288 ensure accuracy of simulated runoff and soil moisture, the  
289 ground rainfall data was first checked against NEXRAD-IV  
290 (next-generation radar of stage IV) data (Figure 2a, left  
291 panels). In addition, the VIC model parameters, such as vari-  
292 able infiltration curve parameter, maximum velocity of base  
293 flow, fraction of maximum soil moisture, fraction of velocity  
294 of base flow, and depth of soil layers, were calibrated at  
295 seven and validated at 12 internal gauging stations of MRB  
296 using simulated and observed streamflow (Figure 2b).

297 [15] The selection of gauging stations was driven by the  
298 need to minimize the impact of human regulation of flow.  
299 The selection of stations (as shown in Figure 2b) was guided

by three rules. (1) Less regulated watersheds regions were  
considered for validation and calibration, for example Min-  
nesota River near Jordan. (2) To adequately represent the  
basin wide response, several small-sized watersheds were  
selected. For example, Kentucky River at Lockport (area  
6180 sq. mi), French Broad River near Newport (area 1858  
sq. mi), Wabash River at Mt. Carmel (area 28,635 sq. mi);  
and Quachita River at Camden (5360 sq. mi). (3) On regu-  
lated rivers, stations located upstream or very far downstream  
of the dam have been considered, for example Canadian  
River at Calvin, Quachita River at Camden, and Missouri  
River at Hermann. Through these three rules we have com-  
pletely avoided gauging stations that are influenced heavily  
by human regulation of streamflow. As seen in Figure 2a  
(right panels), there is strong agreement between the simu-  
lated and observed streamflow according to measures of  
correlation coefficient and efficiency. Both performance  
measures provided the necessary confidence in hydrologic  
model simulation.

[16] The forcing data set for the VIC model includes the  
major observed meteorological variables, such as precipita-  
tion, minimum and maximum temperature, wind speed,  
vapor pressure, incoming long-wave and short-wave radia-  
tion, and air pressure. For the contiguous United States, the  
meteorological forcing data set were processed and made  
available for users by the University of Washington (see Ac-  
knowledgment). To prepare the gridded ground rainfall, the  
daily ground precipitation data was collected from the  
National Oceanic and Atmospheric Administration (NOAA).  
The average density of gauge stations used in gridding pro-  
cess was 700 km<sup>2</sup>/station, or equivalently on average 7200  
stations in the study region (MRB). According to Maurer  
[2002], this precipitation data were gridded to spatial resolu-  
tion of 0.125 deg using the synergraphic mapping system  
(SYMAP) algorithm. Finally, the gridded data set were sta-  
tistically adjusted using the parameter-elevation regressions  
on independent slopes model (PRISM) to consider local var-  
iations due to terrain complexity. More importantly, before  
using these data sets for the study objectives, both qualitative  
and quantitative comparisons were performed with the



**Figure 2.** (a) Qualitative comparison of gridded ground with NEXRAD-IV rainfall record for two randomly selected days (left four panels); correlation of gridded and NEXRAD-IV average rainfall over Mississippi basin (left-lower panel); model calibration (2003–2004) and validation (2005) of VIC model using observed streamflow at two gauging stations (right panels). (b) Selected hydrological gauging stations for the purpose of calibration and validation of VIC model over Mississippi River basin.



340 NEXRAD-IV data set on MRB for the purpose of validation  
341 (Figure 2, left panels). The mean daily rainfall of the gridded  
342 and NEXRAD-IV data sets agreed very well, with a correla-  
343 tion coefficient of 0.98.

344 [17] The error characteristics of three satellite rainfall  
345 products were investigated in runoff and soil moisture sim-  
346 ulation. The surface runoff rate generated from each grid  
347 cell was considered as runoff. The routable portion of sub-  
348 surface runoff was not included in the analysis as runoff.  
349 Computation related to runoff was generally performed at  
350 spatial resolution of 0.125 deg. On the other hand, the VIC  
351 model simulates the soil moisture in three different soil  
352 layers. The upper layer is the top 10 cm soil depth which  
353 represents the dynamic behavior of the soil that responds to  
354 the weather-scale meteorological processes, whereas the  
355 lower two layers characterize the seasonal and long-term  
356 soil moisture behavior. Even though the upper soil layer  
357 has a smaller thickness compared to the lower layers, the  
358 memory effects could contaminate the transient temporal  
359 behavior of the soil moisture error. To minimize such  
360 impacts, the soil moisture information in the top layer was  
361 extracted for each pixel at the beginning of a time step  
362  $W_1^{i-}[t]$  and end of time step  $W_1^{i+}[t]$  where  $i$  and  $t$  represent  
363 the pixel number and time step, respectively. The differ-  
364 ence between the two values (if it exists) is considered as  
365 the memory-less (fast) response of the soil moisture column  
366 to rainfall at that particular time step. This difference was  
367 also considered as the daily soil moisture production and  
368 used in the computation of percentage of runoff and soil  
369 moisture production.

370 [18] The volume of soil moisture production due to the  
371 rainfall intensity at daily time step  $t$  for pixel  $i$  ( $\Delta W_1^i[t]$ ) is  
372 given by equation (1):

$$\Delta W_1^i[t] = W_1^{i+}[t] - W_1^{i-}[t]. \quad (1)$$

373 The total spatial sum of runoff and soil moisture production  
374 ( $R_{\text{tot}}^j$  and  $W_{\text{tot}}^j$ , respectively) for zone  $j$  during the summer  
375 season are computed per equations (2) and (3):

$$R_{\text{tot}}^j = \sum_{t=1}^n \sum_{i=1}^m R^i[t], \quad (2)$$

$$W_{\text{tot}}^j = \sum_{t=1}^n \sum_{i=1}^m \Delta W_1^i[t], \quad (3)$$

380 where  $n$  is the number of days in the summer season and  $m$   
381 is the number of pixels in zone  $j$ .

382 [19] Finally, to compute the daily percentages of runoff  
383 and soil moisture production with respect to daily ground  
384 rainfall intensity, equations (4) and (5) are used:

$$R_{\%}^j[t] = \frac{\sum_{i=1}^m R^i[t]}{R_{\text{tot}}^j}, \quad (4)$$

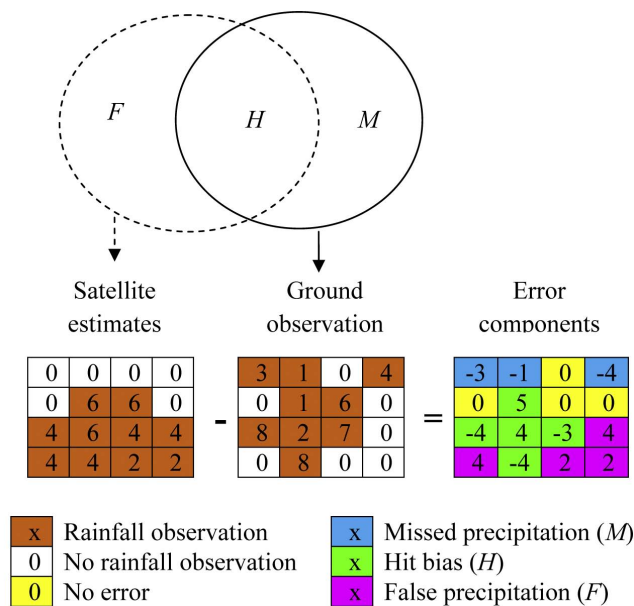
$$W_{\%}^j[t] = \frac{\sum_{i=1}^m \Delta W_1^i[t]}{W_{\text{tot}}^j}. \quad (5)$$

[20] The multisensor satellite rainfall products consid- 388  
389 ered were 3B42RT [Huffman et al., 2010; Huffman et al.,  
2007], CMORPH [Joyce et al., 2004], and PERSIANN- 390  
391 CCS [Hong et al., 2004]. All three satellite rainfall products  
392 are available to end users in near real time that favor the de-  
393 velopment of various decision-making tools. 3B42RT is one  
394 of the products provided by the TRMM multisatellite pre-  
395 cipitation analysis (TMPA) algorithm at a spatial resolution  
396 of 0.25 deg  $\times$  0.25 deg and a temporal sampling of 3 h  
397 [Huffman et al., 2010]. It is a combination of PMW and  
398 PMW-calibrated IR data merged in a manner that MW pre-  
399 cipitation estimate is considered where it is available, and  
400 the IR estimate is used to fill the gap (in space and time)  
401 elsewhere. CMORPH is a high-resolution satellite rainfall  
402 product known as the climate prediction center (CPC) using  
403 MORPHing technique. This product is also available at a  
404 spatial resolution of 0.25 deg and temporal resolution of  
405 3 h. This product uses rainfall estimates from MW exclu-  
406 sively and the rainfall patterns are propagated in space and  
407 time via motion vectors obtained from IR data to bridge the  
408 MW sampling gaps [Joyce et al., 2004]. PERSIANN-CCS is  
409 based on extraction of cloud features from IR imagery of a  
410 geostationary satellite to derive rainfall estimates at finer scale  
411 (0.04 deg  $\times$  0.04 deg) and hourly temporal resolution using  
412 MW data as a guide for the artificial neural network. These  
413 key data products essentially use the same suite of PMW and  
414 IR sensors, such as advanced microwave sounding unit  
415 (AMSU), TRMM microwave imager (TMI), special sensor  
416 microwave/imager (SSM/I), advanced microwave scanning  
417 radiometer for Earth observing system (AMSR-E), IR sensor  
418 aboard geostationary operational environmental satellite  
419 (GOES), etc.

### 3. Error Decomposition 420

[21] In a demonstration of error decomposition, Tian et al. 421  
422 [2009] have outlined a general scheme of breaking down  
423 total rainfall error (hereafter used interchangeably with “total  
424 bias”) into three independent components: hit error  $H$ ,  
425 missed precipitation  $-M$ , and false precipitation  $F$ . Figure 3  
426 illustrates the concept of false, hit, and missed precipitation  
427 of satellite rainfall observation relative to ground observa-  
428 tion. According to Figure 3,  $H$  represents observed rainfall  
429 events which are detected by both satellite and ground vali-  
430 dation data (hits),  $M$  shows missed rainfall events by the sat-  
431 ellite but detected by the validation data, and  $F$  indicates  
432 false observation of rainfall events by the satellite which are  
433 not reported by the reference data. On the same figure, an  
434 example is provided to illustrate the total error decomposi-  
435 tion into completely independent hit bias, missed, and false  
436 precipitation for individual grid cells.

[22] In this study, the total error  $E$  (or bias) is defined as 437  
438 satellite estimate minus ground reference (error unit in  
439 mm d<sup>-1</sup> as the rainfall). Hit error  $H$  indicates the discrep-  
440 ancy between the satellite and ground rainfall data given  
441 both data report rainfall coincidentally and as a result, hit  
442 error could be positive or negative. On the other hand,



**Figure 3.** Diagram showing hits ( $H$ ), misses ( $M$ ), and false alarms ( $F$ ) for dichotomous variables (satellite rainfall estimate and ground observation) and simple exemplary table that shows how error components are identified and separated at basin gridcell level (unit in  $\text{mm d}^{-1}$ ).

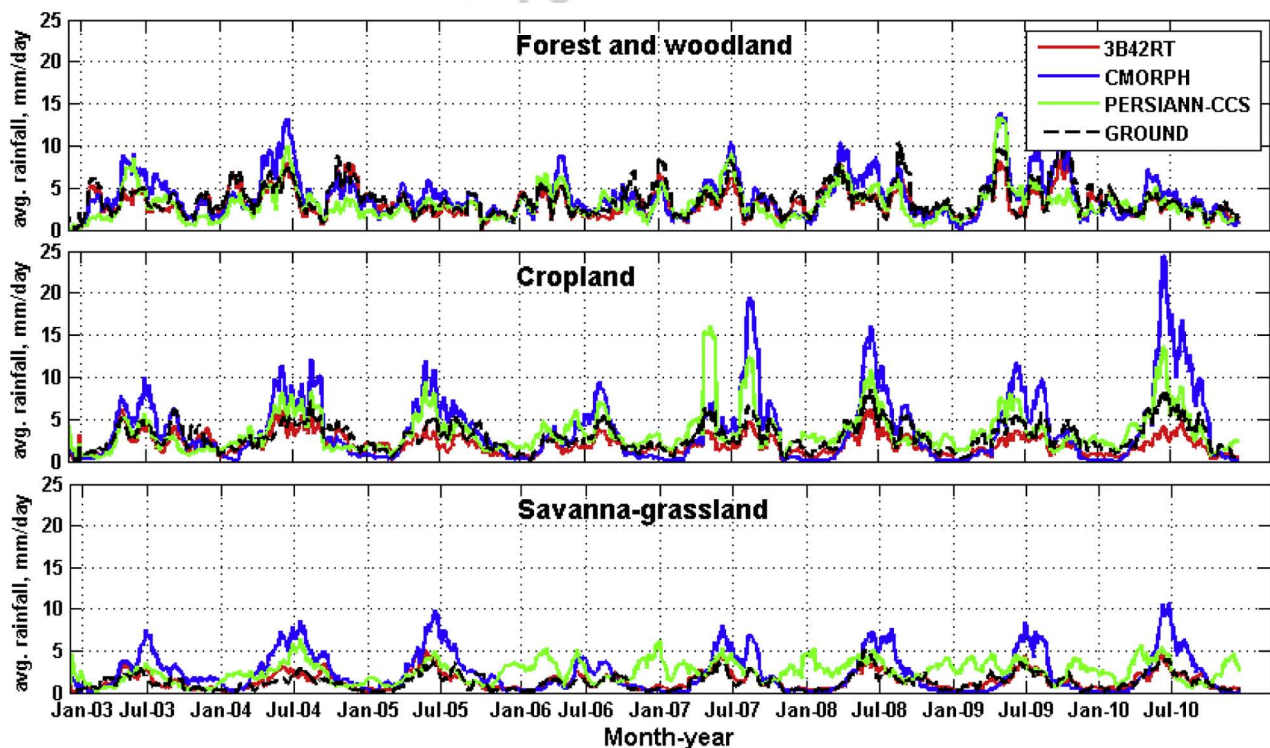
missed  $M$  and false  $F$  errors have always negative and positive signs, respectively. The relation between the total rainfall error  $E$  and error components can be expressed as  $E = H - M + F$ . For a detailed explanation, readers are

referred to *Tian et al.* [2009 and *Wilks* [1995]. It is obvious from the above error relationship that the magnitude of the total error cannot completely characterize the full measure of performance for satellite rainfall products. For example,  $M$  and  $F$  can cancel each other as they have opposite signs, resulting in a low total bias ( $E$ ) but not necessarily a low hydrologic simulation error that is dictated by the components [*Tian et al.*, 2009]. Therefore, breaking down the total satellite rainfall error into its distinct components ( $H$ ,  $-M$ , and  $F$ ) helps us to gain a clearer picture of error amplitudes so that the performance of the algorithm for satellite rainfall product can be evaluated in more detail. More importantly, breaking down of the total error into such components helps to trace the source of error that propagates into soil moisture and runoff through a hydrologic model. It also helps to constrain the error behavior as a function of LULC and runoff generation physics. Eventually, this knowledge is expected to improve satellite rainfall algorithm development, application, and the data assimilation scheme in the future.

### 4. Results

#### 4.1. Satellite Rainfall, Soil Moisture and Runoff Production

[23] To reduce visual cluttering, Figure 4 compares the variability of the 31 day moving average time series of satellite rainfall and ground (reference) data. Although time series of satellite rainfall products capture the temporal trend of the reference rainfall data in all zones (except PERSIANN-CCS in zone E3), CMORPH and PERSIANN-CCS generally overestimate the rainfall magnitude during the summer

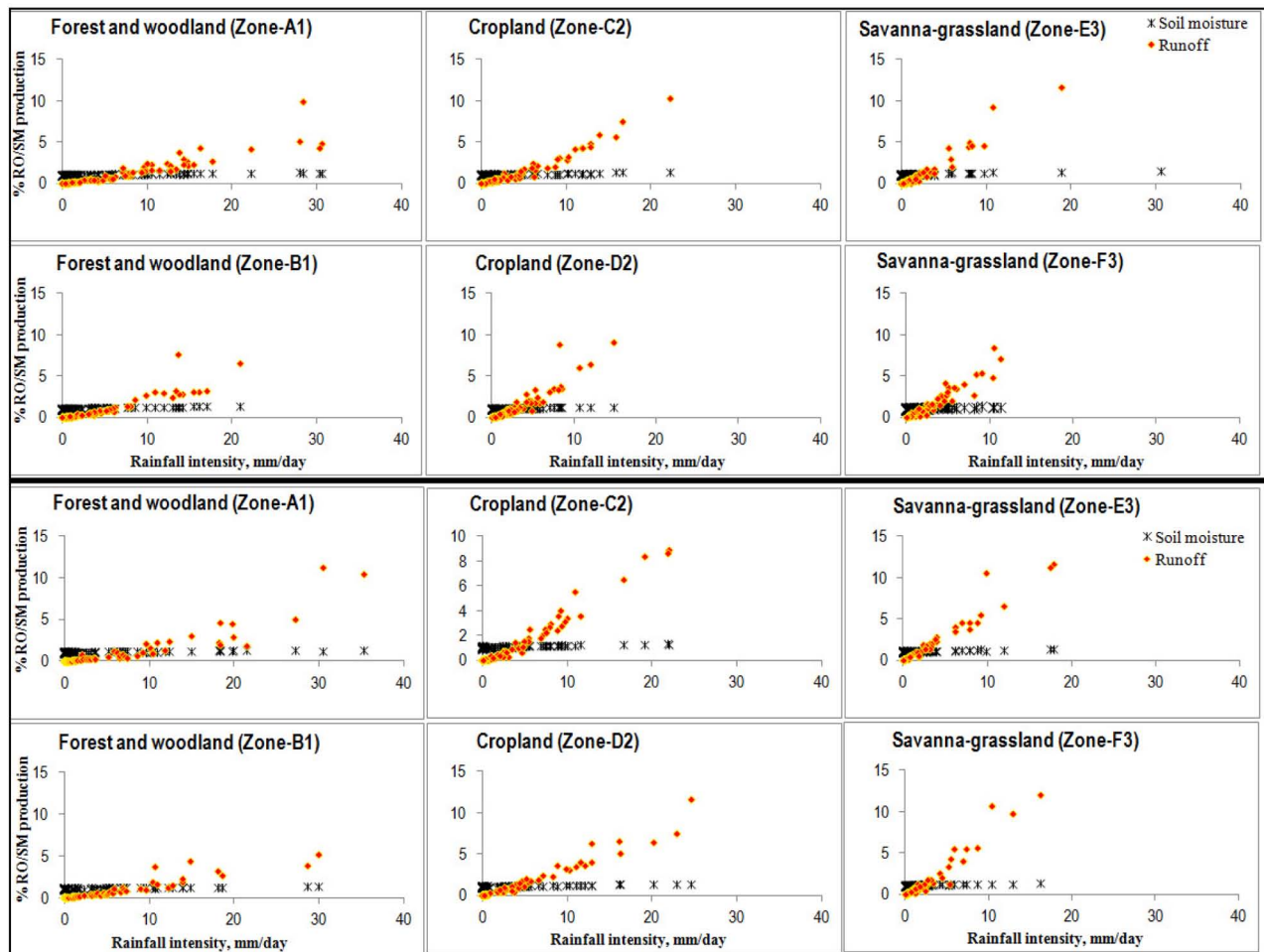


**Figure 4.** A 31 day of moving average time series of rainfall estimates spatially averaged over zone A1 (top, forest and woodland), zone C2 (middle, cropland), and zone E3 (bottom, savanna-grassland).

477 season. Particularly, the overestimation is significantly high  
 478 almost for the entire period over LULC zones E3 and F3,  
 479 which is largely absent in forest and woodland regions (zone  
 480 A1). These regions are mainly characterized by savanna-  
 481 grassland systems in mountainous terrain. More importantly,  
 482 the PERSIANN-CCS does not capture the rainfall trend  
 483 during the winter season over the mountainous regions par-  
 484 ticularly after 2005. 3B42RT, on the other hand, provide  
 485 relatively better rainfall estimation in all regions for the study  
 486 period. However, it has a tendency to underestimate rainfall  
 487 for cropland systems during wet seasons. The underestima-  
 488 tion is more noticeable since July 2005 and this may be tied  
 489 with the implementation of new version of 3B42RT algo-  
 490 rithm as of 3 February 2005. The underestimation can be  
 491 traced to the amount of significant missed precipitation of  
 492 3B42RT in central and eastern part of MRB (as shown in  
 493 Figures 6 and 7).

494 [24] Figure 5 illustrates the percentage of runoff and  
 495 soil moisture production with respect to ground rainfall in-  
 496 tensity ( $\text{mm d}^{-1}$ ) during the summer seasons of 2006 and  
 497 2010. The percentage of soil moisture production remains  
 498 nearly constant for different rainfall rate in all study

499 zones. Because the soil moisture has longer duration mem-  
 500 ory, it is difficult to observe its moisture variation at  
 501 smaller time scales. Moreover, soil column moisture hold-  
 502 ing capacity is also bounded by a finite moisture holding  
 503 capacity (equal to porosity) and initial moisture content  
 504 [Raj and Hossain, 2010] that makes soil moisture insensi-  
 505 tive for high rainfall rates. As a result, the percentage of  
 506 soil moisture production on a daily basis displays very  
 507 low variation. On the other hand, as the rainfall intensity  
 508 increases, the percentage of runoff production grows expo-  
 509 nentially for various LULC systems with different growth  
 510 rate. The percentage of runoff production rate for forest  
 511 and woodland systems (Figure 5 zones A1 and B1) is seen  
 512 to increase slowly. The rate of rainfall at which the runoff  
 513 production exceeds the soil moisture is higher than the  
 514 other zones. In forest and woodland systems, the infiltra-  
 515 tion process is better facilitated than runoff which prob-  
 516 ably delays formation of runoff until the rainfall rate  
 517 increases to nearly  $10 \text{ mm d}^{-1}$ . For the cropland system  
 518 (zones C2 and D2), the rainfall rate at which the runoff  
 519 production exceeds the soil moisture is smaller (about  
 520  $5 \text{ mm d}^{-1}$ ) potentially due to human impacts of irrigation



**Figure 5.** Percentage of runoff and soil moisture production for different rainfall intensities (ground observation) for selected zones of summer 2006 (upper six panels) and 2010 (lower six panels).



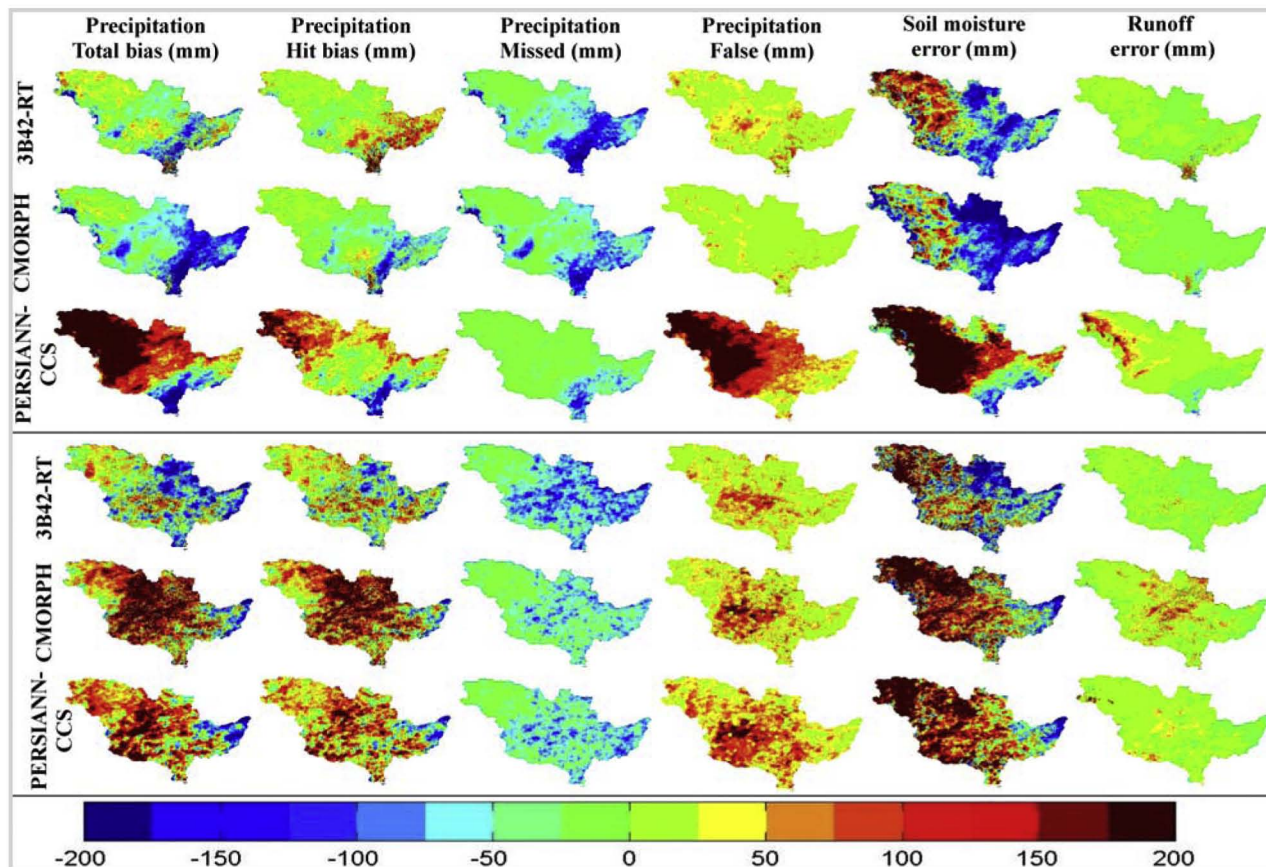
521 and other activities that facilitate runoff production more  
 522 quickly. In case of zones E3 and F3, the runoff production  
 523 exceeds the soil moisture at much smaller rainfall rate  
 524 (less than  $3 \text{ mm d}^{-1}$ ). In these zones, in addition to  
 525 LULC, the topographic features dominate the runoff pro-  
 526 duction. Because the VIC model simulates runoff without  
 527 directly incorporating the effects of topographic gradient,  
 528 this seems to indicate the predominance of the orographi-  
 529 cally enhanced rainfall-runoff process.

#### 530 4.2. Spatial Nature of Errors

531 [25] Figures 6 and 7 present the spatial pattern of rainfall,  
 532 soil moisture, and runoff errors. Related to spatial error dis-  
 533 tribution, the three satellite rainfall products share certain  
 534 similarities. The southern and southeastern coast regions of  
 535 the Mississippi basin (Louisiana, Mississippi, and Tennes-  
 536 see) are dominated by missed precipitation during winter  
 537 season for all satellite rainfall products. In general, missed  
 538 precipitation is also the major source of total bias for the  
 539 eastern and central part of the basin during the winter season  
 540 for 3B42RT and CMORPH products. This is tied with the  
 541 occurrence of high snow cover in these regions during the  
 542 winter season and the weakness of PMW sensors to detect  
 543 warm rain processes.

[26] The western mountainous parts of the basin (upstream 544  
 of Missouri and Arkansas-Red basins) exhibit significant pos- 545  
 itive total bias during the winter season for the PERSIANN- 546  
 CCS product, which is mainly caused by false precipitation 547  
 and positive hit bias. In this region, the PERSIANN-CCS 548  
 product displays considerable false precipitation both in the 549  
 winter season of 2006 and 2010 signifying weakness of the 550  
 algorithm in producing false precipitation in moderate alti- 551  
 tude and highland regions. On the other hand, 3B42RT 552  
 shows a positive hit bias in the eastern part of MRB during 553  
 the same season but the positive hit bias and missed precipi- 554  
 tation cancel each other resulting in much smaller total bias 555  
 in the region. The soil moisture error during this season has a 556  
 similar pattern with the total bias but the magnitude of the 557  
 error is higher than the precipitation. Most of the error from 558  
 the rainfall is propagated into soil moisture and its magnitude 559  
 is amplified. There is a modest error signature observed on 560  
 the runoff due to less runoff production during the winter 561  
 season except for the PERSIANN-CCS product, which dis- 562  
 played smaller positive runoff error in the western edges of 563  
 the MRB due to significant false precipitation. 564

[27] For the summer season, the hit bias is the major con- 565  
 tributor to the total error in all parts of the basin except for 566  
 the northern part of Wisconsin and Minnesota, which are 567



**Figure 6.** Error component of three satellite rainfall products: total bias ( $E$ ), hit bias ( $H$ ), missed precipitation ( $-M$ ), and false precipitation ( $F$ ), soil moisture and runoff errors. Upper panel is for the winter of 2006 (D05–JF06) and lower panel is for summer 2006 (JJA).

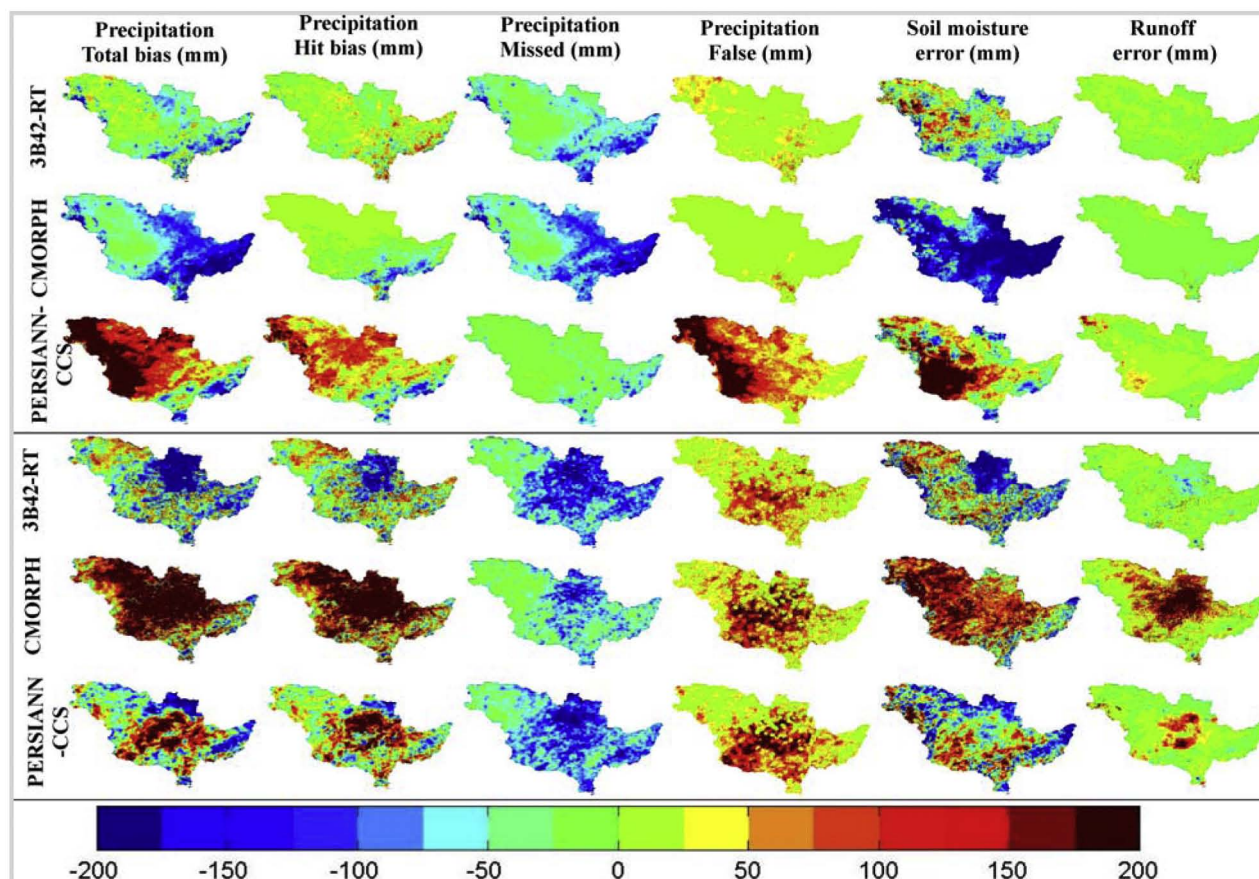


Figure 7. Same as Figure 6 except for the winter (DJF, upper panel) and summer (JJA, lower panel) of 2010.

568 also characterized by both missed precipitation and negative  
 569 hit bias. In general, during the summer season, CMORPH  
 570 and PERSIANN-CCS products overestimate the rainfall in  
 571 the central and western region of the basin. The soil moisture  
 572 error during the summer is not amplified like the winter sea-  
 573 son. A positive soil moisture error is observed in most parts  
 574 of the region comparatively similar to the total rainfall bias.  
 575 The occurrence of a large soil moisture error during the win-  
 576 ter season can be explained due to formation of snow over  
 577 the land surface because of false precipitation and positive  
 578 hit bias (upper panel of Figures 6 and 7). Less runoff error is  
 579 observed during the summer season for the 3B2RT product  
 580 and large positive runoff errors are produced in the central  
 581 and northern parts of the basin for CMORPH and PER-  
 582 SIANN-CCS due to the occurrence of false and positive hit  
 583 bias in the region. In general, this confirms that rainfall error  
 584 first propagates to soil moisture until the soil column reaches  
 585 its maximum holding capacity, after which the remaining  
 586 of error portion transfers to the runoff process [Raj and  
 587 Hossain, 2010].

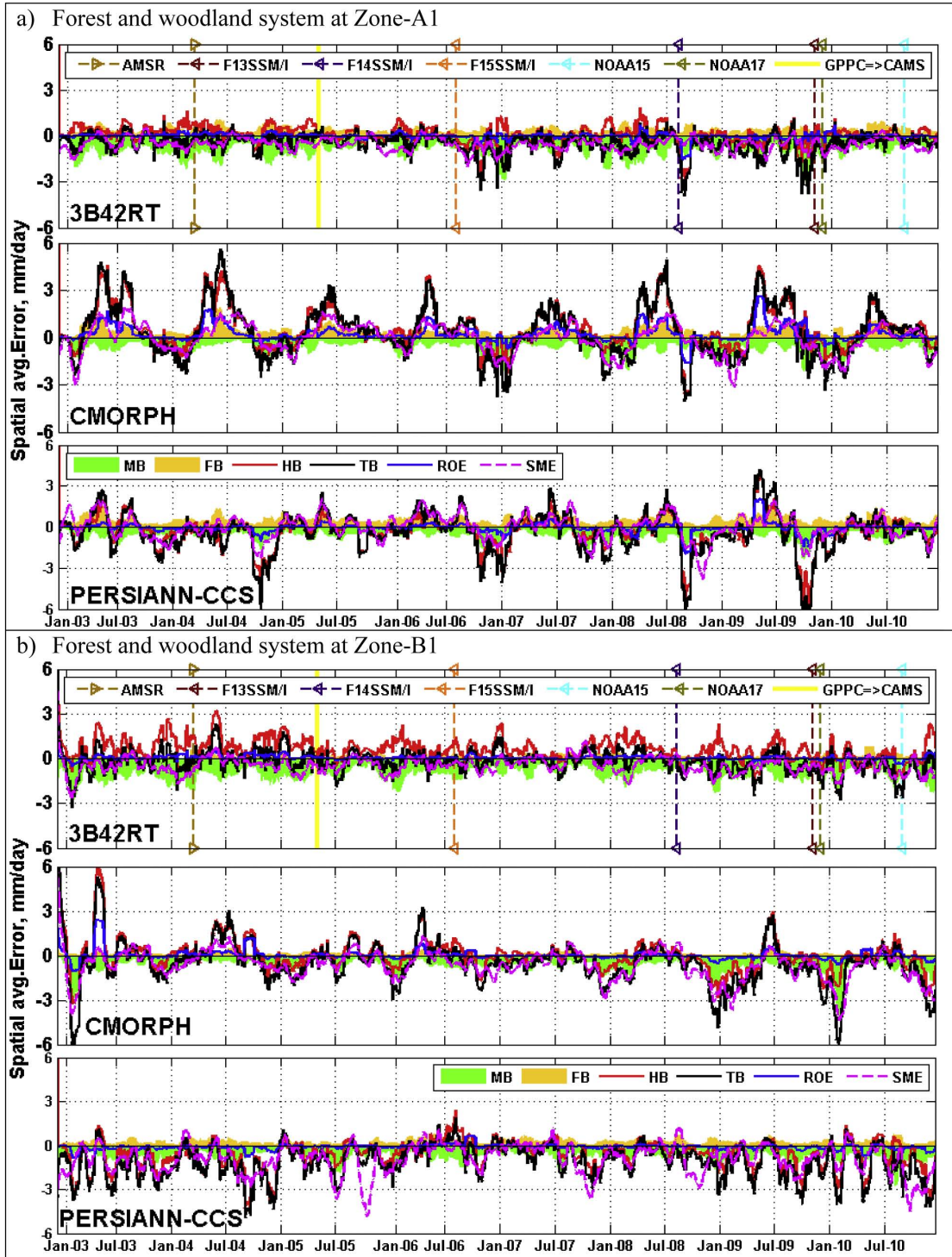
#### 588 4.3. Temporal Error Analysis

589 [28] Temporal error analysis was performed for the iden-  
 590 tified study zones based on LULC type. For each zone, the  
 591 spatial average error was computed for the analysis period  
 592 of 8 years (2003 to 2010). The time series plot (3B42RT

593 panel) also included specific timelines where different sen- 593  
 594 sors were added or decommissioned from the constellation 594  
 595 used for precipitation estimation [Huffman et al., 2010] to 595  
 596 help the reader understand the variation in performance as a 596  
 597 function of the sensors' history. To distinguish the temporal 597  
 598 pattern of the errors clearly and avoid visual cluttering, a 598  
 599 31 day moving average is applied again (similar to Figure 4) 599  
 600 for the rainfall error components, runoff, and soil moisture 600  
 601 errors. 601

[29] Figure 8 shows that the temporal errors pattern for 602  
 603 forest and woodland systems. In these two particular zones 603  
 604 (zones A1 and B1), 3B42RT has positive hit bias most of 604  
 605 the time and high missed precipitation during the entire pe- 605  
 606 riod resulting in smaller total bias. The hit bias drops down 606  
 607 to negative during the summer seasons and gains during the 607  
 608 winter (Figure 8). As a result, the total error drastically 608  
 609 reduces during the summer and becomes slightly positive 609  
 610 during the winter. Generally, the total bias is dominated by 610  
 611 missed precipitation. Apart from that, there is no consis- 611  
 612 tently similar trend between the two zones for 3B42RT. 612  
 613 More interestingly, the soil moisture error follows the trend 613  
 614 of the total rainfall bias and the runoff error trails the hit 614  
 615 bias trend. Similar to the total bias, the soil moisture error 615  
 616 is reduced during the summer season due to high hit bias 616  
 617 and is highly negative during the winter due to significant 617  
 618 missed precipitation. 618





**Figure 8.** Time series of error components for three satellite rainfall products and simulated soil moisture and runoff errors for forest and woodland systems for the period of 2003 to 2010 (MB: missed-rain bias; FB: false-rain bias; HB: hit bias; TB: total bias; ROE: runoff error; SME: soil moisture error). Timeline for satellite sensors that was added or decommissioned from the constellation used for precipitation estimation (hidden line with right arrow head, added timeline; hidden line with left arrow head, decommissioned year; yellow smooth line, transition from GPPC to CAMS).



[30] For the same LULC zones (A1 and B1), CMORPH has a completely different temporal pattern compared to 3B42RT. The total error is dominated by hit bias. CMORPH has strong positive total and hit bias during the summer season and negative during the winter for zone A1. CMORPH at zone B1 displays closer similarity with zone A1 except the magnitude of positive total and hit bias during summer diminish in the later case. The absence of false precipitation that contributes to positive hit and total bias results in the formation of weak positive bias. Unlike 3B42RT, the total bias for CMORPH is controlled by the hit bias in both regions. The PERSIANN-CCS data are characterized by a smaller amount of false precipitation and positive hit bias in both zones. The total error is mostly caused by hit bias and the presence of small amplitude of false precipitation. Generally, for the case of forest and woodland systems, the natures of errors are similar for CMORPH and PERSIANN-CCS because the hit bias is the leading error, while 3B42RT is distinguished by strong missed precipitation and mostly positive hit bias. Runoff and soil moisture errors are dictated by the hit and total bias for both CMORPH and PERSIANN-CCS.

[31] As seen in Figure 9, the drift of temporal errors for the human land use system (cropland) shares considerable common characteristics with forest and woodland system. The total bias is largely controlled by missed precipitation for 3B42RT, whereas for CMORPH and PERSIANN-CCS, total errors are dominated by hit bias. In zone C2, missed and false precipitation components are considerably higher during the summer time for all satellite rainfall products leading the hit bias to dominate the total error. By and large, zone D2 is different from zone C2, and instead shares significant error characteristics with zone A1. This shows that LULC classification is not the only governing factor to display more consistent error characteristics and that there are other factors related to geographical features that need to be considered. Such factors may include climatic factors (Koppen climate class), topography (e.g., elevation, slope, topographic index), and soil types (e.g., hydraulic properties and texture).

[32] Figure 10 presents the error characteristics of savanna and grassland systems (zones E3 and F3). Missed precipitation is small in CMORPH and PERSIANN-CCS for both zones; whereas false precipitation is large in both regions except that it is small for 3B42RT in zone F3. For the CMORPH product, hit bias is the dominant error component which dictates the total bias, whereas due to significant amount of false precipitation in PERSIANN-CCS, the total bias is fully dominated by false-rain bias. As seen in Figure 10, the amplitude of the soil moisture error is higher than the component or total errors during the winter time for CMORPH and PERSIANN-CCS products. Despite the peak amplitudes of soil moisture error during the winter period, there is a systematic trend between the rainfall and soil moisture errors throughout the analysis period (2003–2010). These zones are mainly characterized by mountainous regions (up to 2000 m a.s.l.). As explained in section 4.1, CMORPH and PERSIANN-CCS rainfall products overestimate the rainfall in these zones during the wet season and winter season, respectively (Figure 4, bottom panel). Due to mountainous nature of the region, the overestimated rainfall from satellite products is converted to snowfall by the hydrologic model, resulting in the formation of significant snow pack depth

during the winter seasons particularly for the PERSIANN-CCS product due to considerable false-rain bias (Figure 11, left-lower panel).

[33] From the hydrologic modeling perspective, there are potentially two main reasons for soil moisture error to be high in these two particular zones. First, because of the formation of significant snow pack depth, the soil column is continuously supplied with moisture from snow water equivalent through melting during the spring season regardless of additional rainfall during the season. Second, a previous study on evaluation of models for simulating snow cover extent has shown that VIC-3L has the tendency to overestimate the snow depth over mountainous regions [Sheffield *et al.*, 2003], which ultimately has an impact in soil moisture simulation over highland regions.

[34] Correlation coefficients are used to determine the degree to which rainfall error patterns are associated with soil moisture and runoff errors. According to Figure 12, strong correlations (above 0.8) are observed between runoff and total error and hit bias for 3B42RT and CMORPH products in all zones (left three panels, the black and green bars). The runoff has weak correlation with missed (less than 0.4) and moderately correlated with false precipitation in the highland region where false-rain bias is a common error. For PERSIANN-CCS, the degree of correlation of runoff with the hit bias is weak for the highland region of the Mississippi basin (zones E3 and F3) but it has strong correlation with total bias and false precipitation in this region. As it has been mentioned above, false-rain bias is the leading error that dominates the total bias for PERSIANN-CCS in these particular regions (Figure 13). In general,

[35] On the contrary, the soil moisture is also strongly associated with missed precipitation, hit and total bias, and sometime with false precipitation (right three panels, blue and orange bars). Missed precipitation often occurs because of light rain during summer and rain over snow covers during winter seasons. Light rain is generally responsible for the increase in simulated soil moisture content but does not facilitate runoff generation unless the soil moisture reaches saturation. Rainfall over snow cover is also not responsible for runoff generation as the rain is converted in the model to snow when it reaches the ground. On the other hand, these types of events have significant effects on soil moisture production, leading the soil moisture to depend on all three error components. As a result, if the contribution of missed precipitation to the total error is significant, runoff error is dictated by the hit bias more than by the total error.

## 5. Conclusions and Recommendations

[36] In this study the total rainfall bias was decomposed into hit bias, missed, and false precipitation for the entire MRB. Spatial distribution of rainfall error components, soil moisture, and runoff error were analyzed. For three dominant land use scenarios, the temporal patterns of rainfall error components, soil moisture, and runoff errors were characterized both qualitatively and quantitatively. For forest and woodland and human land use system, the soil moisture was mainly dictated by the total bias for 3B42RT, CMORPH, and PERSIANN-CCS products. On the other hand, runoff error was largely dominated by hit bias rather than the total bias. This difference most likely occurred due to the presence

AQ1  
AQ2

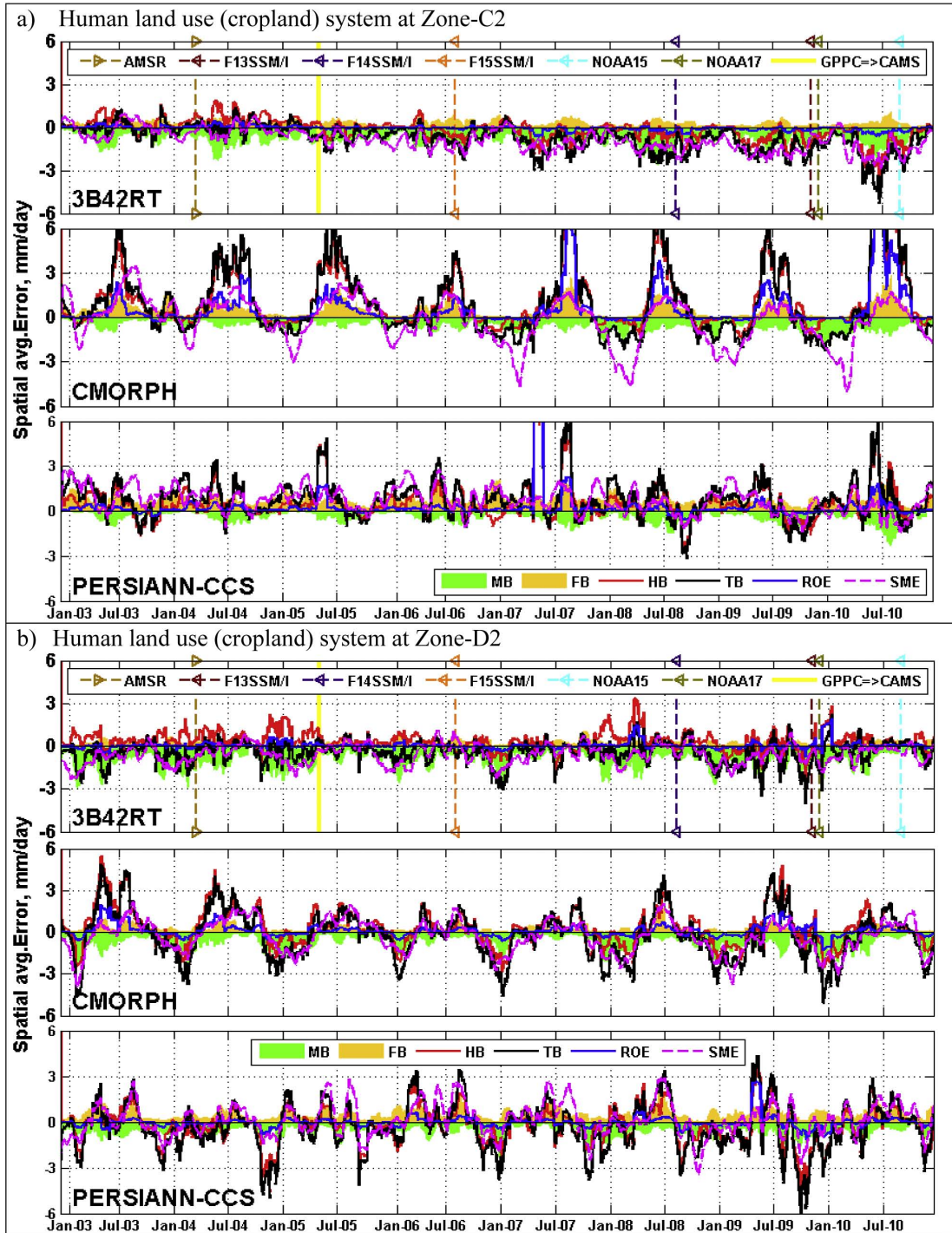


Figure 9. Same as Figure 8, except for cropland system.

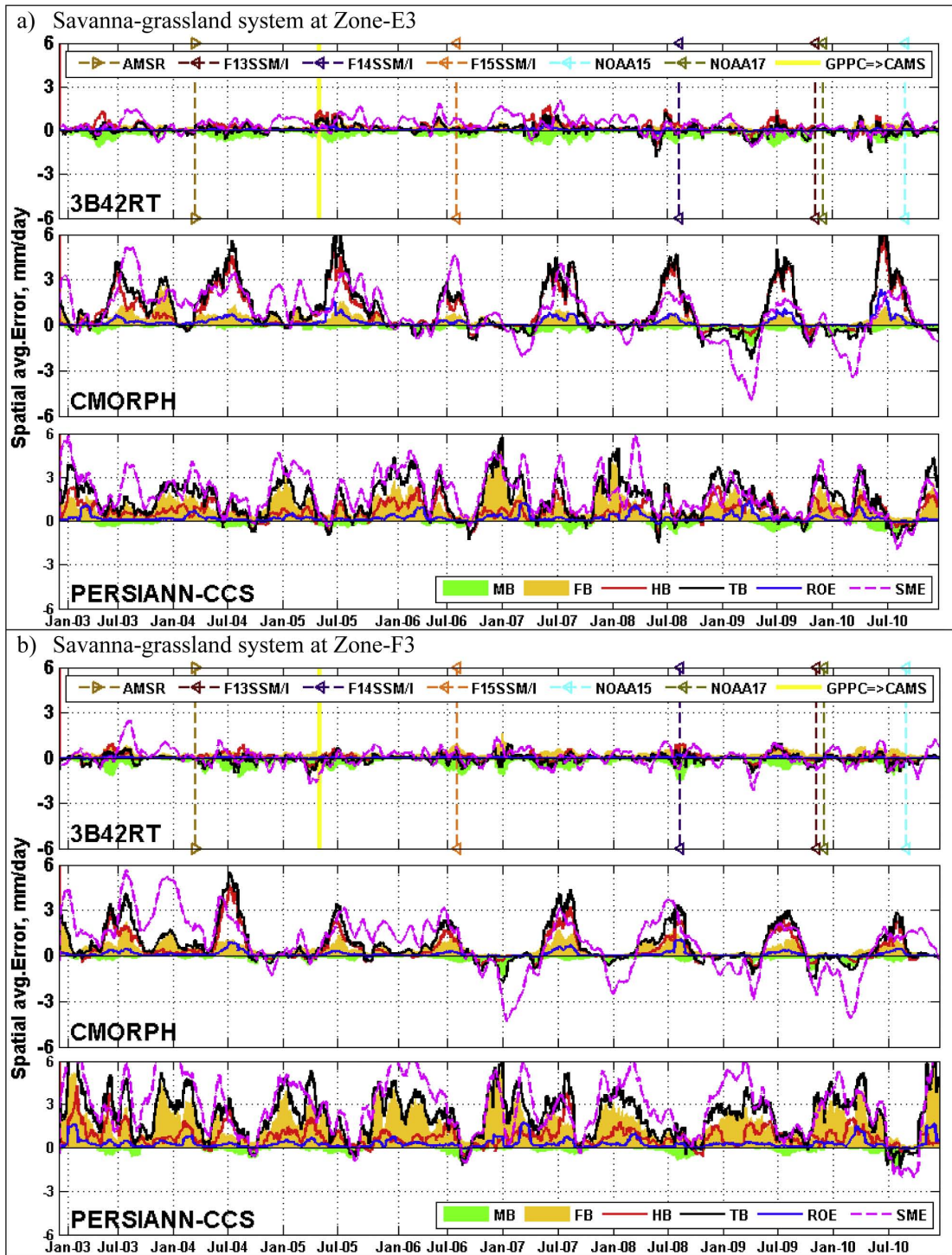
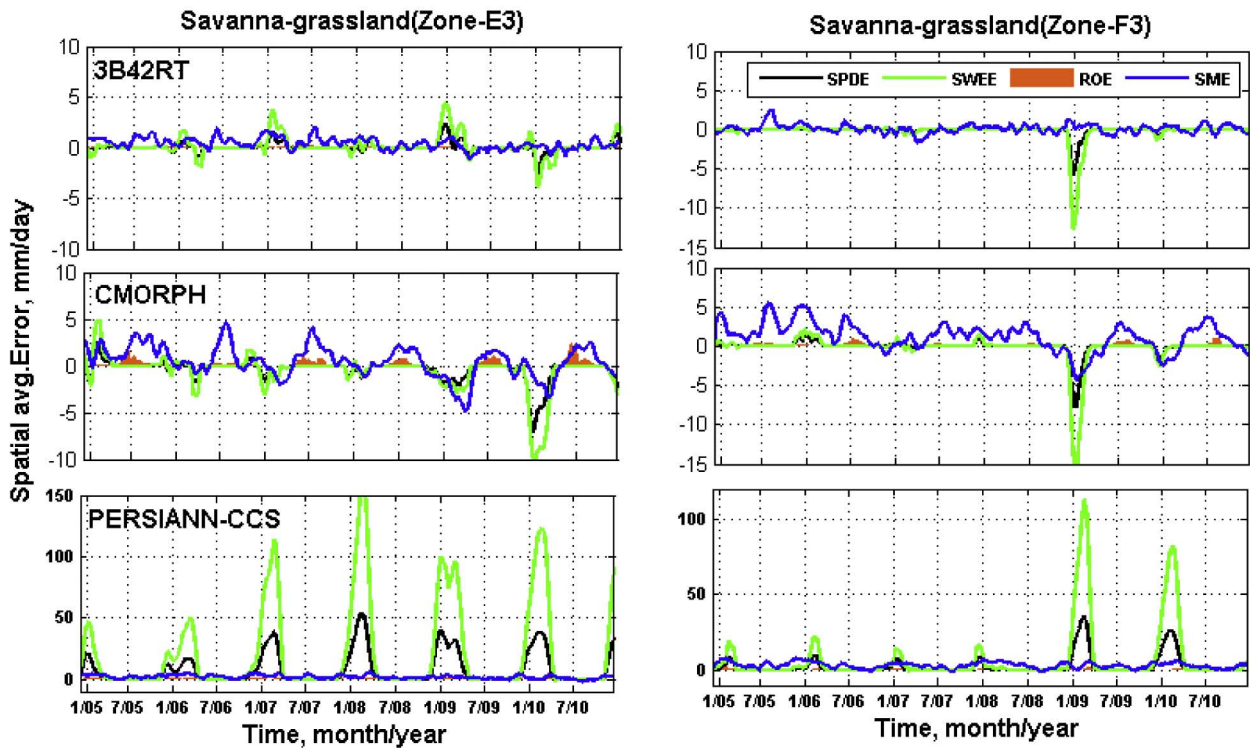


Figure 10. Same as Figure 8, except for savanna-grassland system.





**Figure 11.** Temporal pattern of snow pack depth and snow water equivalent for zone E3 (left panels) and zone F3 (right panels) in Mississippi basin (note: SWEE is snow water equivalent; SPDE is snow pack depth equivalent; ROE is runoff error; and SME is soil moisture error).

741 of missed precipitation, which was a major contributor to the  
742 total bias both during the summer and winter seasons.

743 [37] In summary, the tracing of error in hydrologic simulation  
744 to rainfall error can be summarized into the following key rules  
745 for product developers and end users.

746 [38] 1. The magnitude of the rainfall at which rate of production  
747 of runoff exceeds the soil moisture depends on the LULC type.  
748 The percentage of runoff production exceeds soil moisture when  
749 the rainfall magnitudes are 10, 5, and 3 mm d<sup>-1</sup> for forest and  
750 woodland, cropland, and savanna-grassland systems, respectively.  
751 Since the magnitude of the rainfall error propagating to the fluxes  
752 depends on the amount of production of the fluxes (such as soil  
753 moisture, runoff, and evapotranspiration), these threshold values  
754 are ultimately useful to understand the proportion of the error  
755 propagating to them, which could be applicable for hydrologically  
756 relevant merging of multisatellite rainfall products.

759 [39] 2. For most cases, the hit bias and missed precipitation  
760 are the major error components that dominate the total bias  
761 during summer and winter, respectively. Moreover, missed  
762 precipitation dictates the soil moisture error but not the runoff  
763 error; indicating probably that missed precipitation mostly  
764 occurs because of local convective type of rainfall that takes  
765 place for a relatively short period of time. Additionally, the  
766 low level warm rain clouds are difficult to be detected by the  
767 scattering channels of the passive microwave sensor, often  
768 resulting in missed precipitation. The runoff error is highly  
769 correlated with hit bias, which is a common problem for  
770 CMORPH and PERSIANN-CCS over

mountainous regions during the heavy rain season. The  
771 CMORPH product is characterized by positive hit bias in  
772 most part of the basin during the rainy season. We speculate  
773 the overestimation of precipitation arises because of the  
774 technique of merging IR and MW estimates in the “morphing”  
775 algorithm as it is pointed out by *Tian et al.* [2009].  
776

777 [40] 3. For hydrologists and other data users, it is important  
778 to realize the implication of satellite errors in soil moisture  
779 and runoff simulation. The total bias alone does not show  
780 the clear picture of rainfall or hydrologic error structures.  
781 As the error components have different signs, sometimes they  
782 cancel each other to produce a lower total bias [*Tian et al.*,  
783 2009]. As a result, the magnitude of soil moisture and runoff  
784 errors should be evaluated based on the amplitude of error  
785 components rather than the total bias. For hydrologic model  
786 simulation, the performance of the satellite products with  
787 respect to the geographic location needs to be assessed to  
788 make more accurate model prediction.

789 [41] Like any other modeling problem, the finding of this  
790 study is likely sensitive to the quality of data that has been  
791 assumed as “reference.” Particular to this study, the gridded  
792 soil moisture and runoff from the VIC model are assumed as  
793 the “synthetic” truth or reference. It is important to recognize  
794 the limitation that this assumption is associated with because  
795 the model’s structural or parametric error is introduced into  
796 the hydrologic fluxes during the simulation process. We believe  
797 that the task of input data quality control, the method of  
798 model calibration, and validation implemented in the study  
799 prior to modeling are very important to minimize such impacts.  
800

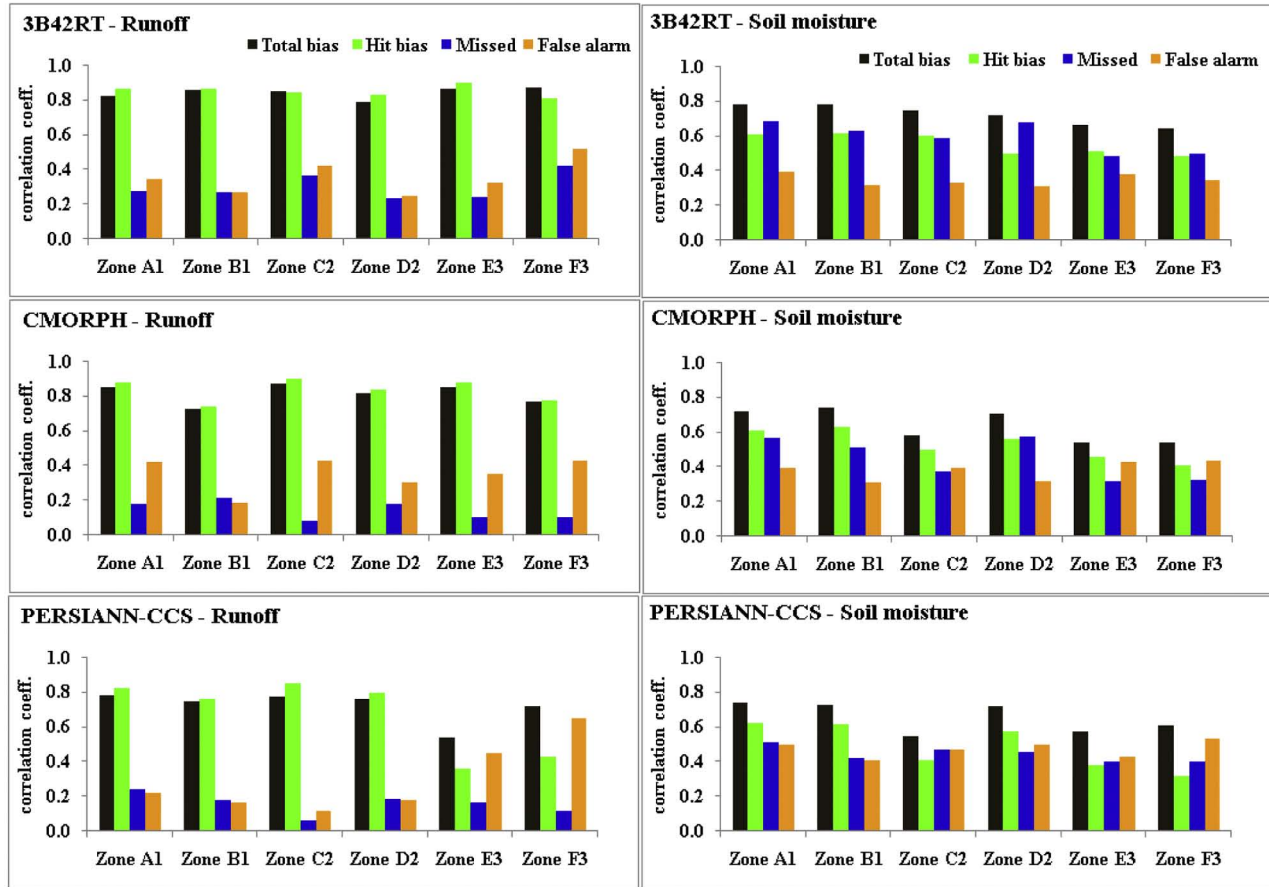


Figure 12. Correlation coefficient of soil moisture and runoff errors with total bias and rainfall error components for the period of 2005–2010.

801 [42] Despite the aforementioned limitation, this particu- 802  
 803 lar study has vital applications for algorithm developers 804  
 805 and data users to understand satellite rainfall, soil moisture, 806  
 807 and runoff errors in the continuum of time, space, and land 808  
 809 use/land cover. Such a wide range of investigation by char- 810  
 811 acterizing satellite rainfall error as a function of LULC 811

812 the nature of the errors as a function of additional criteria 812  
 813 such as climate type, soil type, and terrain features (topogra- 813  
 814 phy). These additional criteria are likely to have their own 814  
 815 unique and identifiable contribution to the performance sat- 815  
 816 ellite products and formation of runoff and soil moisture, 816  
 817 such as those observed herein for LULC. Thus, considera- 817  
 818 tion of additional governing features have merit in extending 818  
 819 merging of a multiproduct satellite data at ungauged regions 819  
 820 where these features are always known a priori. Work is 820  
 821 under way along this direction and will be reported in a 821  
 822 future study. 822

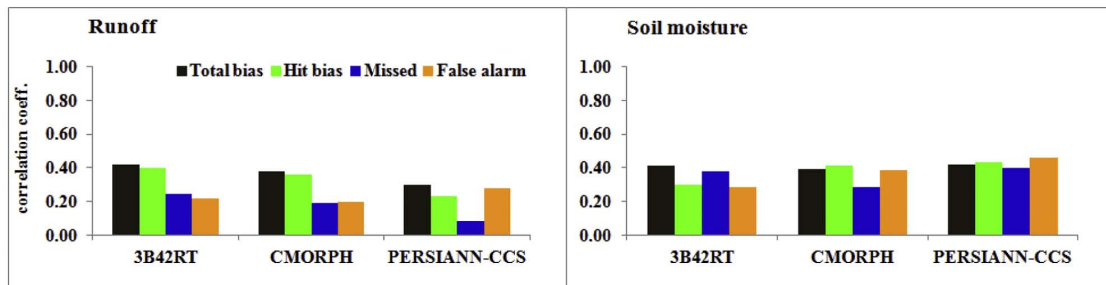


Figure 13. Correlation coefficient of soil moisture and runoff errors with total bias and rainfall error components averaged over the entire basin.

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