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#### **Key Points:**

- Central Valley of California, Los Angeles, Phoenix, and Tucson will face further challenges as Colorado River depletes
- The West, Southeastern Coast, and FL should consider desalination
- West and Central CONUS can use the Mississippi for inter-basin transfer

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### Water sustainability of large cities in the United States from the perspectives of population increase, anthropogenic activities, and climate change

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Abstract Balancing water demand and supply with depleting sources and increasing demand needs 14 a multi-dimensional approach given the pace at which the world is urbanizing. This study selected the 15 contiguous United States (CONUS), 42 specific cities and their river basins to determine: Which basins 16 and cities are more susceptible to increased water shortage? Population, water use, hydrologic model and 17 climate model data from CMIP5 were used. Representative Concentration Pathways scenarios: RCP2.6, 18 RCP4.5, RCP6, and RCP8.5 represented different climate change conditions. Period 1 (1950–2004) showed 19 that more areas are affected by monthly runoff and streamflow than annual averages. In some cases, sig-20 nificant decreasing trends in water availability were observed during the summer (June-July-August) 21 and spring (March-April-May) seasons. The second period (2005-2049) indicated an annual increasing 22 trend (more water available) with higher intensity for the RCP6 scenario. Summer and spring showed areas 23 of decreasing trend (less water available) for RCP4.5 and RCP6. Period 3 (2050-2099) exhibited a decreas-24 ing trend for the RCP2.6 (Western and Central CONUS, Great Lakes, and FL), RCP4.5 (Southwest CONUS), 25 RCP6 (Western United States), and Central CONUS (RCP8.5). The Mississippi River has a mixed sensitivity to 26 future climate change. The Central Valley of California, Los Angeles, Phoenix, and Tucson can face further 27 challenges as the Colorado River becomes depleted. Seawater desalination and inter-basin water transfer 28 can be considered in future and present policies and structural developments. The West, Southeastern 29 Coast, and FL may consider desalination, while the West and Central CONUS can use the Mississippi for 30 inter-basin transfer. 31

#### 1. Introduction

There is an intertwined relationship between water resources sustainability, urbanization, and climate 35 change. While one drives the other, all fall into one of two variables; "supply" and "demand." Supply is 36 affected by actual and virtual availability of surface and ground water while demand is a cumulative result 37 of population, urbanization, and socioeconomic factors. Virtual water availability can refer to imported 38 agricultural (water) products [Chapagain and Hoekstra, 2008]. Population growth leads to lateral urbaniza-39 tion in cities if space allows it. Particular to large cities (megacities), an increase in population might lead 40 to an increase in density without noticeable lateral land cover change. While lateral urbanization can be 41 considered in its physical impact in the land-atmospheric interaction, population density increases result 42 in a vertical expansion and can be quantified by an increase in water demand. There is a multi-dimensional 43 increase in water demand associated with urbanization; direct demand for consumptive use, demand for 44 food production, and demand for power. 45

Land use land cover change (LULCC), population, and overall climate change contributes to available water 46 resources. However, the part any of these factors play in water availability has a spatial dependency. For 47 example, in some areas, population trends might outweigh LULCC, and climate change could dominate all 48 factors [Sun et al., 2008]. Individual sectors have their own influence on water demand. Agricultural water 49 demand is primarily responsible for future water stress; while power plant demand also has a considerable 50 impact [Averyt et al., 2013]. A U.S. Geological Survey statistics (USGS) on water use in United States during 51 2010 puts agricultural withdrawal to be about 32% and that of thermoelectric plants at 45% (USGS: 52 http://water.usgs.gov/watuse/wuto.html). A study by van Vliet et al. [2012] identified the vulnerability of 53 energy production in Europe and the United States as a result of climate change. In their study, they 54

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indicated that power production from thermoelectric plants can decrease as much as 16% during the summer as a result of water scarcity and increase in water temperature. According to the U.S. Census Bureau, the U.S. population will increase up to 30% by the year 2060. The balance between population increase and natural (water) resources is a crucial matter [*Falkenmark and Widstrand*, 1992].

5 Water scarcity can be divided into physical and economic scarcity depending on the respective water 6 resource development. Economical scarcity represents a situation where water resources are physically 7 available but price and technological constraints hinder extraction. Economical scarcity is most evident in 8 developing countries [Seckler et al., 1999]. Given the recurrent water shortage in the Southern and Western 9 United States, an increase in water demand as a result of population growth is inevitable. The current 10 water resource management in the United States has problems such as the recurrence of drought and 11 floods along with aging water management infrastructures. At the federal level, there is an initiative to 12 consider climate change and its impact in future water resources design and management. Available water 13 resources in the contiguous United States (CONUS) are dependent on both surface and ground water with 14 the major source of surface water being rivers, lakes, and reservoirs. These rivers can range from small 15 streams to big rivers. One example is the Colorado River with most of the southwestern United States 16 depending on this river system. According to the United States Bureau of Reclamation (USBR), nearly 40 17 million Americans and 5.5 million acres of land depend on the water supplied by the Colorado River system 18 [U.S. Bureau of Reclamation (USGS), 2012]. 19

Given the probable increase in water demand, the prospect of water supply in this basin and around the 20 CONUS is challenging. The Mississippi, Rio Grande, and Colombia Rivers also play a role in the socioeconomic 21 development of the United States through navigation (for trade, commerce), irrigation (for agricultural 22 production), and hydropower (for energy). Desalination contributes to water supplies. The contribution of 23 desalination plants has increased over the past decades due to technological advances and higher water 24 demand [Mickley, 2012; Vedachalam and Riha, 2012]. According to Mickley [2012], there are more than 300 25 desalination plants in the United States that can produce water at a rate of 95 m<sup>3</sup> per day. The Tampa Bay 26 desalination plant is a good example of supplementing surface water sources to help meet municipal water 27 demands. 28

A noticeable effort focusing on future water demand and supply in the Western United States is the USBR's29WaterSMART Program (Sustain and Manage America's Resources for Tomorrow, http://www.usbr.gov/30WaterSMART/). Multiple basin studies have been conducted under this program, which includes the basins31of the Colorado River, Henrys Fork, Lower Rio Grande, Santa Ana Watershed, Milk-St Mary Rivers, and the32Yakima River.33

The major uncertainty in understanding future water demand and supply in these studies is the variability of 35 both as a result of climate change and socioeconomic factors. Water-related problems in the future may not 36 be straightforward but can be generally categorized as water shortage and lack of infrastructure; while the 37 solutions include exploring alternative water sources, reducing water demand, and modifying operations 38 [USBR, 2012]. Water management policy has a direct contribution toward tackling climate change impacts 39 [Huntjens et al., 2011; Haasnoot et al., 2012; USBR, 2012]. For example, policy changes that implement climate 40 change mitigation can cap the greenhouse gas concentration and hence future change in temperature 41 and runoff [USBR, 2012]. Transformation and adaptation-based policy can also have a significant impact on 42 alleviating climate change problems [Viviroli et al., 2011; Kates et al., 2012]. The impact of LULCC on water 43 availability (rainfall, runoff, and groundwater) can be substantial and prompts counter measures to minimize 44 the effects that include afforestation and similar land use management. Contribution of structural solutions 45 and non-structural solutions (e.g., policies focusing on climate change path and water supply). 46

There is a wide range of research on water resources management in the United States by government 47 agencies [National Research Council (NRC), 2004]. However, such research does not adequately address 48 socioeconomic growth and its impact on water availability. Multidisciplinary research, advanced structural 49 design, and climate change adaptation are the main areas that water resources management should 50 focus to develop "resilience" toward a changing water supply-demand equation. The main objective of 51 this study was to understand the prospect of water resources availability over the CONUS in the future 52 using climate model data. This was achieved by understanding the spatial and temporal variation of runoff 53 over CONUS, and identifying basins and cities that are susceptible to increasing water shortages. The findings 54

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from this study can be used to identify cities and regions of water shortage based on a projected climate change scenario. A solution for water shortage basically falls in either restricting demand (policy and conservation) or increasing supply (structural). These fall under the contribution of structural solutions and non-structural solutions (e.g., policies focusing on climate change path and water supply). A qualitative policy and structural-based solution to alleviate these shortages and their respective prioritization as a solution can also be drawn from the final results and discussion of the study.

#### 2. Study Area

9 The CONUS and specific city and basin were selected as study areas. The CONUS was considered for an over-10 all understanding future trend of water availability on a continental level. Selecting specific study areas was 11 important to identify areas of concern (water supply/demand stress) so that water resources management 12 measures can be appropriately implemented. The first criterion in selecting specific areas was total popu-13 lation and rate of population increase based on 2013 census data. This approach identified 268 cities with 14 a positive increase rate and a total population exceeding 100,000 inhabitants. This selection was further 15 refined based on urbanization and water demand rate. The urbanization rate was calculated by consider-16 ing 1992 as a base year and then analyzing new urban areas for the years 2001 and 2011. Some cities have 17 grown rapidly between 1992 and 2001 and then stabilized (e.g., Aurora, IL; Overland Park, KS; Henderson, 18 NV); while others have continued expanding beyond 2001 (e.g., Austin, TX; Albuquerque, NM; Phoenix, AZ). 19 The city of Frisco in Texas experienced the greatest increase in urbanization: an increase of 85% and 118% 20 from 1992 to 2001 and 2011, respectively. These criteria resulted in a large number of cities (more than 100). 21 The source of water supply was then evaluated for these locations. Interestingly, a large number of cites use 22 groundwater wells (aquifers) as the main source of water supply. As the primary focus of this study was 23 surface water, all cities that primarily use groundwater were excluded regardless of the rate of urbanization 24 increase (e.g., Surprise, AZ, which has observed an urbanization increase of 197% from 1992 to 2011). 25

Water demand at the county-level was used to refine the number of cities selected using population and 26 urbanization criteria. Less focus was given to water use data for two reasons; the data were not city based, 27 and commercial, consumptive use, and hydroelectric power were missing for the years 2005 – 2010. A com-28 parison of water demand change for cities of similar population change rate and size was made. Those 29 cities that had higher water demand between 2005 and 2010 were selected as study areas. Using popula-30 tion, urbanization, and water use data, a total of 42 specific cities were selected. The selected cities fall in 31 different Koeppen-Geiger climate classifications representing all possible classes in the CONUS. It can be 32 also argued that most of the CONUS cities have been represented (with at least one city in a state, except 33 those with groundwater sources). There were only eight cities out of the total selected, which draw their 34 water supply from an upstream artificial reservoir. However, using a reservoir depends on water right, as 35 for example, cities like Los Angles draw water from a distant reservoir (Lake Havasu) through conveyance 36 system (The Colorado River Aqueduct). The specific river basins for surface water sources of the selected 37 cities were also delineated (Figure 1) for further analysis of future water trends. 38

#### 3. Data and Methodology

#### 3.1. Data

Population census, land use land cover (LULC) (in the form of urbanization), water use, and climate model 42 data were used. The U.S. Census Bureau (http://www.census.gov/) was a source for city-based population 43 data. Census data of cities between 2010 and 2013 were used to identify population growth rate. This period 44 represented a stable population change rate that accounts for the unusual in or out migration following 45 the economic crisis in 2008 and 2009. Population data from metropolitan areas were excluded to avoid the 46 confusion of multiple water supply sources. That is, a metropolitan area can have two different water supply 47 sources (surface or ground water) but a large total population, which biases study area selection. There was 48 no city-based water use data in the United States. The best available data was at the county-level from 49 the USGS database (http://water.usgs.gov/watuse/data/) spanning 1985–2010. These water use data may 50 have some uncertainty in depicting the real water use even at a county-level as some sectors were missing 51 (commercial, consumptive, and hydroelectric power use) between 2005 and 2010. Three sets of LULC data 52 from the USGS National Land Cover Database (NLCD) were used for urbanization change calculation: 1992, 53 2001, and 2006. 54

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Figure 1. Study area selection flowchart (a) and selected river basins (b).

Hydrology data (runoff) on surface water availability that were simulated by the Variable Infiltration Capacity (VIC) hydrologic model [Liang et al., 1994, 1996] using climate model projection data were used from the World Climate Research Program's (WCRP's) Coupled Model Intercomparison Project phase 5 (CMIP5) multi-model dataset [Maurer et al., 2007] (Downscaled CMIP3 and CMIP5 Climate and Hydrology 41 Projections archive at http://gdo-dcp.ucllnl.org/downscaled\_cmip\_projections/). The climate projections were downscaled using two statistical approaches, Bias-Correction Spatial Disaggregation (BCSD) and Bias-Correction Constructed Analogues (BCCA). The BCSD technique was used for the CMIP5 streamflow 44 and runoff projections over the CONUS. Weather results from BCSD technique were forced through VIC to 45 simulate grid-based runoff using water balance approach. Infiltration process for each grid is estimated using the Nanjing model. A detailed description of CMIP5-related results can be found in Taylor et al. [2012], 47 Meehl et al. [2009], Meehl and Hibbard [2007], and Brekke [2014]. The temporal coverage for the climate (retrospective and projection) data was from 1950 to 2099 with a spatial resolution of 1/8 degree. These 49 runoff data (mm/d) were available in streamflow form that had been routed on selected stations by the 50 National Center for Atmospheric Research (NCAR) and West-Wide Climate Risk Assessments (WWCRA). The 51 streamflow data available covered only those areas west of the Mississippi River. 52

The Representative Concentration Pathways (RCPs) are greenhouse gas (GHG) concentration repre-53 sentative trajectories in the form of radiative forcing were placed into five categories: RCPs, RCP2.6, 54

Table 1. Representative Concentration Pathways (RCPs) With Equivalent CO2 Emission					
	Equivalent CO <sub>2</sub> Emission, ppm (Estimate)				
	Year				
RCP Scenarios	2000	2010	2050	2100	
2.6	367	396	458	433	
4.5	367	396	500	583	
6	367	396	533	733	
8.5	367	396	633	1233	

RCP4.5, RCP6, and RCP8.5. Since these values are concentrations they can be represented equivalently using carbon concentration. Emissions will rise and decline on different time periods according to these GHG concentration assumptions. The RPC2.6, RPC4.5, and RPC6 assume a stable concentration in the years 2020, 2040, and 2080, respectively; while RCP8.5 increases beyond 2100 [*Meinshausen et al.*, 2011]. Equivalent CO<sub>2</sub> emission for these RPCs is provided in Table 1. Detailed RCP descriptions are available

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from, e.g., *Fujino et al.*, 2006; *Rao and Riahi*, 2006; *Smith and Wigley*, 2006; *Riahi et al.*, 2007]. Out of the available climate model results, this study used only those models from the United States, specifically the CMIP5 multi-model ensemble from the NASA Goddard Institute for Space Studies (giss-e2-r-, ftp://gdo-dcp.ucllnl.org/pub/dcp/archive/cmip5/hydro/ascii/BCSD\_daily\_tgz/).

#### 3.2. Methodology

In identifying population and urbanization increase rates, the annual percentage increase in population 24 census and urban areas (change in developed area) from successive years were used. For population rates, 25 only three successive years were used, 2010–2013. Urbanization data used rate changes from 1992 to 2011. 26 Water demand data analyzed were on a 5-year interval from 1985 to 2010. Quantification of the exact future 27 water demand was challenging due to uncertainties in the involved parameters. However, a simple fore-28 cast estimate of water demand was made based on direct proportionality with the population increase 29 rate and keeping per-capital use constant. This approach excluded any impact by urban water use and any 30 per-capita water use variation, which would require a further detailed study as comprehensive as the one 31 reported here.

32 Past and forecasted streamflow/runoff was analyzed on three temporal scales, monthly, yearly, and sea-33 sonal. These variable scales allowed us to understand water availability at different time scales, which 34 can help water resources management from design to general policy making. The seasonal periods used 35 were, DJF (December-January-February), MAM (March-April-May), JJA (June-July-August), and SON 36 (September-October-November). The selected climate model results were divided into three periods: 37 1950-2004, 2005-2049, and 2050-2099 representing past, near future, and distant future, respectively. 38 The future trends were divided into two so that possible trends are not temporally lumped together. 39 The streamflow data were available at station locations and runoff fluxes from VIC were on a grid-based 40 format. Hence, the trend analyses for the three selected periods were performed accordingly at stations 41 and grid by grid over CONUS. The non-parametric Mann-Kendall [Mann, 1945; Kendall, 1975] trend test 42 was implemented at the 95% significance level ( $\alpha = 0.05$ ) to define trends for the selected periods. In this 43 method the null hypothesis of no trend was tested using the selected significance level and probability 44 threshold (P < 0.05). 45

#### 4. Results

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#### 4.1. Population, Urbanization, and Water Demand Trend

LULC rapidly changed for cities in the Midwest, West, and Southwest CONUS as urbanization changed over the years. Urbanization here represented the change of land into developed areas according to NLCD between 1992 and 2011. Population and urbanization were presented separately to state the impact each has on climate change and/or water demand. It is argued here that when more land is developed, watershed hydrology (land–atmosphere interaction) is altered. Conversely, when population increases with less change in lateral developed area (high density), the impact will be more on water demand than 54

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		Urban Area Change % From 1992		
City	State	To 2001	To 2011	
Frisco	ТХ	85	118	
McKinney	ТХ	51	63	
El Paso	ТХ	9	30	
Henderson	NV	39	23	
Phoenix	AZ	13	23	
Louisville	KY	1	3	
Milwaukee	WI	0	3	
New York	NY	0	3	
Philadelphia	PA	1	3	
Portland	OR	1	2	

the physical watershed hydrology. Close to 50% of the selected cities showed more than a 10% increase 18 in urbanization (developed area) between the years 1992 and 2011. The fastest growing cities were found 19 in Texas, Arizona, Nevada, and New Mexico. Frisco, McKinney, and El Paso expanded by 118%, 63%, and 20 30%, respectively, between 1992 and 2011 (Table 2). Considering the CONUS, about 0.4% of land was 21 developed into urban areas between 2001 and 2011. Meanwhile, population increases in these cities were 22 rapid compared to urban development resulting in an increase in population density. For example, three 23 cities—Frisco, McKinney, and Midland grew in population by about 15%, 12%, and 11%, respectively, 24 between 2010 and 2013 (Figure 2). It is worth noting that from a water resources management aspect, 25 total population has to be considered as well. The fastest growing cities identified in this study have a total 26 population greater than 100,000 as of 2013 census. 27

Due to unavailability of city-based data, only county-based surface water use (withdrawal) data from the 28 USGS was analyzed. By considering the counties where the selected cities were situated, an increase in water 29 use as high as 75% and a decrease as low as 40% was observed between 2005 and 2010 (Table 3). Most of 30 the counties with an increase in water use were found in the Southwestern States of Arizona and Texas. 31 Some counties in the Western, Central, Northern, and Eastern States, California, Washington, and Missouri, 32 exhibited a decreasing trend. However, it can be argued that the decrease found in the selected areas could 33 be attributed to two options. The first could be the way water use data were collected which did not account 34 for all water use (e.g., commercial and power withdrawals). The second and more important reason could 35 be water management policies and physical water availability. That is the decrease in "supply" from surface 36 water sources and policies imposed by state and local officials could have contributed to a decrease in water 37 use. Recent events in Western and Southwestern States is a testament to these cases. California has imposed 38 mandatory restrictions on water use for the fourth consecutive year since 2011 to tackle its water shortage 39 (https://www.gov.ca.gov/docs/4.1.15 Executive Order.pdf). 40

41 Quantification of future water demand (use) is difficult given uncertainties in the parameters involved, 42 socioeconomic (population and urbanization), climate, and policy changes. However, guantification can be 43 estimated using the rate at which a population is changing. Here, the rate of population increase was used to estimate future water requirements for selected cities. Results of population census analyses showed an 44 45 increase in population as high as 15% in 3 years. The USGS estimates the per-capita water use in the United 46 States to be between 303 and 379 L per day. Average water use results estimated an annual increase in 47 water use rates as high as  $2513 \times 10^6$  L/d and as low as  $4 \times 10^6$  L/d for New York City, NY and Evansville, IN, respectively (Table 4). A high increase in daily demand was evident for cities in Western and Southwestern 48 States. These future estimates indicated the combined impact of total population and annual population 49 growth rates on future water use. 50

#### 4.2. Streamflow Trend

The non-parametric Mann–Kendall test was performed on three temporal windows (periods): 1950–2004 53 (past), 2005–2049 (near future), and 2050–2099 (distant future) for streamflow results. The period 54

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1950-2004 represented base years for the climate models. Showing the results here would only present model performance, which is not the objective of this manuscript. Input data preparation, model set-up, and calibration can be found at http://gdo-dcp.ucllnl.org/downscaled\_cmip\_projections/techmemo/BCSD5 HydrologyMemo.pdf.

These three periods were further divided into annual trend (trend of annual daily runoff), monthly trend 47 (trend of monthly daily runoff), and seasonal trend. The seasonal trends were divided into DJF, MAM, JJA, and SON. Further, the analyses used all four scenario projections (RCP2.6, RCP4.5, RCP6, and RCP8.5). Ana-lyzing four of the climate scenarios can provide guidance to engineers and policymakers on the range of 50 adaptation strategies to explore for their feasibility. Considering annual average streamflow values, Period 51 1 showed no streamflow change at most of the stations considered for all four climate scenarios. However, stations in the upstream Missouri River and downstream Arkansas River exhibited a decreasing trend. Sig-nificant trends were observed for RCP6 when considering Period 2 (Figure 3a). The increasing trend for RCP6 

#### Table 3. Water Use Change in Selected Counties Between 2005 and 2010

		Water Use (L $\times$ 10 <sup>6</sup> /d)			
				% Increase	Absolute Fresh Water Availability Index
State	County	2005	2010	(2005–2010)	[After Padowski and Jawitz, 2012]
СО	Denver	893	564	-37	0.39
CA	Los Angeles	12,579	8229	-35	0.05
CO	El Paso	492	348	-29	0.07
MD	Baltimore	3131	2483	-21	0.26
UT	Salt Lake	901	1147	27	0.05
WI	Milwaukee	4614	6624	44	0.52

USGS, U.S. Geological Survey statistics.

Table 6. Estimated Annual Material and

Data from the USGS.

Table 4. Estimated Annual water use increase over selected Cities Using a 341 L/Person/d Usage				
	Population %	Average Annual Water		
City	Change 2010–2013	Use Increase (L $\times$ 10 <sup>6</sup> /d)		
New York, NY	2.63	2513		
Los Angeles, CA	2.33	1029		
Austin, TX	8.60	867		
Phoenix, AZ	4.42	761		
Charlotte, NC	7.33	659		
Denver, CO	7.64	564		
Seattle, WA	6.90	511		
Kansas City, KS	1.68	26		
Aurora, IL	0.91	19		
Baltimore, MD	0.14	11		
Manchester, NH	0.70	8		
Evansville, IN	0.20	4		

was more evident for the West (Sacramento River), Pacific Northwest (Colombia River), and The Great Plains (Missouri River, Colorado River). Most stations exhibited an increasing trend for the case of RCP6 with no change in most areas for the cases of RCP2.6, RCP4.5, and RCP8.5. An increasing trend is observed for the case of RCP8.5 at few stations found in Arkansas River. For Period 3, the trends in RCP2.6 remained the same as Period 2 except for an increasing trend at a few stations downstream of the Arkansas River. Upstream sections of the Columbia River showed an increase for the case of RCP4.5 and RCP8.5. However, the RCP6 scenario nearly reversed its trend from Period 2 as shown in Figure 3b. Sacramento, Colorado, Columbia rivers, and upstream of the Missouri and Platte River experienced a decreasing trend in streamflow. A com-parison of streamflow and runoff trends was made for west of The Mississippi. This comparison showed that the trends followed by runoff and streamflow were similar which is assumed to be reasonably representative of the rest of Mississippi. 

A finer temporal scale shows a better response of streamflow to climate change scenarios over the selected periods. Similarly, the monthly average streamflow for Period 1 demonstrated a decreasing trend in upper portions of the Missouri, Snake, and Platte Rivers, downstream of the Kansas and Arkansas Rivers regardless of the climate change scenario. However, some stations in the Colorado River showed an increasing trend. Most stations demonstrated no trend in this period. Given the lumped temporal approach which considers 55 years of data in one period, this result is consistent with actual observations in the past which showed a decrease in the Western and Central parts of the CONUS. In contrast to Period 1, the results of monthly streamflow values for Period 2 showed no trend for most parts and an increase in the Missouri, Kansas, and Arkansas Rivers for the RCP2.6 scenario. In the case of RCP4.5, upstream sections of the Missouri, Snake, and 





Figure 3. Trend of annual streamflow on selected stations for the RCP6 scenario for period 2 (2005–2049, a) and period 3 (2050–2099, b).

Mississippi Rivers demonstrated an increasing trend while upstream of the Colorado and Rio Grande Rivers experienced a decrease. Results from RCP6 showed an increase in most stations except those stations downstream of the Red and Sabine Rivers. The Rio Grande, Sabine, Arkansas, Kansas, and Red Rivers showed an increasing trend while most parts remain unchanged for the RCP8.5 scenario. Period 3 revealed a no trend result for most stations for RCP2.6, RCP4.5, and RCP8.5. However, a considerable number of stations in California (Sacramento and San Joaquin Rivers) and the upstream Colorado and Platte Rivers experienced a decreasing trend. According to the RCP6 scenario, all stations showed a decreasing trend in monthly streamflow.

The next temporal scale to consider after annual and monthly averages was seasonal trend for Periods 2 48 and 3 for different climate change scenarios. The winter season (DJF) showed no change in streamflow 49 trends for most stations except for an increase over the entire Missouri River for RCP2.6 and RCP6, downstream of the Arkansas River for RCP8.5, and most parts of the Snake, Sacramento, Colorado, and Rio Grande 51 Rivers for the RCP6 scenario. During the spring period (MAM), the Missouri River saw an increase for RCP2.6 and RCP4.5. The Snake, upstream Colorado, and Rio Grande Rivers as well as western portions of California 53 and Oregon experienced an increase for the RCP6 scenario. The Columbia, Snake, Colorado, Platte, Kansas, 54

1 and Yellowstone Rivers pointed to an increase in streamflow in the case of RCP8.5. Summer season (JJA) 2 streamflow trends remained unchanged for all scenarios except for an increase in the Snake, Columbia, and 3 upstream Missouri Rivers for RCP6 and a decrease in downstream Columbia and other rivers in Washington 4 (Chehalis, Green, Nisqually Rivers) for the RCP8.5 scenario. Streamflow showed no trend for the majority of 5 the fall season (SON) except for an increase in the RCP4.5 and RCP6 cases for western Oregon, Washing-6 ton (including the Columbia and Snake Rivers) and northwestern California. The Arkansas and Sabine River 7 exhibited an increase for the RCP8.5 scenario.

8 Seasonal changes in Period 3 demonstrated a consistency in decreasing trend for RCP6 only with spatial 9 variations. During the winter in this period, most stations indicated no change in trend except for an increase 10 in upstream Columbia and Snake Rivers for RCP4.5 and the Missouri River for that of RCP8.5. The Snake, Columbia, Missouri, and upstream Colorado Rivers experienced a decreasing trend for RCP6. The spring season (MAM) exhibited an increase for the Spokane River for both RCP2.6 and RCP8.5 and the upstream Yellowstone, Kansas, and Colorado Rivers for RCP8.5. The Central Valley in California, the entire Colorado, and Rio Grande Rivers showed a decreasing trend for the case of RCP6 and RCP8.5 (only the Central Valley). The trend during summer season showed no change for the cases of RCP2.6 (except the increase in the Missouri and Sabine Rivers) and RCP4.5. The entire Central Valley, Snake, and Colorado Rivers experienced a decrease in this period for RCP6 and RCP8.5 (including rivers west of the Cascade Range in Washington and Oregon). During the fall season (SON), most stations indicated unchanged trends for the RCP2.6, RCP4.5, and RCP8.5 cases. The Colombia, Snake, Colorado, upstream Missouri, Yellowstone, and Kansas Rivers showed a decreasing trend for the RCP6 case. 21

#### 4.3. Runoff Trend

Table 5. Summary of P-Values From the Mann-Kendall Test

23 The same methodology used in analyzing streamflow trends was applied for runoff fluxes from the VIC 24 model. The P-values from the trend test, indicating significance level, are summarized in Table 5. Past trends 25 (Period 1) for annual average runoff demonstrated no trend in most areas and decreasing annual average 26

#### 28 P Value 29 30 RCP2.6 RCP4.5 RCP6 **RCP8.5** 31 Period Scale Min Max Min Max Min Max Min Max 32 33 1 (1950-2004) Monthly 0.000 0.050 0.000 0.050 0.000 0.050 0.000 0.050 34 0.006 0.049 0.004 0.049 0.005 0.049 0.007 0.049 Annual 35 DJF 0.000 0.049 0.027 0.049 0.002 0.049 0.002 0.049 36 0.049 MAM 0.011 0.049 0.005 0.049 0.009 0.049 0.010 37 Seasonal JJA 0.000 0.049 0.000 0.049 0.000 0.049 0.000 0.049 38 SON 0.001 0.049 0.001 0.049 0.001 0.049 0.000 0.049 39 2 (2005-2049) Monthly 0.050 0.000 0.050 0.000 0.050 0.000 0.050 0.000 40 Annual 0.049 0.001 0.049 0.000 0.049 0.000 0.049 0.000 41 DIF 0.002 0.049 0.003 0.049 0.000 0.049 0.001 0.049 42 MAM 0.005 0.049 0.000 0.049 0.002 0.049 0.008 0.049 43 Seasonal JJA 0.004 0.049 0.000 0.049 0.005 0.049 0.001 0.049 44 SON 0.001 0.049 0.002 0.049 0.000 0.049 0.000 0.049 45 0.049 0.000 0.049 0.000 3 (2050-2099) Monthly 0.000 0.049 0.000 0.049 46 Annual 0.000 0.049 0.003 0.049 0.001 0.049 0.000 0.049 47 DJF 48 0.009 0.049 0.008 0.049 0.001 0.049 0.007 0.049 49 MAM 0.000 0.049 0.001 0.049 0.000 0.049 0.001 0.049 Seasonal 50 JJA 0.000 0.049 0.008 0.049 0.010 0.049 0.004 0.049 51 SON 0.007 0.049 0.001 0.049 0.009 0.049 0.000 0.049 52

DJF, December–January–February; JJA, June–July–August; MAM, March–April–May; RCP, Representative Concentration Pathways; SON, September-October-November.

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Figure 4. Trend of annual average runoff (mm/d) for period 2 (2005–2049, a) and period 3 (2050–2099, b).

runoff in areas west of Sierra Nevada (Nevada, Arizona, and Utah) and the central United States. There was no significant spatial variation in this decreasing trend over CONUS regardless of the scenarios considered. Northern parts of Arizona, central Utah, and Texas had an increase in runoff over this period. This result was similar to streamflow trend considering the same temporal window and scale.

In the case of Period 2 (Figure 4a), runoff fluxes increased over significant parts of the CONUS especially for RCP4.5 and RCP6. While most parts showed no trend in this period, some portions of Texas and Colorado showed a decreasing trend for the RCP6 scenario. During Period 3, runoff is affected by the climate model scenario (Figure 4b). For the case of RCP2.6, most areas remain unchanged while Wisconsin and FL experienced a decreasing trend and an increase was observed in areas of Texas, Wyoming, and Montana (Missouri Basin). Nevada and some areas of Louisiana (part of the Mississippi River Basin) showed a decreasing trend for the case of RCP4.5 while at the same time, northwest Texas and West Oregon (Columbia River Basin) displayed an increasing trend. A considerable area in the Western and Central parts of CONUS and a few areas along the west Appalachian (Kentucky and West Virginia) experienced a decrease in runoff for the case of RCP6. East tributaries of the Mississippi River (Ohio, Tennessee, and Cumberland Rivers) showed an increase in runoff for the case of RCP8.5 during Period 3.

Monthly runoff response displayed the signature of the annual trend, but with more spatial variability. 52 During Period 1, the runoff in most parts of CONUS remained unchanged while a decreasing trend was 53 noted for Western (east of the Cascade Range and Sierra Nevada) and Midwestern areas (contributing to 54

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Figure 5. Trend of monthly average runoff (mm/d) for period 2 (2005–2049, a) and period 3 (2050–2099, b).

the Missouri, Arkansas, and Kansas Rivers). Areas in eastern Texas and the Appalachians (Tennessee, The Carolinas) showed an increasing trend as did areas in Arizona and Utah (contributing to Colorado River Basin). Period 2 showed promise for increases in runoff for most part of CONUS except areas in Texas, The Carolinas, Georgia, and FL during case RCP6 (Figure 5a). The RCP8.5 scenario resulted in a spatial shift in increasing runoff from the West to South and Southcentral CONUS. Results from Period 3 showed diverse spatial trends for the four scenarios (Figure 5b). For RCP2.6, West, Central, and South CONUS experienced an increase and part of the Upper Mississippi (Minnesota and Wisconsin) and FL showed a decreasing trend. Most parts of the Colorado River displayed a decrease in runoff for the RCP4.5 scenario; areas contributing to the Snake (and Columbia) Rivers, west Texas (Red and Colorado Rivers) pointed distinctly to an increasing trend. West CONUS and FL were highly affected by a decreasing runoff trend considering the RCP6 scenario. Similar to the annual runoff case, areas contributing to the Mississippi from the east (Ohio, Tennessee, and Cumberland Rivers) exhibited an increase in runoff during the RCP8.5 scenario.

Seasonal variations of runoff for Period 1 (1950–2004) depicted a decreasing trend for some parts of West 49 and Central CONUS. The areas affected in the decreasing trend extended further east during the summer 50 season (JJA) regardless of the different scenarios considered. Period 2 (2005–2049) of the seasonal analysis indicated increasing runoff over sporadic areas of the West and Central CONUS (Figure 6a). However, 52 spring and summer seasons presented a noticeable decrease in runoff for locations in Eastern and Southern 53 CONUS especially for the case of RCP4.5. A decreasing runoff trend dominated West CONUS for RCP6 and 54





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Figure 6. Trend of seasonal average runoff (mm/d) for period 2 (2005–2049) (a) and trend of seasonal average runoff (mm/d) for period 3 (2050–2099) (b).

RCP8.5 scenarios during Period 3 (2050–2099, Figure 6b). Some areas in West and Central CONUS showed48an increasing trend during the spring and summer season of the RCP2.6 scenario; the RCP8.5 scenario49extended these areas to Central and East CONUS (areas contributing Mississippi River).50

#### 4.4. Summary

Population and urbanization are increasing at a considerable rate for most western (California, Oregon, and 53 Washington) and southwestern (Arizona, Texas) states. This increase has contributed to a direct increase in 54

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1 water demand stressing the importance of considering total population and its growth rate. Comparing 2 temporal scales, it can be seen that more areas are affected by monthly runoff and streamflow than annual 3 averages. On the seasonal scale for some cases, the greatest decrease observed was during the summer 4 (JJA) and spring (MAM) seasons . The trend intensity was higher (regardless of trend direction) for the RCP6 5 scenario, which assumes a stable GHG concentration by 2080. Decreasing and increasing trends seemed 6 to follow geographical signatures like a specific state or feature (Sierra Nevada and Cascade Range; Great 7 Plains; Appalachians). Influence of a geographical feature was also evident from the results as the Great 8 Plains and the leeward side of Sierra Nevada and the Cascade Range showed a decreasing water trend pat-9 tern. Rapidly growing cities identified in this study were found in states that border an ocean, which would 10 point to an evitable water supply solution that can be supplemented by desalination. However, the cost 11 effectiveness of desalination should be analyzed against the option of virtual water transfer, especially in 12 considering water supply for agricultural purposes (green virtual water transfer). 13

#### **5.** Conclusion

Urbanization comes with an increasing population, which drives water demand. Every data analyzed in this<br/>study emphasized the challenge of water resources availability at a scale ranging from a city to a basin as<br/>large as the Mississippi River. Temporally, water availability varied from monthly to annually and season-<br/>ally. The challenge for the United States is the physical scarcity given the extensive network of hydraulic<br/>structures and overall water resources management practice. However, this does not mean that further<br/>structural development that includes construction of dams, canals, and other water transfer systems should<br/>be restricted.16<br/>17<br/>18<br/>19

23 Climate change impacts (increase or decrease in water availability) were more apparent over the western 24 and central parts of CONUS. This observation was made worse by the fact that accelerated urbanization and 25 population increases dominated these regions compared to other parts of the United States. The per-capita 26 water demand increased with a higher annual rate. Water supply sources in these areas were a combination of surface and groundwater. A discontinuity (imbalance) in the hydrologic cycle can drive some areas to an 27 28 irreversible water availability pattern. One of the main reasons for imbalance is a decrease in surface water 29 availability that led to a depleted groundwater reserve (due to less recharge and excessive withdrawals). 30 Based on the above three scenarios (RCP4.5, RCP6, and RCP8.5), the Columbia and Colorado Rivers should 31 be given special attention to identify structural and policy-based solutions to a decreasing trend in water 32 availability.

33 Climate model results have uncertainties across all model types. Even results from a specific model are 34 based on different assumptions assuming an anticipated climate change direction. However, the best argu-35 ment would be to integrate model results cautiously with present water use practice and future water 36 resource management policy. Both structural and policy (non-structural) based solutions are recommended 37 for future water shortages over the CONUS. The Central Arizona Project, Colorado River, and Los Angeles 38 Aqueducts are good examples that this study addresses for sustainable water resource management. Solu-39 tions for specific cities will depend on technical and economic feasibility. The scenario-based results used 40 in this study (RCP2.6, RCP4.5, RCP6, and RCP8.5) can be represented using "policy" (policies that affect the 41 direction of climate change and water consumption) as proxy. That is, the direction of climate change is 42 dependent on which path (scenario) is followed. 43

Generally, cities (regions) that are in the Arid and Warm Temperature Koeppen-Geiger climate classifica-44 tion face a greater water shortage than other areas in CONUS. A constant water demand was assumed in 45 this study, however, there is encouraging steps from cities around the country to reduce per-capital con-46 sumption. The Mississippi River has a mixed response to future anticipated water requirements with most 47 of its tributaries showing an increase, especially in the Arkansas and Red, and Ohio Rivers. A recent study 48 released by the USGS indicated that water demand by the year 2062 will surpass current supply if urban-49 ization continues at current rates [Wilson et al., 2016]. The Central Valley of California will also experience 50 a decrease in water availability. Cities in California and Arizona (e.g., City of Los Angeles and surrounding 51 areas, Phoenix, and Tucson) are at greater risk because their surface water is imported from the distant Col-52 orado River. Coincidentally, the population in these areas is increasing which means the problem of water 53 scarcity is more serious than water availability results show. For example, New York City has hydrological 54

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steady water availability, however, annual water demand increases as a result of population growth and that is not evident in the climate models. Cities on the West Coast, Southeastern CONUS, and FL are beginning to supplement surface and groundwater with a desalination-based water supply system. To supplement this physical water supply, policy toward water usage and conservation has to be augmented so that water demand and losses are minimized. These cities in the Central and Northeastern CONUS have to adopt the policy of multi-dimensional water conservation, which would minimize demand (domestic, agricultural, power). Unless a country adopts a specific policy toward resilient and sustainable water resource management, abundant climate model results will have little impact on improving water sustainability of United States cities. These policies can range from local to regional, and to national.

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