Understanding Volume Estimation Uncertainty of Lakes and Wetlands Using Satellites and Citizen Science

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Abstract—We studied variations in the volume of water stored 9 in small lakes and wetlands using satellite remote sensing and lake 10 water height data contributed by citizen scientists. A total of 94 11 12 water bodies across the globe were studied using satellite data in the optical and microwave wavelengths from Landsat 8, Sentinel-1, 13 and Sentinel-2. The uncertainty in volume estimation as a function 14 of geography and geophysical factors, such as cloud cover, precipi-15 tation, and water surface temperature, was studied. The key finding 16

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that emerged from this global study is that uncertainty is highest in 17 regions with a distinct precipitation season, such as in the monsoon 18 dominated South Asia or the Pacific Northwestern region of the 19 USA. This uncertainty is further compounded when small lakes and 20 wetlands are seasonal with alternating land use as a water body and 21 agricultural land, such as the wetlands of Northeastern Bangladesh. 22 On an average, 45% of studied lakes could be estimated of their 23 volume change with a statistical significant uncertainty that is 24 less than the expected volume in South Asia. In North America, 25 this statistically significant uncertainty in volume estimation was 26 found to be around 50% in lakes eastward of the 108th meridian 27 with lowest uncertainty found in lakes along the East coast of 28 the USA. The article provides a baseline for understanding the 29 current state of the art in estimating volumetric change of lakes 30 and wetlands using citizen science in anticipation of the recently 31 launched Surface Water and Ocean Topography Mission. 32

Index Terms—Citizen science, lakes, remote sensing, satellites, Surface Water and Ocean Topography (SWOT), wetlands.

I. INTRODUCTION

ATER bodies, such as small lakes (i.e., those smaller 36 than 100 km²) and wetlands, provide vital functions for 37 ecosystems and sustain biodiversity. Globally, wetlands cover 38 an area of 1.2 billion hectares, which is equivalent to the area 39 of Canada [1]. Downing et al. [2] claimed that the total surface 40 area of natural and artificial lakes is over 4.6 million km², which 41 translates to about 117 million water bodies [3]. These water 42 bodies act as biological supermarkets, groundwater recharge, 43 and discharge points, and they provide both water and nutrients 44 necessary for crop production. Wetlands and small lakes also 45 support flood control and ecotourism. According to Global Wet-46 land Outlook (Ramsar Convention, 2021), wetlands have been 47 rapidly declining. Approximately 35% of the world's wetlands 48 have been disappearing since 1970 [1]. While there are various 49 physical drivers that affect the behavior of wetlands and small 50 lakes, the most critical among them, other than perhaps direct 51 human management, is likely changing patterns of weather, 52 hydrology, and climate [1]. 53

In recent years, our ability to track the extent of small lakes 54 and wetlands has increased manifold. Lehner and Döll [4] developed the Global Lakes and Wetlands Database (GLWD), which 56

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provides the maps and water surface area of the lake, wetlands, 57 reservoirs, and rivers. For lakes, GLWD was superseded by the 58 HydroLAKES database [5], which mapped about 1.4 million 59 60 lakes larger than 0.1 km². A study by Sheng et al. [6] mapped 7.7 million lakes that are larger than 0.004 km². Meanwhile, 61 Verpoorter et al. [3] used satellite imagery to identify more 62 than 117 million lakes globally. Hu et al. [7] studied the areal 63 extent of the wetlands and lakes by developing a new index 64 (precipitation topographic wetness index). However, despite this 65 66 improved understanding of the location and extent of small lakes and wetlands, understanding of the physical behavior of 67 wetlands and lakes around the world remains limited, especially 68 in developing regions. Specifically, we understand very little 69 about how volumetric changes of lakes and wetlands modulate 70 over time and as a function of climate, season, or geographic 71 72 region. A primary reason for this gap is the paucity of *in-situ* lake and wetland gauges relative to the widespread presence of lakes 73 and wetlands around the globe. Unlike for rivers, there are no 74 75 major national or international repositories dedicated to storing in-situ lake level data. Even in developed countries, such data 76 77 are limited—for example, the U.S. Geological Survey gauges more than 10 000 rivers but only a few hundred lakes. 78

Studying volumetric changes at a global scale is therefore 79 not feasible using limited in-situ gauges given the remoteness 80 81 of numerous water bodies and lack of economic or institutional resources to maintain an in-situ measurement network. Studying 82 wetlands and small lakes using satellite remote sensing is only 83 cost-effective and feasible way to understand the volumetric 84 change of water bodies on a global scale [8], [9]. Most studies 85 that aim to do so use different sensors to study surface water 86 87 extent and water surface elevation, which, in combination, allow estimation of volume change. Detection of the surface water 88 extent and elevation can be performed with sensors of different 89 resolutions and electromagnetic wavelengths. Coarser spatial 90 resolution sensors, such as NOAA/AVHRR and Moderate Res-91 olution Imaging Spectroradiometer (MODIS), have low spatial 92 accuracy but high temporal resolution and coverage, and are 93 often used to study large lakes [10]. Medium spatial resolution 94 sensors, with a resolution of around 10-30 m, are widely used in 95 studies of smaller lakes [3]. A few examples for medium resolu-96 tion are the Landsat series, Sentinel 2, and Advanced Spaceborne 97 Thermal Emission and Reflection Radiometer. High spatial res-98 olution sensors, such as Planet, RapidEye, and IKONOS, have 99 a resolution around 1–5 m, but they are not freely available. 100 The type and nature of water bodies that can be studied with 101 reasonable accuracy usually depend on the pertinent resolution 102 and sampling frequency of sensor data that are available. 103

In recent years, studies have shown that multisensor ap-104 proaches combining optical and SAR data to measure inundation 105 extent are often more robust [11]. Researchers have come up with 106 various indices like modified normalized difference water index 107 108 (MNDWI) [12], normalized difference Water index [13], and techniques like dynamic surface water extent (DSWE) [14] and 109 angle looking SAR. Optical satellites like the Landsat series [14], 110 [15], [16], MODIS sensors onboard the National Aeronautics 111 and Space Administration (NASA) Terra and Aqua satellites 112 113 [17], and Visible Infrared Imaging Radiometer Suite onboard Suomi National Polar-orbiting Partnership [18] can be used to 114 study water surface area and volume of water stored. However, a 115 major drawback of optical satellites is that they cannot penetrate 116 clouds. To overcome the issue of cloud cover, synthetic aperture 117 radar (SAR) can be used with an understanding of the proper 118 threshold on backscattering to detect water surfaces [19]. How-119 ever, SAR may not always be accurate because other smooth 120 surfaces and shadowed areas share almost identical scattering 121 properties with water surfaces. For example, bare soils can some-122 times create false-positive cases [20]. Despite such a wide range 123 of available techniques, the uncertainty of surface water area and 124 hence volume estimation due to the choice of methods has not 125 been rigorously studied for lakes and wetlands. Understanding 126 these uncertainties is challenging yet important. It is challenging 127 due to cloud cover and seasonally contrasting environments. For 128 example, freezing/thawing of lakes in higher latitudes can make 129 detection of variations in volume difficult [21], [22]. Similarly, 130 lake area cannot be regularly detected due to extensive cloud 131 cover, for example, during months-long monsoon seasons. 132

Both optical and microwave angle-looking sensors can only 133 estimate the area of the water bodies. On the other hand, satellite 134 altimeters, such as Jason 3, Sentinel 3, and SARAL/AltiKa, 135 provide water surface elevation [23]. Baup et al. [24] devel-136 oped three independent approaches to estimate the lake: volume 137 high-resolution image-based volume, altimetry-based volume, 138 and altimetry and high-resolution-based volume changes. Duan 139 and Bastiaanssen [25] and Cretaux et al. [26] have used a combi-140 nation of lake extent and water level at different dates in order to 141 build hypsometry relationship, which was then used to calculate 142 lake extent and level simultaneously using satellite altimetry 143 measurements. The uncertainty in elevations from altimeters 144 can vary from a few centimeters for large water bodies to tens 145 of centimeters for small water bodies [27]. The limitation of 146 altimeters is the limited spatial sampling due to the narrow width 147 of the sampling track. On the other hand, lidar missions with very 148 high spatial coverage, like IceSat-1 or IceSat-2, have the proven 149 potential to measure water level at very high accuracy over a 150 large number of lakes worldwide [28] due to their long revisit 151 times that however lead to missing subseasonal variabilities and 152 rapid changes in lake levels. 153

To overcome the combined challenges of the current fleet 154 of satellite sensors and the limitations of existing *in-situ* gauge 155 networks, one possible solution to monitoring lake water level is 156 the application of citizen science in monitoring waterbodies [29], 157 [30], [31]. Citizen science is an emerging science where the pub-158 lic participates and collaborates in scientific research to increase 159 knowledge. One example of the use of citizen science is the 160 Lake Observation by Citizen Scientists and Satellites (LOCSS) 161 (https://www.locss.org/) program, where citizen scientists report 162 the water height elevation of lakes or wetlands by reading staff 163 gauges [30]. Hereafter, we use the terms height and elevation 164 interchangeably to refer essentially to the vertical dimension of 165 lakes reported by citizen scientists to estimate volume change. 166 The objective of the LOCSS project is to work with stakeholders 167 and local communities, who are responsible for understanding 168 and documenting the physical behavior of lakes or depend on 169 lake information for decision-making activities. The purpose of 170

Sensor	Revisit time	Technique	Band (wavelength, micrometers)	Threshold
L8	16 days	Dynamic surface water extent (DSWE)	Blue (0.45–0.51); Green (0.53–0.59); Red (0.64–0.67); NIR (0.85–0.88); SWIR1 (1.57–1.65); SWIR2 (2.11–2.29)	N/A
		Modified normalized difference water index (MNDWI)	Band 3–green band (0.53–0.59); band 6– short-wave infrared (1.57–1.65)	0.3
S 1	10 days	Backscattering thresholding	-	< -13 dB
S2	6 days	Dynamic surface water extent (DSWE)	S2A-blue (0.496); green (0.56); red (0.664); NIR (0.835); SWIR1 (1.613); SWIR2 (2.202)	N/A
			S2B-blue (0.492); green (0.559); red (0.665); NIR (0.833); SWIR1 (1.610); SWIR2 (2.185)	

TABLE I SUMMARY OF SENSORS AND TECHNIQUES USED FOR LAKE AREA ESTIMATION

this article is to understand how different methods for estimat-171 ing lake volume change combining satellite measurements of 172 inundation extent with LOCSS measurements of water surface 173 elevation, impact our ability to accurately detect variations in 174 lake volume. By exploring an ensemble of methods and sensors 175 to estimate area and consequently volume changes, we can 176 derive a robust understanding of estimation uncertainty for lake 177 volume changes. This understanding can be further nuanced for 178 a given region that is unique to the season and other geophysical 179 drivers, such as cloud cover, rainfall, topography, and water 180 181 surface temperature in regions where lakes freeze.

This article explores uncertainty in volume estimation, which 182 183 can provide valuable information to the decision-makers or stakeholders to make more robust decisions based on uncer-184 tainty. There are various factors that affect uncertainty in vol-185 ume estimation. For example, in South Asia, a key source of 186 uncertainty is likely to be cloud cover during monsoon for the 187 optical sensors and inundated vegetation for SAR microwave 188 sensors. At higher latitudes or mountainous regions where lakes 189 freeze, the area estimation may be more challenging due to the 190 limitations of detecting inundation variations due to ice cover or 191 due to the shadow effect of the high topography. 192

In this article, we have explored four different techniques that 193 monitor inundation extent, and thus estimate volume (Table I). 194 The key research question being addressed is-what is the 195 range of uncertainty associated with estimating the volume 196 of lakes and wetlands using current sensors, and how does 197 198 this uncertainty vary as a function of geography, season, and average environmental conditions? We used data from 94 lakes 199 and wetlands, in which water level changes were monitored by 200 LOCSS citizen scientists. Validation of water levels collected by 201 citizen scientists against automated water level gauges shows 202 that they are highly accurate, with uncertainties of less than 203 204 2 cm [30]. Such high performance in lake level estimation can be achieved only for very large lakes using satellite altimetry. We 205 have also used data from noncitizen programs (such as automatic 206

gauging) when necessary to fill in gaps in our lake water height 207 database. 208

The structure of the article is as follows. In Section II, we 209 discuss the study sites and datasets from the satellites and 210 citizen science. In Section III, we discuss the methodology, 211 and in Section IV, we discuss the result. Finally, in Section V, 212 we discuss the implications of our results and summarize the article's conclusion. 214

II. DATASETS AND STUDY SITES 215

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A. Study Sites

To better understand the complex nature of uncertainty in vol-217 ume estimation and how it varies at different locations, we mon-218 itored 94 lakes and wetlands globally from the LOCSS program 219 (Fig. 1). We focused on water bodies from the South Asian region 220 (Bangladesh, Nepal, and India). For North America, LOCSS 221 lake height data were obtained from water bodies located in 222 Illinois, Massachusetts, New Hampshire, North Carolina, New 223 York, and Washington. In Europe, we had LOCSS lake height 224 data located in South of France in the Pyrénées mountain. 225

Figs. 1 and 2 show the location of the studied lakes and 226 wetlands. The lakes in the USA and France are perennial, 227 with some that freeze during winter. On the other hand, most 228 of the lakes and wetlands in Bangladesh are seasonal, where 229 water accumulates during the months of the monsoon (June to 230 November). Readers can find supplemental information on the 231 water body names and their exact locations from the LOCSS 232 website (https://www.locss.org/). 233

B. Satellite Sensor Dataset

For estimating the surface water area, satellites missions 235 Sentinel 1, Sentinel 2, and Landsat 8 were used. Sentinel 1 236 has C-band SAR imaging that can penetrate clouds and has 237 a spatial resolution of 10 m. Revisit time of a single Sentinel 238

9.000 Legend LOCSS GAUGES

Fig. 1. Location of LOCSS sites for the citizen science monitoring of lakes and wetlands.

1 satellite is 12 days, whereas the two-satellite constellation 239 offers a 6-day revisit time [32]. Imagery from the Sentinel 2 240 multispectral instrument was used with a spatial resolution of 10 241 242 m and revisit time of 5 days. Landsat 8 Operational Land Imager (OLI) Tier-1 Surface Reflectance with a spatial resolution of 30 243 m and revisit time of 16 days was used. These sensors were 244 chosen as they were publicly available and have shown skill 245 in detecting water surfaces [33], [34], [35], [36]. The satellite 246 247 data are freely available on Google Earth Engine, a cloud-based computing platform ideally suited for a global study of lakes 248 [37]. 249

The water elevation data were collected from the citizen scien-250 tists engaged or partnered via the LOCSS program. For example, 251 lake water height data from South Asia were obtained from citi-252 zens engaged with the relevant state or national government wa-253 ter agencies, such as Bangladesh Water Development Board for 254 Bangladesh, Kerala Centre for Water Resources Development 255 and Management for India, and Nepal Department of Hydrology 256 and Meteorology for Nepal. Similarly, most lake height data 257 over the USA were obtained from citizen scientists in the area 258 with gauges were maintained by local partnering organizations. 259 In France, the lake heights were collected by hikers who had 260 sent photos of the gauges via smartphone. For more details, the 261 reader is referred to [30] and www.locss.org. A previous article 262 on LOCSS has shown the water elevation data from citizen 263 scientists are reliable and accurate when compared to automated 264 gauges [30]. Nevertheless, all LOCSS data were subject to a 265 quality control to filter out human errors that represented clear 266 267 outliers. A clear outlier is one where the lake water height data is found to be a random anomaly from the underlying trend 268 observed before and after. Such outliers were replaced with a 269 95% percentile threshold shown in Fig. 3 below. For the case of 270 France, the photos sent that were grainy and unreadable were 271 discarded. The presence of such outliers occurred in less than 272 0.1% of the data. LOCSS gauges were installed in 2017 in the 273 274 USA and France, 2019 in Bangladesh, and 2021 in Nepal.

III. METHODOLOGY

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The flowchart for the methodology followed is shown in 276 Fig. 4. The methodology has four key components as follows: 1) 277 extracting water surface area of lakes and wetlands; 2) estimating 278 the volume stored for all the water bodies; 3) repeating steps 1) 279 and 2) using other methods (Table I) to create an ensemble of 280 estimates; and 4) comparing the uncertainty in estimated volume 281 as a function of region, nominal lake area, and geophysical 282 factors, such as cloud cover and water surface temperature. From 283 here onwards, we will use the terms uncertainty and uncertainty 284 in volume estimates interchangeably. 285

A. Extracting Water Surface Area

1) Landsat 8: The Landsat 8 OLI/TIRS (L8) sensor was used 287 to estimate the water surface area through a variety of water clas-288 sification techniques. Atmospherically corrected L8 data using 289 the Land Surface Reflectance Code [38] were used for the article. 290 Two water classification techniques were used. The first was the 291 DSWE [14]. DSWE has the ability to extract the water surface 292 where the pixel is partially covered with vegetation and water. 293 In addition to Landsat imagery, DSWE uses a digital elevation 294 model, slope, hill, and cloud shade. These parameters are calcu-295 lated using the Fmask function [39]. The output of the DSWE 296 consists of six possible classes: not water, water-high confi-297 dence, water-moderate confidence, potential-wetland/partial 298 surface water conservative, and masked out due to the cloud, 299 cloud shadow, or snow. The second technique used to extract 300 the water surface area is the MNDWI. Xu [12] developed the 301 definition using the green band with short wave infrared band 302 to detect the water feature in built-up areas where a threshold of 303 0.3 for the MNDWI was found to be a robust choice [40], [41]. 304 MNDWI can be calculated using (1) below. Due to multiple 305 equations used in the DSWE method, readers are advised to 306 read Jones [14] for more details 307

$$MNDWI = \frac{Green - SWIR}{Green + SWIR} .$$
(1)

2) Sentinel 2: Optical imagery from Sentinel 2 (S2) sensor 308 has a spatial resolution of 10 m, which is an improvement over 309 the Landsat 8 spatial resolution of 30 m. The DSWE technique 310 was also applied to Sentinel 2 images. As the DSWE algorithm 311 was designed specifically for the L8 images, scaling of S2 312 reflectance data is required to make DSWE work for S2 data. 313 Surface reflectance transformation functions between S2 and 314 L8 can be used to transform the S2 bands to L8 bands. In the 315 article, we used the transformation function developed by Zhang 316 et al. [41] to linearly map the S2 bands to L8 bands and use the 317 DSWE algorithm. For the MNDWI technique on S2 imagery, 318 no transformation is required according to the study conducted 319 by Du et al. [42]. 320

3) Sentinel 1: Sentinel 1 is an angle looking C-band SAR 321 that sends radar signals which can penetrate clouds. Water clas-322 sification using the Sentinel 1 imageries was accomplished with 323 the help of the backscattering thresholding technique. Nonwater 324 surfaces usually have high roughness and thus, they have high 325 backscattered energy as compared to the water-like surface. 326





Fig. 2. Location of LOCSS gauges in (a) USA (59 lakes), (b) Bangladesh (20 lakes), (c) Nepal (1 lake), (d) France (13 lakes), and (e) India (1 lake).



Fig. 3. Example of water surface elevation before and after correction of outliers.

The water-like surfaces appear dark in the imagery because of 327 their smooth surface. Hence, this phenomenon can be used to 328 extract the water surface extent by putting a threshold on the 329 backscatter values. However, one of the drawbacks of the SAR 330 is speckle noise, which degrades the quality of the image and 331 causes information loss. Over the years, various techniques have 332 been used to reduce the speckle noise, such as wavelet transform 333 [43] and mean-median filters [44]. We used a focal median filter 334 with a 30 m \times 30 m window. Incidence angle also plays an 335 important role in the image preprocessing; for the water surface 336 classification, we considered look angles from 31.7° to 45.4°. 337 More details on this choice are described by Ahmad et al. [29]. 338 With the preprocessed image, a backscatter threshold of -13339 db was selected to identify the water body, as suggested by Liu 340 [45]. 341

B. Extracting Water Surface Elevation

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The water surface elevations were gathered with the help 343 of citizen scientists. The data for all the water bodies were 344 downloaded from the LOCSS website where they are publicly 345 available. 346

C. Estimating the Volume Stored and Generating Uncertainty 347 Ensemble 348

After estimating the water surface area and extracting the water surface elevation, volume of water stored above the minimum observed level was estimated for each of the techniques and sensors. Satellite water extent data were used for days that matched or were within 3 days of the measurement date of citizen scientists from LOCSS. To estimate the volume variation, 354



Fig. 4. Flowchart for methodology used for exploring uncertainty of satellite-based lake volume estimation.

we linearly interpolated the water surface area data, so that 355 356 the timestamps of both water elevation and interpolated water surface area were the same, which makes it easier to calculate 357 the volume change. The information of the exact bathymetry 358 of the water bodies was not available, so we estimated the 359 volume stored with respect to the lowest observed water surface 360 elevation in the time series, similar to Ahmad et al. [29] who 361 362 had earlier applied citizen scientist height data for northeastern wetlands of Bangladesh. The lowest water elevation observation 363 was obtained from the LOCSS website over the period of the 364 study. For simplicity, many articles in the past have assumed 365 trapezoidal bathymetry [29], [30], so we also assumed trape-366 zoidal bathymetry. Pyramidal bathymetry of lakes can also be 367 assumed as proposed in Cretaux et al. [26] but internal compar-368 ison done between both hypotheses have usually yielded very 369 370 similar results. Hence, volume stored by the water body at a given time can be calculated as 371

$$V_t = \frac{(h_t - h_{\min}) (A_t + A_{\min})}{2} [L3].$$
 (2)

Here, in (2), h_t is the water elevation at time t and h_{\min} is 372 the lowest water elevation of the time series at each lake. A_t 373 is the area of the lake at time t and A_{\min} is the minimum area of 374 the lake. The volume estimated in this fashion using (2) yields 375 the volume that can be estimated from the lowest level observed 376 in the satellite record. Understandably, this approach may yield 377 large errors when the difference between h_t and h_{\min} is large 378 enough to disqualify the assumption of trapezoidal bathymetry 379 380 between those two heights. In our scrutiny of bathymetries above the minimum observed level, lakes that experience large height 381 difference of many meters, such as in Bangladesh (South Asia), 382 383 follow a very flat and steady trapezoidal bathymetry. In regions where bathymetry shape may be irregular over large heights, 384

such as in the studied lakes of Europe, USA, India, and Nepal, 385 the height differences reported by citizens are usually not large 386 enough.

The volume stored was estimated for all four techniques used 388 in the article (Table I), and an ensemble of the volume estimates 389 was generated. Fig. 5 shows an example of the ensemble of 390 estimated volumes. 391

D. Studied Factors Affecting Uncertainty

1) South Asia: To understand the complexity of uncertainty 393 in estimating volume, various factors contributing to the uncer-394 tainty were studied. Countries, such as Bangladesh, India, and 395 Nepal, have a monsoonal climate, which brings extensive cloud 396 cover and a high amount of rainfall for 3-5 months. Hence, 397 precipitation patterns and cloud cover were compared with 398 uncertainty. Optical sensors have a limitation that they cannot 399 penetrate the clouds. The complementary nature of optical and 400 radar sensors with unique strengths and weaknesses collectively 401 give rise to estimation uncertainty. Gridded precipitation data for 402 Bangladesh were downloaded from the ERA5 hourly precipita-403 tion and gridded precipitation data for India were downloaded 404 from Indian Meteorological Department. The cloud cover data 405 were collected from information provided in the Landsat 8 406 satellite data product. Table II shows the information about the 407 dataset used. 408

2) North America: In the regions of North America studied 409 here, the monsoon is not as dominant, unlike South Asia. We 410 therefore studied the uncertainty in volume estimation as a 411 function of temperature and cloud cover. The water surface tem-412 perature of lakes was estimated using the Landsat 7 Collection 1 413 Tier 1 (L7). Low-gain Thermal Infrared 1 Band (B6_VCID_1) 414

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Fig. 5. Schematic showing how ensemble and range of estimates on volume change are generated using different methods and sensors.

TABLE II Additional Information on the Dataset Used in the Study

Dataset	Downloaded from	Spatial resolution
Sentinel 1 (S1)	Data ID on Google Earth Engine: ee.ImageCollection("COPERNICUS/S1_GRD")	10 m
Sentinel 2 (S2)	Data ID on Google Earth Engine: ee.1mageCollection("COPERNICUS/S2_SR")	10 m
Landsat 8 (L8)	Data ID on Google Earth Engine: ee.ImageCollection("LANDSAT/LC08/C01/T1_SR")	30 m
Precipitation (Bangladesh)	https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5- land?tab=form	0.25° grid
Precipitation (India)	https://www.imdpune.gov.in/cmpg/Griddata/Rainfall_25_Bin.html	0.25° grid
Temperature	Derived from Landsat 8 Thermal Infrared Band	60 m

was used to estimate the temperature, while the cloud cover overthe water bodies was estimated using L8.

Burope: In Europe, we studied the water bodies in France.
All of the lakes in France were in highly mountainous areas of
the Pyrenees. Thus, with the exception of Nepal, these lakes
were located in the most topographically variable landscape of
any LOCSS lakes. These lakes also freeze in the winter. We ran
the same analysis on France as we did on North America.

423 E. Estimating the Uncertainty in Volume Estimation

We chose a metric for uncertainty in volume estimation that provides us with an idea for average spread of the estimated volumes over time relative to the statistically expected volume of a water body (also over time). Here, the expected volume is 427 assumed to be the arithmetic mean of the volumes estimated 428 by the four methods. We call this metric the "time-averaged 429 uncertainty." This time-averaged uncertainty metric is calcu-430 lated using (3). Here, we use the time-averaged uncertainty 431 metric in relative terms normalized by the mean volume to 432 allow comparison across all lakes and regions. A time-averaged 433 uncertainty metric value of less than 1 means that the current 434 suite of satellite sensors and methods is generally able to estimate 435 volume variations with a spread that is less than the mean value, 436 and hence the uncertainty may be considered acceptable most 437 times. Vice versa, an uncertainty metric value of more than 1 438 means the spread of uncertainty is significantly larger than the 439 mean value itself, and hence the volume uncertainty may be 440



Fig. 6. Volume stored and uncertainty time-series for Korchar wetland in Bangladesh.



Fig. 7. Time-series of uncertainty of volume estimation of Dekhar Haor wetland in Bangladesh.

considered unacceptable. Fig. 6 illustrates how the time-specific
uncertainty in the volume varies for the Korchar wetland in
Bangladesh over time to yield the time-averaged uncertainty
metric defined in (3).

445 The time-specific behavior of uncertainty is particularly suited for developing a temporal understanding of seasonal water bod-446 ies, such as wetlands in South Asia. During the development of 447 a wetland in the monsoon season, the spread of the ensemble 448 may be smaller yet the uncertainty metric for that specific time 449 can be higher because of time-specific low mean for estimate 450 volumes. Such a high time-specific uncertainty can be indicative 451 of the limitation of the sensors for water bodies with very small 452 volumes and variations at that time. As these wetlands develop 453 and the volume stored increases, the time-specific uncertainty 454 metric can decrease if the collective precision of the sensors 455 holds. Conversely, the opposite can happen with time-specific 456 uncertainty rising as volume increases. We show one such ex-457 ample in Fig. 6(a) and (b). A red line is shown to demonstrate 458 the case for a wetland in Bangladesh where the time-specific un-459 certainty rises despite increase in volume after the height of the 460 monsoon in August. This corroborates the fact that uncertainty 461 of volume estimation can be dependent on many factors, many of 462 which are time-specific (such as cloud cover, land temperature, 463

growth of vegetation, and irregular/nontrapezoidal bathymetry) 464

Time averaged Uncertainty =
$$\frac{\sum_{0}^{n} \left[\frac{\text{Max Volume}_{t} - \text{MinVolume}_{t}}{\text{MeanVolume}_{t}}\right]}{\sum_{0}^{n} t}.$$
(3)

IV. RESULTS

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In this section, we demonstrate a few examples of time-466 varying uncertainty (not the time-averaged uncertainty) that 467 are representative of lakes and wetlands for their regions. 468 Fig. 7 shows the volume estimation uncertainty of a wetland 469 in Bangladesh. In general, the wetlands in Bangladesh are fully 470 inundated during May-December, while from January to April, 471 they are often dry. It is seen that during higher cloud cover and 472 precipitation, the uncertainty spread is high. Fig. 8 shows the 473 ensemble of Pookode lake in Kerala, India. Kerala in general 474 receives two monsoons. One is the southwest monsoon (June-475 September) and the other is the northeast monsoon (October-476 December). Essentially, the entire period of June-December is 477 characterized by extensive cloud cover. We observe that vol-478 ume stored and uncertainty in volume are both higher as the 479 monsoons retreat in December with gradual decrease as cloud 480



Fig. 8. Time-series of uncertainty of volume estimation in Pookode lake in Kerala (India).



Fig. 9. Ensemble of volume change estimates by different methods and sensors for Cassidy lake in Washington state (USA). Note: here, y-axis represents volume change rather than volume.

cover and precipitation decreases in April. The pattern repeats
itself from June to December again as the two monsoon seasons
complete their cycle.

For U.S. lakes, we studied volume uncertainty as a function of
cloud cover and water surface temperature, given the tendency
of some lakes in upper latitudes to freeze during winter. In Fig. 9,
we show the uncertainty spread for Cassidy Lake (Washington
State), which is found to be high during freezing conditions.
When volume stored is low, the uncertainty spread is also found
to be quite high. As there are likely many other controlling

factors, water temperature provides only a partial explanation 491 of the temporal behavior of uncertainty. 492

To estimate the benchmark volume change, we used higher 493 spatial resolution dataset from Planet at 3 m [48]. The as-494 sumption we make here is that a significantly higher spatial 495 resolution visible dataset during clear sky conditions should be 496 able to capture areal extent and hence volume changes much 497 more accurately and precisely than the satellite sensors used 498 in this article at coarser spatial resolution. We understand this 499 assumption may not always hold as there are other factors related 500



Fig. 10. Ensemble volume change estimates by different methods and sensors for Rara lake in Nepal. Note: here, y-axis represents volume change rather than volume. Q3



Fig. 11. Time-averaged uncertainty metric in volume vs. nominal lake area for (a) Washington (USA), (b) Bangladesh, India, and Nepal, (c) Illinois, (d) New York, Massachusetts, North Carolina, and New Hampshire, (e) France. Lakes below the red line are assumed to yield acceptable uncertainty using the threshold value of 1.

to the bathymetry, color of water, and surrounding background 501 region that can also play a compounding role regardless of 502 sensor's spatial resolution. Nevertheless, we believe that use of 503 3-m Planet data is worthwhile as it provides an "alternative" to 504 readers to help them grasp the nature of uncertainty they may 505 expect in using the coarser resolution satellite data of S1, S2, 506 and Landsat. Table III shows the extent of the water bodies as 507 well as their benchmark volume (second column from left). We 508 estimated the volume at the time when volume of water stored 509 is maximum and minimum. We found that our ensemble mean 510

was close to the benchmark volume and the range of ensemble 511 volumes clearly encapsulates the benchmark volume. 512

Fig. 11 shows the time-averaged uncertainty metric (3) for all 513 the water bodies as a function of the nominal lake area. Fig. 11 514 is a plot showing the aggregate behavior volume estimation 515 uncertainty for each region as lake area changes. Fig. 12 shows 516 the same but for areal estimation uncertainty for each region as 517 nominal lake area changes. The idea is to understand if there is 518 a threshold area for a lake size below which the time-averaged 519 uncertainty metric is unacceptable (>1). From Figs. 11 and 12, 520 TABLE III BENCHMARK VOLUME CHANGE OF SELECTED WATER BODIES USING HIGHER RESOLUTION DATA IN COMPARISON TO SATELLITE-BASED METHODS

Benchmark lake image Blue: water; Green: nonwater	Name (month)	Benchmark volume change (million m ³)	Ensemble mean of volume change (million m ³)	Ensemble range of volume change (million m ³)
	Dekhar (Bangladesh) (July)	5.856608	4.497891	6.28–3.17
	DEKHAR (BANGLADESH) (NOVEMBER)	0.113676	0.197754	0.24-0.15
	Korchar (Bangladesh) (July)	265.543071	272.620922	334.54–215.68
	Korchar (Bangladesh) (October)	10.965009	13.986204	19.80–9.17
	Sammamish (USA) (January)	11.221755	9.247892	13.26-4.49

the percentage of water bodies having uncertainty metric less 521 522 than or equal to 1 in Washington, South East Asia (Bangladesh, India, and Nepal), Illinois, and East Coast USA (New York, 523 Massachusetts, North Carolina, and New Hampshire) is found 524 to be 75%, 55%, 75%, and 71%, respectively. These numbers 525 are believed to be statistically robust according to our tests of 526 significance using the student *t*-test. Using the student *t*-test, 527 we found within the 95% confidence interval, the mean time-528 averaged uncertainty metric of lakes in South Asia to be 1.11 529 (± 0.16) . Similarly, for lakes in the USA, the mean uncertainty 530 metric is 0.71 (\pm 0.184) at the 95% confidence interval. What 531

is evident from our tests of significance is that the results we have derived for time-averaged uncertainty are significant as the variability (shown within parentheses) is an order lower than the mean estimate in the 95% confidence interval based on the student *t*-test. 536

To understand the role played by individual area estimation methods in volume estimation uncertainty, we ranked each of the four methods from highest to lowest average volume estimates for a give lake. In Fig. 13, we show in a four panel plot the methods for each lake with highest estimate (upper most panel), second-highest estimate (middle panel), second-lowest estimate



Fig. 12. Time-averaged uncertainty metric in areal extent vs. nominal lake area for (a) Washington (USA), (b) Bangladesh, India, and Nepal, (c) Illinois, (d) New York, Massachusetts, North Carolina, and New Hampshire, (e) France. Lakes below the red line are assumed to yield acceptable uncertainty using the threshold value of 1.



Fig. 13. Ranking of the four methods shown as a function of nominal lake area on *x*-axis. A unique color is assigned to each of the four technique/sensor. Each dot represents a particular lake and a particular method applied for volume change analyses. Each panel shows how many times a particular technique/sensor, as defined by its unique color, produces the highest (upper left), second highest (upper right), third highest (lower left), and least (lower right) volume change estimate. The entire ensemble of studied lakes for a given technique/sensor is represented by the total number of dots pertaining to the specific color across all panels, or the total number of dots in a given panel. The panels collectively show that no particular method is biased in over or underestimating from the mean of the ensemble.

(second panel from bottom), and lowest estimate of volume
(bottom most panel). The idea is to see if performance of
methods is consistent across lakes or if other geophysical factors
pertaining to the lake and the ambient environment control the
tendency to estimate the highest or lowest value of the ensemble.
In general, the DSWE method using Sentinel-2 and Sentinel-1
based backscattering method have a tendency to yield higher

volume estimates. However, when looked as a whole, there does not seem to be single method that is found to consistently estimate the highest, lowest volume, or median volume. This indicates that in this article of lake volume estimation uncertainty, there is no single method that can be filtered out to minimize uncertainty and that all methods should be considered collectively to improve our understanding of uncertainty. 550

V. DISCUSSION AND CONCLUSION

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We studied 94 lakes and wetlands around the world where 558 LOCSS gauges were installed to record water elevations mea-559 sured by citizen scientists. We defined time-averaged uncertainty 560 561 metric and used a value of 1 as the cutoff for acceptable uncertainty (<1) or unacceptable uncertainty (>1). When looked as 562 a whole for all the lakes studied, there is no clear pattern in our 563 findings where lakes larger than a certain threshold can claim 564 to experience higher skill in estimation of volume. However, 565 at individual regions, there are some nuanced patterns. For 566 example, lakes in Washington (Fig. 11, panel a) and France 567 (Fig. 11, panel e) show a clear dependency of uncertainty as 568 a function of area where the time-averaged uncertainty metric 569 decreases as nominal lake area increases. In South Asia, lakes 570 larger than 0.05 km² (mostly in Bangladesh; Fig. 11, panel b) 571 experience an uncertainty metric of less than 1 in 75% of cases 572 without a clear dependency on lake area. This implies that the flat 573 terrain nature of Bangladesh topography combined with more 574 dynamic hydrometeorological and land use patterns compared 575 to other regions studied pose significant challenge to lake vol-576 ume estimation. In the USA, lakes east of the 108th meridian 577 578 (Colorado Rockies) exhibit considerably lower uncertainty in volume estimation compared to the Pacific Northwestern region 579 of Washington (compare panels a, c, and d in Fig. 11). This un-580 certainty decreases gradually for lakes located further eastwards, 581 starting from Illinois to Eastern USA (Massachusetts, New York, 582 and North Carolina). For example, in Washington state, the 583 average time-averaged uncertainty appears to be around 20% 584 585 higher than lakes in Illinois which are about 50% higher than lakes in the eastern USA. It is clear that much smaller sized 586 lakes in the eastern USA can be estimated with considerably 587 less uncertainty. In France, we observe that the spread of the 588 uncertainty is consistently high and exceeding the threshold 589 590 value of 1. One of the plausible reasons for this can be the shadow 591 of the mountains. The LOCSS gauges are installed in south of France, near the Pyrenees mountains. While digitizing the lakes 592 in France, mountains projecting a shadow on water bodies were 593 observed. Ji et al. [46] discussed how mountain shadows can 594 595 be misclassified as water pixels. We should however exercise caution in interpreting the volume estimation uncertainty pattern 596 for each region (e.g., USA, France, and South Asia) given that 597 sample of lakes studied here are not necessarily a statistically 598 large sample to represent all the regions. 599

600 Our lake height data were obtained from the citizen science program of LOCSS, which has the additional objective 601 of validating and improving lake products anticipated from 602 the recently launched Surface Water and Ocean Topography 603 (SWOT) mission. The SWOT satellite mission is a joint mission 604 of the NASA and Centre National d'Etudes Spatiales (CNES) 605 606 with contributions from the Canada Space Agency and the United Kingdom Space Agency. SWOT is planned for launch 607 in November 2022 [47]. It will be the first satellite of its kind 608 that will report water surface elevation and water surface area 609 simultaneously with a revisit time of 21 days or less at a given 610 611 location. The primary instrument on SWOT is Ka-band Radar Interferometer, which uses radar interferometry and SAR, which 612 613 gives high-resolution water elevation and inundation extent [47].

Currently, as noted in this article, to estimate the volume, water 614 surface area is derived from satellite sensors while the elevations 615 are obtained either from concurrently flying altimeters or from 616 the in-situ data. SWOT, with its simultaneous measurement of 617 area and elevation, will improve our ability to estimate volume 618 more consistently. Moreover, SWOT is a swath interferometer 619 which will cover the whole Earth and monitor lakes larger than 620 250×250 m. This will be an unprecedent view of the lake 621 storage change dynamics at the global scale. 622

Our findings therefore have implications for the SWOT mis-623 sion. First of all, the availability of LOCSS gauge data from 624 citizens can be expected to provide valuable validation data to 625 compare SWOT-estimated volume changes once SWOT starts to 626 provide lake area and elevation simultaneously. Second, SWOT 627 observables could be combined with pre-SWOT satellite data to 628 create higher frequency estimates of lake volume with lower es-629 timation uncertainty. Armed with a general idea of what regions, 630 specific factors and the minimum lake size matter in achieving 631 an acceptable uncertainty, LOCSS gauges can be strategically 632 expanded or the data quality for lake storage change can be 633 flagged accordingly. 634

The estimation of uncertainty for volume is also useful for 635 practical applications at ungauged regions lacking historical 636 records, such as sizing of surface water storage facilities or 637 flood control structures. For example, if an urban settlement is 638 planned in the ungauged region with no historical records, where 639 lakes are the only source of surface water, then the freshwater 640 storage and distribution system size would need to be based on 641 the minimum (worst case) scenario of lake volume experienced 642 over a sufficiently long period. Similarly, a flood protection 643 facility in the same ungauged region would have to be designed 644 based on the maximum (worst case) scenario of lake volume 645 observed over a long record. The range of estimation uncertainty 646 gleaned from an ensemble of satellite sensors and techniques 647 facilitates such societally relevant application in the design 648 of water management facilities at regions lacking historical 649 *in-situ* records. In a previous effort based on LOCSS [29], the 650 estimation of total volume stored in northeastern Bangladesh 651 with uncertainty has already triggered a conversation by the 652 Bangladesh Government to exploit any excess surface water for 653 commercial revenue-generating purposes (personal communi-654 cation with Director General of Bangladesh Water Development 655 Board). 656

This article is not without limitations. One key limitation is 657 the short period of LOCSS data for many regions, such as South 658 Asia. Lack of *in-situ* three-dimensional bathymetry over time to 659 capture the nonstationarity due to sand deposition or transport 660 can also be an issue. An accurate bathymetry of the lakes can 661 also help in constraining our estimates further. We hope these 662 limitations can be addressed in a future article as the LOCSS data 663 continue to grow with more participation from citizen scientists 664 around the world. 665

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